

SYNALLOY CORP
Form 8-K
April 22, 2013
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K
CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(D) OF THE
SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): April 19, 2013

SYNALLOY CORPORATION
(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation)	0-19687 (Commission File Number)	57-0426694 (IRS Employer Identification No.)
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775 Spartan Blvd, Ste 102, P.O. Box 5627, Spartanburg, SC 29304 (Address of principal executive offices)	29304 (Zip Code)
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Registrant's telephone number, including area code: (864) 585-3605

Inapplicable
(Former name or former address if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

ITEM 2.02. RESULTS OF OPERATIONS AND FINANCIAL CONDITION

On April 19, 2013, Synalloy Corporation ("the Company") issued a press release announcing financial information for its first quarter ended March 30, 2013. The press release is attached as Exhibit 99 to this Form 8-K and is furnished to, but not filed with, the Commission.

ITEM 9.01. FINANCIAL STATEMENTS AND EXHIBITS

(c) Exhibits

Exhibit Number	Description of Exhibit
99	Synalloy Corporation Press Release dated April 19, 2013.

Please see Exhibit 99 for Registrant's 2013 first quarter earnings release.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on behalf by the undersigned hereunto duly authorized.

SYNALLOY CORPORATION

By: /S/ RICHARD D. SIERADZKI
Richard D. Sieradzki
Chief Financial Officer and Principal Accounting Officer

Dated: April 22, 2013

Exhibit Number	Name
99	Press Release of Synalloy Corporation dated April 19, 2013

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p;(a)Amount beneficially owned: 2,106,632. The amount represents 627,632 restricted shares of Class A common stock held by Mr. O Keefe and 1,479,000 Class B common units of Artisan Partners Holdings LP that Mr. O Keefe may exchange on and after March 12, 2014 for shares of Class A common stock.

(b) Percent of class: 9.9% (based on 19,807,436 shares of Class A common stock outstanding as of January 11, 2014, and calculated in accordance with Rule 13d-3(d)(1)).

(c) Number of shares as to which such person has:

(i) sole power to vote or to direct the vote: 0. Mr. O Keefe has granted an irrevocable proxy to vote all shares of the Company s common stock he owns to a stockholders committee. Mr. O Keefe was formerly a member of the committee. He is no longer a member.

(ii) shared power to vote or to direct the vote: 0

(iii) sole power to dispose or to direct the disposition of: 2,106,632. The amount represents 627,632 restricted shares of Class A common stock held by Mr. O Keefe and 1,479,000 Class B common units of Artisan Partners Holdings LP that Mr. O Keefe may exchange on and after March 12, 2014 for shares of Class A common stock.

(iv) shared power to dispose or to direct the disposition of: 0

Item 5 Ownership of Five Percent or Less of a Class:
Not Applicable

Item 6 Ownership of More than Five Percent on Behalf of Another Person:
Not Applicable

Item 7 Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person:
Not Applicable

Item 8 Identification and Classification of Members of the Group:
Not Applicable

Item 9 Notice of Dissolution of Group:
Not Applicable

Item 10 Certification:

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect, other than activities solely in connection with a nomination under Rule 14a-11.

Signature

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: January 17, 2014

Daniel J. O Keefe

By: Daniel J. O Keefe*

*By: /s/ Sarah A. Johnson
Sarah A. Johnson
Attorney-in-Fact for Daniel J. O Keefe

Exhibit Index

Exhibit 1 Power of Attorney of Daniel J. O Keefe, dated January 10, 2014