

Edgar Filing: CARVER RICHARD E - Form 4

CARVER RICHARD E

Form 4

January 03, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935
or Section 30(h) of the Investment Company Act of 1940

[] Check box if no longer subject to Section 16. Form 4 or Form 5
obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Carver	Richard	E.
-----	-----	-----
(Last)	(First)	(Middle)
13100 Twin Lakes Drive		

(Street)		
Clifton	VA	20124
-----	-----	-----
(City)	(State)	(Zip)

2. Issuer Name and Ticker or Trading Symbol

Competitive Technologies, Inc. (CTT)

3. I.R.S. Identification Number of Reporting Person, if an entity
(Voluntary)

4. Statement for Month/Day/Year

January 2, 2003

5. If Amendment, Date of Original (Month/Day/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

☒ Director	☐ 10% Owner
☐ Officer (give title below)	☐ Other (specify below)

7. Individual or Joint/Group Filing (Check Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than one Reporting Person

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TABLE I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution if, any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)
Common Stock	1/2/03		A	2,500 A (1)	11,720	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b) (v)

TABLE II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1.	2.	3.	3A.	4.	5	6.	7.	8.
	Conversion				Number of		Title and Amount	
	or				Derivative	Date Exer-	of Underlying	
	Exercise		Deemed		Securities	cisable and	Securities	Price
	Price		Execu-		Acquired (A)	Expiration	(Instr.3 and 4)	of
	of	Trans-	tion	Trans-	or Disposed	Date (Month/	-----+-----+Deriv	
Title of	Deri-	Date	if any	Code	(Instr. 3, 4	-----+-----	or	Secur
Derivative	vative	Month/	(Month/	(Instr.8)	and 5)	Date	Expira-	Number
Security	Secur-	Day/	Day/	-----+-----+-----+-----+Exercis-	tion		of	(Inst
(Instr. 3)	ity	Year)	Year)	Code V (A) (D)	able	Date	Title	Shares 5)
-----+-----								

Explanation of Responses:

(1) Common stock acquired in connection with the 1996 Directors' Stock Participation Plan

/s/ Frank R. McPike, Jr.

January 3, 2003

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Attorney in Fact for Richard E. Carver Date
** Signature of Reporting Person

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.