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ARADIGM CORP Form 8-K December 02, 2016

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934

Date of report (Date of earliest event reported):

December 1, 2016

Aradigm Corporation

(Exact name of registrant as specified in its charter)

California 001-36480 94-3133088 (State or other jurisdiction (Commission (I.R.S. Employer

of incorporation) File Number) Identification No.)

3929 Point Eden Way, Hayward, California

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(Address of principal executive offices) (Zip Code) Registrant s telephone number, including area code: (510) 265-9000

Not Applicable

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 8.01 Other Events

On December 1, 2016, Aradigm Corporation (the Company) announced via press release the top-line results from the Company s two Phase 3 clinical trials ORBIT-3 and ORBIT-4. A copy of the Company s press release is attached hereto as Exhibit 99.1 to this Current Report on Form 8-K.

The information disclosed under Item 8.01, including Exhibit 99.1 attached hereto, shall not be deemed to be filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that Section or Sections 11 and 12(a)(2) of the Securities Act of 1933, as amended. The information contained herein and in the accompanying exhibit shall not be incorporated by reference into any filing with the U.S. Securities and Exchange Commission made by the Company, whether made before or after the date hereof, regardless of any general incorporation language in such filing.

Item 9.01 Financial Statements and Exhibits

(d) Exhibit

Exhibit

Number Description

99.1 Press release issued by the Company on December 1, 2016.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: December 2, 2016

ARADIGM CORPORATION

By: /s/ Nancy Pecota Name: Nancy Pecota

Title: Vice President, Finance and Chief Financial

Officer and Corporate Secretary

EXHIBIT INDEX

Exhibit

Number Description

Press release issued by the Company on December 1, 2016.