NOMURA HOLDINGS INC Form 6-K June 13, 2014 Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of June 2014

NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

(Address of principal executive offices)					
Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.					
Form 20-F <u>X</u> Form 40-F					
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):					
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):					

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from May 1, 2014 to May 31, 2014

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: June 13, 2014 By: /s/ Hajime Ikeda

Hajime Ikeda Managing Director

[Translation of Share Buyback Report for the reporting month from May 1, 2014 to May 31, 2014

filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on June 13, 2014]

Class of Shares: Common Stock

1. Status of repurchase

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

	Numbe	er of Shares	as of May 31, 2014 Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the			
Board (April 30, 2014) (Period of repurchase:			
from May 19, 2014 to July 25, 2014)		100,000,000	70,000,000,000
Repurchases during this reporting month (Date			
of repurchase)	(Date)		
	May 19	13,870,600	8,548,646,700
	May 20	9,371,800	5,839,834,600
	May 21	8,985,000	5,598,955,000
	May 22	11,814,100	7,593,490,500
	May 23	9,130,700	5,986,498,600
	May 26	11,207,400	7,489,334,400
	May 27	11,400,000	7,740,697,800
	May 28	11,792,600	7,978,713,500
	May 29	8,430,500	5,705,053,900
	May 30	3,997,300	2,707,391,000
	,	- , ,	, , ,
Total		100,000,000	65,188,616,000
		, ,	, , ,
Aggregate shares repurchased as of the end of			
this reporting month		100,000,000	65,188,616,000
Progress of share repurchase (%)		100.0	93.1

2. Status of disposition

as of May 31, 2014

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		shares disposed orting month	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares	(Date)	Ü	1
Subtotal			
Treasury shares canceled	(Date)		
Subtotal			
Treasury shares transferred upon merger, share exchange or demerger	(Date)		
Subtotal	,		
Other (upon request for purchasing less-than-a-full-unit shares)	(Date) May 26	70	42,910
Subtotal	·	70	42,910
Other (exercise of stock acquisition rights)	(Date) May 1 May 2 May 7 May 8 May 9 May 12 May 13 May 14 May 15 May 16 May 19 May 20 May 21 May 22 May 23 May 24 May 25 May 27 May 28 May 29 May 30	310,200 218,300 597,500 2,181,100 722,000 332,500 206,500 165,700 221,600 211,900 629,700 71,300 135,500 102,500 333,300 463,900 1,411,200 1,256,300 1,279,000	2,098,200 218,300 597,500 2,687,700 722,000 332,500 206,500 1,894,700 221,600 211,900 629,700 71,300 135,500 155,500 102,500 333,300 1,059,900 1,411,200 4,356,300 1,577,000
Subtotal		11,005,500	19,023,100
Total		11,005,570	19,066,010

3. Status of shares held in treasury

	As of May 31, 2014
As of the end of the reporting month	Number of Shares
Total number of issued shares	3,822,562,601
Number of shares held in treasury	191 289 975