

Edgar Filing: MDC HOLDINGS INC - Form 4

MDC HOLDINGS INC
 Form 4
 October 29, 2002

 | FORM 4 |

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

| | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

(Print or Type Responses)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
 Section 17(a) of the Public Utility Holding Company Act of 1935
 Section 30(h) of the Investment Company Act of 1940

| | | | | | | | | |
|--|---------|----------|--|--|--|---|--|--|
| 1. Name and Address of Reporting Person* | | | 2. Issuer Name and Ticker or Trading Symbol | | | 6. Relationship to Issuer | | |
| Buchwald, Herbert T. | | | M.D.C. Holdings, Inc. / MDC | | | <input checked="" type="checkbox"/> Director | | |
| (Last) | (First) | (Middle) | 3. I.R.S. Identification Number of Reporting Person if an entity (voluntary) | | | 4. Statement for Month/Day/Year | | |
| | | | | | | October 28, 2002 | | |
| 3600 S. Yosemite St, #900 | | | | | | 5. If Amendment, Date of Original (Month/Day/Year) | | |
| (Street) | | | | | | 7. Individual or Joint? <input checked="" type="checkbox"/> For Individual <input type="checkbox"/> For Joint | | |
| Denver, Colorado 80237 | | | | | | | | |
| (City) | (State) | (Zip) | Table I - Non-Derivative Securities Acquired, Disposed | | | | | |

| 1. Title of Security (Instr.3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr.8) | 4. Securities Acquired (A) or Disposed of (D) (Instr.3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction (Instr.3 and 4) |
|--------------------------------|--------------------------------------|--|-------------------------------|--|---|
| Common Stock \$.01 Par Value | | | | | 11,163 |
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| Table II - Derivative Securities Acquired, Disposed of, or Beneficially (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | |
|--|--|--------------------------------------|--|--------------------------------|--|--|--------------------|---------|------|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Expiration Date | 8. Code | 9. V |
| Non-Statutory Stock Opt (2) | \$24.17 | | | | | 06/01/01 | 12/01/05 | | |
| Non-Statutory Stock Opt (3) | \$24.15 | | | | | 10/02/01 | 10/01/11 | | |
| Non-Statutory Stock Opt (3) | \$36.31 | 10/28/02 | | A | 25,000 | 10/28/02 | 10/01/12 | | |
| | | | | | | | | | |
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| (CONTINUED) Table II - Derivative Securities Acquired, Disposed of, or Beneficially (e.g., puts, calls, warrants, options, convertible securities) | | |
|--|--|---------------|
| 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of |
| 22,750 | D | |

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| | |
|--------|---|
| 27,500 | D |
| 25,000 | D |
| | |
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Explanation of Responses: SEE FOOTNOTES ON PAGE 3

For: Herbert T. Buchwald

By: /S/ Joseph H. Fretz

 **Signature of Reporting Person
 Joseph H. Fretz
 Attorney-in-Fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations
 See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Herbert T. Buchwald
 3600 S. Yosemite St, #900
 Denver, CO 80237

M.D.C. Holdings, Inc. / MDC
 Statement for October 28, 2002
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- (1) Shares owned by a partnership in which the reporting person is a general partner.
- (2) Represents a stock option under the Company's Director Equity Incentive Plan which under former Rule 16b and current Rule 16b-3.
- (3) Represents a stock option under the Company's Stock Option Plan for Non-Employee D requirements under Rule 16b-3.

