Empire State Realty Trust, Inc. Form 8-K October 28, 2014

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 28, 2014

EMPIRE STATE REALTY TRUST, INC.

(Exact Name of Registrant as Specified in its Charter)

Maryland (State or other Jurisdiction

001-36105 (Commission

37-1645259 (I.R.S. Employer

of Incorporation)

File Number)

Identification No.)

EMPIRE STATE REALTY OP, L.P.

(Exact Name of Registrant as Specified in its Charter)

Delaware (State or other Jurisdiction

001-36106 (Commission

45-4685158 (I.R.S. Employer

of Incorporation)

File Number)

Identification No.)

One Grand Central Place

60 East 42nd Street

New York, New York (Address of Principal Executive Offices)

10165 (Zip Code)

Registrant s telephone number, including area code: (212) 687-8700

n/a

(Former name or former address, if changed from last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

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Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements for Certain Officers

Effective October 28, 2014, the Board of Directors of Empire State Realty Trust, Inc. (the Company) appointed Andrew J. Prentice as the Company s principal accounting officer. Concurrent with the effectiveness of Mr. Prentice s appointment, David A. Karp will relinquish his role as the Company s acting principal accounting officer. Mr. Karp will continue in his role as principal financial officer, Executive Vice President, Chief Financial Officer and Treasurer of the Company.

Mr. Prentice, age 41, joined the Company as the Chief Accounting Officer in 2012. From 2005 to 2012, Mr. Prentice was the Chief Accounting Officer for Cogdell Spencer Inc., a publicly traded, medical office building real estate investment trust. Prior to Cogdell Spencer, Mr. Prentice was a manager for public accounting firms PricewaterhouseCoopers and LarsonAllen and was an Assistant Controller for Summit Properties Inc., a publicly traded, multi-family real estate investment trust.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

EMPIRE STATE REALTY TRUST, INC.

(Registrant)

Date: October 28, 2014

By: /s/ David A. Karp

Name: David A. Karp

Date: October 28, 2014

Title: Executive Vice President, Chief Financial

Officer and Treasurer

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

EMPIRE STATE REALTY OP, L.P.

(Registrant)

By: Empire State Realty Trust, Inc., as general partner

By: /s/ David A. Karp Name: David A. Karp

Title: Executive Vice President, Chief Financial

Officer and Treasurer

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