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NOMURA HOLDINGS INC Form 6-K June 05, 2013 Table of Contents

# FORM 6-K

# U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**Report of Foreign Private Issuer** 

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of June 2013

# NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

| Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.         |  |  |  |  |
|---|--|--|--|--|
| Form 20-F <u>X</u> Form 40-F  |  |  |  |  |
| Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): |  |  |  |  |
| Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): |  |  |  |  |

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Information furnished on this form:

**EXHIBIT** 

## Exhibit Number

1. Nomura Finalizes Number of Stock Options

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Date: June 5, 2013

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

By: /s/ Eiji Miura

Eiji Miura

Senior Managing Director

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#### **Nomura Finalizes Number of Stock Options**

**Tokyo, June 5, 2013** Nomura Holdings, Inc. (the Company) today announced that it has finalized the total number of stock acquisition rights and the number of grantees based on a resolution of its Executive Management Board held on May 15, 2013, as outlined below.

|   | Stock Acquisition                 | Stock Acquisition                 | Stock Acquisition                 |
|---|-----------------------------------|-----------------------------------|-----------------------------------|
| Total Number of Stock Acquisition Rights to be Issued | Rights No. 52                     | Rights No. 53                     | Rights No. 54                     |
|   | 71,202                            | 70,864                            | 70,521                            |
| 2. Grantees   | Total of 992                      | Total of 992                      | Total of 992                      |
| Shares of Common Stock Under Stock Acquisition Rights | directors, executive officers and | directors, executive officers and | directors, executive officers and |
|   | employees of the Company and      | employees of the Company and      | employees of the Company and      |
|   | subsidiaries of the Company       | subsidiaries of the Company       | subsidiaries of the Company       |
|   | 7,120,200 shares                  | 7,086,400 shares                  | 7,052,100 shares                  |

ends

For further information please contact:

NameCompanyTelephoneJoey WuNomura Holdings, Inc.81-3-3278-0591

Keiko Sugai

Group Corporate Communications Dept.

Nomura

Nomura is a leading financial services group and the preeminent Asia-based investment bank with worldwide reach. Nomura provides a broad range of innovative solutions tailored to the specific requirements of individual, institutional, corporate and government clients through an international network in over 30 countries. Based in Tokyo and with regional headquarters in Hong Kong, London, and New York, Nomura has a unique understanding of Asia that enables the company to make a difference for clients through three business divisions: retail, asset management, and wholesale (global markets and investment banking). For further information about Nomura, please visit www.nomura.com.