

Edgar Filing: GENWORTH FINANCIAL INC - Form 13F-HR

GENWORTH FINANCIAL INC  
Form 13F-HR  
February 08, 2013

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

Form 13F

FORM 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: December 31, 2012

Check here if Amendment ; Amendment Number:

This Amendment (Check only one.):  is a restatement.  
 adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Genworth Financial, Inc.  
Address: 6620 West Broad Street  
Richmond, Virginia 23230

Form 13F File Number: 28-11523

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Patricia Merrill  
Title: Senior Counsel  
Phone: (804) 662-2711

Signature, Place, and Date of Signing:

/s/ Patricia Merrill Richmond, VA February 8, 2013

-----  
[Signature] [City, State] [Date]

Report Type (Check only one.):

13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)

13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)

13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

List of Other Managers Reporting for this Manager: NONE

Edgar Filing: GENWORTH FINANCIAL INC - Form 13F-HR

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 1

Form 13F Information Table Entry Total: 403 lines

Form 13F Information Table Value Total: 2,931,486  
(thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

| No. | Form 13F File Number | Name                                       |
|-----|----------------------|--|
| 01  | 28-11546             | Genworth Financial Wealth Management, Inc. |

| COLUMN 1                                 | COLUMN 2       | COLUMN 3  | COLUMN 4        | COLUMN 5        | COLUMN 6              |
|--|----------------|-----------|-----------------|-----------------|-----------------------|
| NAME OF ISSUER                           | TITLE OF CLASS | CUSIP     | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL DISCRET |
| 3M CO COM                                | COM            | 88579Y101 | 2,445           | 26,335 SH       | Sole                  |
| ABBOTT LABS COM                          | COM            | 002824100 | 11,417          | 174,301 SH      | Sole                  |
| ACACIA RESH CORP ACACIA TCH COM          | COM            | 003881307 | 883             | 34,429 SH       | Sole                  |
| ACTIVISION BLIZZARD INC COM              | COM            | 00507V109 | 4               | 353 SH          | Sole                  |
| AES CORP COM                             | COM            | 00130H105 | 2,761           | 258,064 SH      | Sole                  |
| AFFILIATED MANAGERS GROUP COM            | COM            | 008252108 | 4,386           | 33,702 SH       | Sole                  |
| AIR PRODS & CHEMS INC COM                | COM            | 009158106 | 1,719           | 20,464 SH       | Sole                  |
| ALLSTATE CORP COM                        | COM            | 020002101 | 81              | 2,024 SH        | Sole                  |
| AMEREN CORP COM                          | COM            | 023608102 | 2,060           | 67,046 SH       | Sole                  |
| AMERICAN ELEC PWR INC COM                | COM            | 025537101 | 3,083           | 72,243 SH       | Sole                  |
| AMERICAN EQTY INVT LIFE HLD COM          | COM            | 025676206 | 608             | 49,829 SH       | Sole                  |
| AMERICAN SOFTWARE INC CL A               | CL A           | 029683109 | 73              | 9,449 SH        | Sole                  |
| AMERICAS CAR MART INC COM                | COM            | 03062T105 | 564             | 13,910 SH       | Sole                  |
| ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR | SPONSORED ADR  | 03524A108 | 1,459           | 16,695 SH       | Sole                  |
| ANN INC COM                              | COM            | 035623107 | 741             | 21,901 SH       | Sole                  |
| APPLE INC COM                            | COM            | 037833100 | 2,756           | 5,178 SH        | Sole                  |
| APPLIED MATLS INC COM                    | COM            | 038222105 | 2,803           | 245,026 SH      | Sole                  |
| APPROACH RESOURCES INC COM               | COM            | 03834A103 | 1,208           | 48,287 SH       | Sole                  |
| ARM HLDGS PLC SPONSORED ADR              | SPONSORED ADR  | 042068106 | 834             | 22,048 SH       | Sole                  |
| ATLAS AIR WORLDWIDE HLDGS IN COM NEW     | COM            | 049164205 | 562             | 12,682 SH       | Sole                  |
| AURICO GOLD INC COM                      | COM            | 05155C105 | 1,922           | 234,917 SH      | Sole                  |
| AUTOMATIC DATA PROCESSING IN COM         | COM            | 053015103 | 328             | 5,768 SH        | Sole                  |
| AVAGO TECHNOLOGIES LTD SHS               | COM            | Y0486S104 | 838             | 26,486 SH       | Sole                  |

Edgar Filing: GENWORTH FINANCIAL INC - Form 13F-HR

|   |               |           |        |           |    |      |
|---|---------------|-----------|--------|-----------|----|------|
| B/E AEROSPACE INC COM                     | COM           | 073302101 | 0      | 3         | SH | Sole |
| BAIDU INC SPON ADR REP A                  | SPONSORED ADR | 056752108 | 711    | 7,090     | SH | Sole |
| BANK OF AMERICA CORPORATION COM           | COM           | 060505104 | 2,682  | 230,993   | SH | Sole |
| BANK OF NOVA SCOTIA                       | COM           | 064149107 | 5,551  | 95,904    | SH | Sole |
| BARCLAYS BK PLC 10 YR TREAS BEAR          | ETF           | 06740L451 | 35,842 | 1,339,898 | SH | Sole |
| BARCLAYS BK PLC IPATH S&P MT ETN          | ETN           | 06740C519 | 0      | 11        | SH | Sole |
| BARCLAYS BK PLC IPATH S&P500 VIX<br>ADDED | ETN           | 06740C188 | 0      | 1         | SH | Sole |
| BARCLAYS BK PLC IPTH NAT GAS              | ETN           | 06740P239 | 45,729 | 1,574,992 | SH | Sole |
| BARRICK GOLD CORPORATION                  | COM           | 067901108 | 595    | 17,007    | SH | Sole |
| BASIC ENERGY SVCS INC NEW COM             | COM           | 06985P100 | 359    | 31,431    | SH | Sole |
| BAXTER INTL INC COM                       | COM           | 071813109 | 7,660  | 114,911   | SH | Sole |
| BAYTEX ENERGY CORP                        | COM           | 07317Q105 | 3,607  | 83,426    | SH | Sole |
| BCE INC                                   | COM           | 05534B760 | 9,273  | 215,961   | SH | Sole |
| BECTON DICKINSON & CO COM                 | COM           | 075887109 | 333    | 4,255     | SH | Sole |

| COLUMN 1  | COLUMN 2          | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            |                    |
|---|-------------------|-----------|--------------------|--------------------|---------------------|--------------------|
| NAME OF ISSUER                                  | TITLE<br>OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTM<br>DISCRET |
| BIO RAD LABS INC CL A                           | CL A              | 090572207 | 1,840              | 17,515             | SH                  | Sole               |
| BLACKROCK INC COM                               | COM               | 09247X101 | 753                | 3,645              | SH                  | Sole               |
| BOEING CO COM                                   | COM               | 097023105 | 2,622              | 34,789             | SH                  | Sole               |
| BRIGGS & STRATTON CORP COM                      | COM               | 109043109 | 0                  | 12                 | SH                  | Sole               |
| BROOKFIELD ASSET MANAGEMENT INC                 | COM               | 112585104 | 7,196              | 196,337            | SH                  | Sole               |
| BROOKFIELD ASSET MANAGEMENT INC                 | COM               | 112900105 | 5,411              | 318,104            | SH                  | Sole               |
| BROOKFIELD OFFICE PROPERTIES CANADA             | COM               | 112823109 | 422                | 14,290             | SH                  | Sole               |
| BUCKEYE TECHNOLOGIES INC COM                    | COM               | 118255108 | 521                | 18,140             | SH                  | Sole               |
| CAE INC   | COM               | 124765108 | 3,674              | 361,930            | SH                  | Sole               |
| CAESARSTONE SDOT-YAM LTD ORD SHS                | COM               | M20598104 | 775                | 48,491             | SH                  | Sole               |
| CAMECO CORP COM                                 | COM               | 13321L108 | 548                | 27,809             | SH                  | Sole               |
| CANADIAN NATIONAL RAILWAY COMPANY               | COM               | 136375102 | 9,566              | 105,112            | SH                  | Sole               |
| CANADIAN PACIFIC RAILWAY LTD                    | COM               | 13645T100 | 3,467              | 34,115             | SH                  | Sole               |
| CASH AMER INTL INC COM                          | COM               | 14754D100 | 70                 | 1,768              | SH                  | Sole               |
| CATAMARAN CORP COM                              | COM               | 148887102 | 8,162              | 173,295            | SH                  | Sole               |
| CATERPILLAR INC DEL COM                         | COM               | 149123101 | 1,907              | 21,283             | SH                  | Sole               |
| CENOVUS ENERGY INC                              | COM               | 15135U109 | 7,071              | 210,828            | SH                  | Sole               |
| CERNER CORP COM                                 | COM               | 156782104 | 4,382              | 56,538             | SH                  | Sole               |
| CHECK POINT SOFTWARE TECH LT ORD                | COM               | M22465104 | 758                | 15,915             | SH                  | Sole               |
| CHICAGO BRIDGE & IRON CO N V N Y<br>REGISTRY SH | COM               | 167250109 | 861                | 18,566             | SH                  | Sole               |
| CHURCH & DWIGHT INC COM                         | COM               | 171340102 | 1,868              | 34,869             | SH                  | Sole               |
| CITIGROUP INC COM NEW                           | COM               | 172967424 | 1,544              | 39,022             | SH                  | Sole               |
| CITRIX SYS INC COM                              | COM               | 177376100 | 1,204              | 18,349             | SH                  | Sole               |
| CLAYMORE EXCHANGE TRD FD TR GUGG CHN<br>SML CAP | COM               | 18383Q853 | 7,576              | 315,816            | SH                  | Sole               |
| CMS ENERGY CORP COM                             | COM               | 125896100 | 388                | 15,903             | SH                  | Sole               |
| COCA COLA CO COM                                | COM               | 191216100 | 7,886              | 217,534            | SH                  | Sole               |
| COMERICA INC COM                                | COM               | 200340107 | 4,120              | 135,805            | SH                  | Sole               |
| COMPANHIA DE BEBIDAS DAS AME SPON<br>ADR PFD    | SPONSORED ADR     | 20441W203 | 1,053              | 25,086             | SH                  | Sole               |
| COMPANHIA PARANAENSE ENERG C SPON<br>ADR PFD    | SPONSORED ADR     | 20441B407 | 502                | 32,676             | SH                  | Sole               |
| CONAGRA FOODS INC COM                           | COM               | 205887102 | 2,516              | 85,276             | SH                  | Sole               |

Edgar Filing: GENWORTH FINANCIAL INC - Form 13F-HR

|                                  |     |           |       |         |    |      |
|----------------------------------|-----|-----------|-------|---------|----|------|
| CORNING INC COM                  | COM | 219350105 | 0     | 17      | SH | Sole |
| COTT CORPORATION                 | COM | 22163N106 | 166   | 20,630  | SH | Sole |
| COVANTA HLDG CORP COM            | COM | 22282E102 | 1,062 | 57,678  | SH | Sole |
| CRACKER BARREL OLD CTRY STOR COM | COM | 22410J106 | 704   | 10,957  | SH | Sole |
| CREDICORP LTD COM                | COM | G2519Y108 | 1,179 | 8,047   | SH | Sole |
| CUMMINS INC COM                  | COM | 231021106 | 1,697 | 15,659  | SH | Sole |
| CYPRESS SEMICONDUCTOR CORP COM   | COM | 232806109 | 2,029 | 187,154 | SH | Sole |
| DANAHER CORP DEL COM             | COM | 235851102 | 5,560 | 99,469  | SH | Sole |
| DIEBOLD INC COM                  | COM | 253651103 | 2,680 | 87,554  | SH | Sole |
| DIME CMNTY BANCSHARES COM        | COM | 253922108 | 504   | 36,293  | SH | Sole |
| DOW CHEM CO COM                  | COM | 260543103 | 2,136 | 66,065  | SH | Sole |

| COLUMN 1                                       | COLUMN 2       | COLUMN 3  | COLUMN 4        | COLUMN 5        | COLUMN 6                 |
|--|----------------|-----------|-----------------|-----------------|--------------------------|
| NAME OF ISSUER                                 | TITLE OF CLASS | CUSIP     | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ INVESTM DISCRET |
| DRIL-QUIP INC COM                              | COM            | 262037104 | 1,574           | 21,553          | SH Sole                  |
| DU PONT E I DE NEMOURS & CO COM                | COM            | 263534109 | 4,382           | 97,427          | SH Sole                  |
| E M C CORP MASS COM                            | COM            | 268648102 | 3,538           | 139,832         | SH Sole                  |
| EAST WEST BANCORP INC COM                      | COM            | 27579R104 | 3,153           | 146,716         | SH Sole                  |
| EATON CORP PLC SHS ADDED                       | COM            | G29183103 | 2,576           | 47,550          | SH Sole                  |
| EBAY INC COM                                   | COM            | 278642103 | 3,094           | 60,675          | SH Sole                  |
| ECOPETROL S A SPONSORED ADS                    | SPONSORED ADR  | 279158109 | 1,019           | 17,073          | SH Sole                  |
| EDISON INTL COM                                | COM            | 281020107 | 396             | 8,774           | SH Sole                  |
| ELAN PLC ADR                                   | ADR            | 284131208 | 479             | 46,958          | SH Sole                  |
| EMERSON ELEC CO COM                            | COM            | 291011104 | 2,508           | 47,363          | SH Sole                  |
| ENBRIDGE INC                                   | COM            | 29250N105 | 4,237           | 97,816          | SH Sole                  |
| ENCANA CORPORATION                             | COM            | 292505104 | 4,321           | 218,678         | SH Sole                  |
| ENCORE CAP GROUP INC COM                       | COM            | 292554102 | 841             | 27,475          | SH Sole                  |
| ENDO HEALTH SOLUTIONS INC COM                  | COM            | 29264F205 | 1,809           | 68,976          | SH Sole                  |
| ENPRO INDS INC COM                             | COM            | 29355X107 | 551             | 13,480          | SH Sole                  |
| ENTERGY CORP NEW COM                           | COM            | 29364G103 | 346             | 5,433           | SH Sole                  |
| EPL OIL & GAS INC COM                          | COM            | 26883D108 | 127             | 5,623           | SH Sole                  |
| EQUINIX INC COM NEW                            | COM            | 29444U502 | 2,426           | 11,763          | SH Sole                  |
| ERICSSON ADR B SEK 10                          | COM            | 294821608 | 1,190           | 117,775         | SH Sole                  |
| EXELIS INC COM                                 | COM            | 30162A108 | 0               | 26              | SH Sole                  |
| EXELON CORP COM                                | COM            | 30161N101 | 1,857           | 62,452          | SH Sole                  |
| EXXON MOBIL CORP                               | COM            | 453038408 | 796             | 18,517          | SH Sole                  |
| EXXON MOBIL CORP COM                           | COM            | 30231G102 | 6,466           | 74,710          | SH Sole                  |
| FIRST INTST BANCSYSTEM INC COM CL A            | CL A           | 32055Y201 | 654             | 42,369          | SH Sole                  |
| FIRST TR EXCHANGE TRADED FD INDLS<br>PROD DUR  | ETF            | 33734X150 | 1,700           | 87,507          | SH Sole                  |
| FIRST TR EXCHANGE TRADED FD TECH<br>ALPHADEX   | ETF            | 33734X176 | 1,304           | 60,131          | SH Sole                  |
| FIRSTENERGY CORP COM                           | COM            | 337932107 | 262             | 6,278           | SH Sole                  |
| FOMENTO ECONOMICO MEXICANO S SPON<br>ADR UNITS | SPONSORED ADR  | 344419106 | 1,337           | 13,282          | SH Sole                  |
| FOOT LOCKER INC COM                            | COM            | 344849104 | 2,838           | 88,353          | SH Sole                  |
| FORTINET INC COM                               | COM            | 34959E109 | 5,052           | 240,327         | SH Sole                  |
| FRANCO-NEVADA MINING CORPORATION<br>LIMITED    | COM            | 351858105 | 357             | 6,239           | SH Sole                  |
| GAP INC DEL COM                                | COM            | 364760108 | 2,119           | 68,272          | SH Sole                  |
| GENERAL DYNAMICS CORP COM                      | COM            | 369550108 | 4,183           | 60,390          | SH Sole                  |
| GENERAL ELECTRIC CO COM                        | COM            | 369604103 | 2,353           | 112,097         | SH Sole                  |

Edgar Filing: GENWORTH FINANCIAL INC - Form 13F-HR

|                                  |               |           |       |        |    |      |
|----------------------------------|---------------|-----------|-------|--------|----|------|
| GENTEX CORP COM                  | COM           | 371901109 | 1,370 | 72,686 | SH | Sole |
| GILEAD SCIENCES INC COM          | COM           | 375558103 | 2,898 | 39,454 | SH | Sole |
| GOLAR LNG LTD BERMUDA SHS        | COM           | G9456A100 | 1     | 26     | SH | Sole |
| GOLDCORP INC                     | COM           | 380956409 | 188   | 5,132  | SH | Sole |
| GRAINGER W W INC COM             | COM           | 384802104 | 347   | 1,713  | SH | Sole |
| GREAT PLAINS ENERGY INC COM      | COM           | 391164100 | 1,419 | 69,891 | SH | Sole |
| GRIFOLS S A SP ADR REP B NVT     | SPONSORED ADR | 398438408 | 1,813 | 69,924 | SH | Sole |
| GROUPE CGI INC CL A SUB VTG      | CL A          | 39945C109 | 2,267 | 97,992 | SH | Sole |
| GT ADVANCED TECHNOLOGIES INC COM | COM           | 36191U106 | 209   | 69,091 | SH | Sole |
| GULFPORT ENERGY CORP COM NEW     | COM           | 402635304 | 146   | 3,808  | SH | Sole |

| COLUMN 1  | COLUMN 2       | COLUMN 3  | COLUMN 4        | COLUMN 5        | COLUMN 6                      |      |
|---|----------------|-----------|-----------------|-----------------|-------------------------------|------|
| NAME OF ISSUER  | TITLE OF CLASS | CUSIP     | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ INVESTM CALL DISCRET |      |
| HALLIBURTON CO COM                                    | COM            | 406216101 | 2,403           | 69,279          | SH                            | Sole |
| HANGER INC COM NEW                                    | COM            | 41043F208 | 780             | 28,500          | SH                            | Sole |
| HDFC BANK LTD ADR REPS 3 SHS                          | ADR            | 40415F101 | 1,246           | 30,592          | SH                            | Sole |
| HEINZ H J CO COM                                      | COM            | 423074103 | 2,456           | 42,584          | SH                            | Sole |
| HELEN OF TROY CORP LTD COM                            | COM            | G4388N106 | 591             | 17,698          | SH                            | Sole |
| HESS CORP COM   | COM            | 42809H107 | 2,031           | 38,349          | SH                            | Sole |
| HMS HLDGS CORP COM                                    | COM            | 40425J101 | 2,834           | 109,331         | SH                            | Sole |
| HOSPIRA INC COM                                       | COM            | 441060100 | 1,844           | 59,023          | SH                            | Sole |
| ILLINOIS TOOL WKS INC COM                             | COM            | 452308109 | 292             | 4,807           | SH                            | Sole |
| IMAX CORP COM   | COM            | 45245E109 | 846             | 37,625          | SH                            | Sole |
| INSIGHT ENTERPRISES INC COM                           | COM            | 45765U103 | 508             | 29,248          | SH                            | Sole |
| INSPERITY INC COM                                     | COM            | 45778Q107 | 675             | 20,744          | SH                            | Sole |
| INTERCONTINENTAL HTLS GRP PL SPON<br>ADR NEW 12 ADDED | SPONSORED ADR  | 45857P400 | 1,629           | 58,552          | SH                            | Sole |
| INTERFACE, INC.                                       | COM            | 85472N109 | 2,639           | 65,815          | SH                            | Sole |
| INTERNATIONAL BUSINESS MACHS COM                      | COM            | 459200101 | 8,099           | 42,280          | SH                            | Sole |
| INTERXION HOLDING N.V SHS                             | COM            | N47279109 | 841             | 35,398          | SH                            | Sole |
| ISHARES INC MSCI CDA INDEX                            | ETF            | 464286509 | 15,646          | 550,916         | SH                            | Sole |
| ISHARES INC MSCI CHILE INVES                          | ETF            | 464286640 | 4,988           | 78,873          | SH                            | Sole |
| ISHARES INC MSCI JAPAN                                | ETF            | 464286848 | 24,347          | 2,497,104       | SH                            | Sole |
| ISHARES INC MSCI PAC J IDX                            | ETF            | 464286665 | 7,000           | 148,490         | SH                            | Sole |
| ISHARES INC MSCI SWITZERLD                            | ETF            | 464286749 | 12,113          | 451,981         | SH                            | Sole |
| ISHARES TR AGENCY BD FD                               | ETF            | 464288166 | 16,588          | 146,410         | SH                            | Sole |
| ISHARES TR BARCLYS 10-20YR                            | ETF            | 464288653 | 332             | 2,461           | SH                            | Sole |
| ISHARES TR BARCLYS 1-3 YR                             | ETF            | 464287457 | 18,961          | 224,607         | SH                            | Sole |
| ISHARES TR BARCLYS 1-3YR CR                           | ETF            | 464288646 | 10,363          | 98,247          | SH                            | Sole |
| ISHARES TR BARCLYS 20+ YR                             | ETF            | 464287432 | 58,453          | 482,367         | SH                            | Sole |
| ISHARES TR BARCLYS 3-7 YR                             | ETF            | 464288661 | 1,918           | 15,564          | SH                            | Sole |
| ISHARES TR BARCLYS 7-10 YR                            | ETF            | 464287440 | 42,189          | 392,494         | SH                            | Sole |
| ISHARES TR BARCLYS CR BD                              | ETF            | 464288620 | 78,625          | 694,816         | SH                            | Sole |
| ISHARES TR BARCLYS MBS BD                             | ETF            | 464288588 | 49,982          | 462,836         | SH                            | Sole |
| ISHARES TR BARCLYS SH TREA                            | ETF            | 464288679 | 15,693          | 142,325         | SH                            | Sole |
| ISHARES TR BARCLYS TIPS BD                            | ETF            | 464287176 | 37,731          | 310,770         | SH                            | Sole |
| ISHARES TR BRC 0-5 YR TIP                             | ETF            | 46429B747 | 7,297           | 70,793          | SH                            | Sole |
| ISHARES TR CORE S&P MCP ETF                           | ETF            | 464287507 | 49              | 483             | SH                            | Sole |
| ISHARES TR CORE S&P500 ETF                            | ETF            | 464287200 | 3               | 18              | SH                            | Sole |
| ISHARES TR CORE TOTUSBD ETF                           | ETF            | 464287226 | 43,665          | 393,096         | SH                            | Sole |
| ISHARES TR DJ HOME CONSTN                             | ETF            | 464288752 | 64,262          | 3,036,978       | SH                            | Sole |
| ISHARES TR DJ INTL SEL DIVD                           | ETF            | 464288448 | 2,648           | 78,633          | SH                            | Sole |

Edgar Filing: GENWORTH FINANCIAL INC - Form 13F-HR

|                           |     |           |         |           |    |      |
|---------------------------|-----|-----------|---------|-----------|----|------|
| ISHARES TR DJ SEL DIV INX | ETF | 464287168 | 5,204   | 90,918    | SH | Sole |
| ISHARES TR DJ US ENERGY   | ETF | 464287796 | 11,121  | 272,295   | SH | Sole |
| ISHARES TR DJ US FINL SEC | ETF | 464287788 | 11,532  | 189,990   | SH | Sole |
| ISHARES TR DJ US HEALTHCR | ETF | 464287762 | 11,566  | 138,504   | SH | Sole |
| ISHARES TR DJ US INDUSTRL | ETF | 464287754 | 11,636  | 158,677   | SH | Sole |
| ISHARES TR DJ US REAL EST | ETF | 464287739 | 141,534 | 2,188,551 | SH | Sole |
| ISHARES TR DJ US TECH SEC | ETF | 464287721 | 9,949   | 140,685   | SH | Sole |
| ISHARES TR DJ US TELECOMM | ETF | 464287713 | 1,993   | 82,137    | SH | Sole |
| ISHARES TR DJ US UTILS    | ETF | 464287697 | 11,529  | 133,495   | SH | Sole |
| ISHARES TR FLTG RATE NT   | ETF | 46429B655 | 24,610  | 486,462   | SH | Sole |
| ISHARES TR HIGH YLD CORP  | ETF | 464288513 | 20,028  | 214,552   | SH | Sole |

| COLUMN 1                                     | COLUMN 2       | COLUMN 3  | COLUMN 4        | COLUMN 5        | COLUMN 6      | COLUMN 7           |
|--|----------------|-----------|-----------------|-----------------|---------------|--------------------|
| NAME OF ISSUER                               | TITLE OF CLASS | CUSIP     | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRET |
| ISHARES TR IBOXX INV CPBD                    | ETF            | 464287242 | 13,712          | 113,331         | SH            | Sole               |
| ISHARES TR JPMORGAN USD                      | ETF            | 464288281 | 19,512          | 158,902         | SH            | Sole               |
| ISHARES TR LRGE GRW INDX                     | ETF            | 464287119 | 757             | 9,900           | SH            | Sole               |
| ISHARES TR MSCI ACWI EX                      | ETF            | 464288240 | 18,789          | 448,648         | SH            | Sole               |
| ISHARES TR MSCI ACWI INDX                    | ETF            | 464288257 | 4,910           | 102,123         | SH            | Sole               |
| ISHARES TR MSCI EAFE INDEX                   | ETF            | 464287465 | 55,771          | 980,840         | SH            | Sole               |
| ISHARES TR MSCI EMERG MKT                    | ETF            | 464287234 | 74,276          | 1,674,762       | SH            | Sole               |
| ISHARES TR MSCI GRW IDX                      | ETF            | 464288885 | 4,639           | 77,261          | SH            | Sole               |
| ISHARES TR MSCI SMALL CAP                    | ETF            | 464288273 | 2               | 49              | SH            | Sole               |
| ISHARES TR MSCI VAL IDX                      | ETF            | 464288877 | 4,628           | 95,148          | SH            | Sole               |
| ISHARES TR RUSL 2000 GROU                    | ETF            | 464287648 | 19              | 201             | SH            | Sole               |
| ISHARES TR RUSL 2000 VALU                    | ETF            | 464287630 | 80              | 1,054           | SH            | Sole               |
| ISHARES TR RUSSELL 1000                      | ETF            | 464287622 | 589             | 7,443           | SH            | Sole               |
| ISHARES TR RUSSELL 2000                      | ETF            | 464287655 | 48              | 575             | SH            | Sole               |
| ISHARES TR RUSSELL1000GRW                    | ETF            | 464287614 | 226             | 3,448           | SH            | Sole               |
| ISHARES TR RUSSELL1000VAL                    | ETF            | 464287598 | 3,364           | 46,193          | SH            | Sole               |
| ISHARES TR S&P 500 VALUE                     | ETF            | 464287408 | 20              | 294             | SH            | Sole               |
| ISHARES TR S&P CAL AMTFR MN                  | ETF            | 464288356 | 17              | 150             | SH            | Sole               |
| ISHARES TR S&P GL UTILITI                    | ETF            | 464288711 | 2,901           | 70,369          | SH            | Sole               |
| ISHARES TR S&P GLO INFRAS                    | ETF            | 464288372 | 5,357           | 150,016         | SH            | Sole               |
| ISHARES TR S&P INDIA 50                      | ETF            | 464289529 | 1,251           | 50,207          | SH            | Sole               |
| ISHARES TR S&P MC 400 GRW                    | ETF            | 464287606 | 20              | 172             | SH            | Sole               |
| ISHARES TR S&P MIDCP VALU                    | ETF            | 464287705 | 10              | 115             | SH            | Sole               |
| ISHARES TR S&P NTL AMTFREE                   | ETF            | 464288414 | 5,119           | 46,266          | SH            | Sole               |
| ISHARES TR S&P500 GRW                        | ETF            | 464287309 | 57              | 751             | SH            | Sole               |
| ISHARES TR US PFD STK IDX                    | ETF            | 464288687 | 3,627           | 91,544          | SH            | Sole               |
| ITC HLDGS CORP COM                           | COM            | 465685105 | 249             | 3,235           | SH            | Sole               |
| JOHNSON & JOHNSON COM                        | COM            | 478160104 | 358             | 5,105           | SH            | Sole               |
| JOHNSON CTLS INC COM                         | COM            | 478366107 | 375             | 12,216          | SH            | Sole               |
| JOY GLOBAL INC COM                           | COM            | 481165108 | 1,896           | 29,726          | SH            | Sole               |
| JPMORGAN CHASE & CO COM                      | COM            | 46625H100 | 4,748           | 107,986         | SH            | Sole               |
| KELLY SVCS INC CL A                          | CL A           | 488152208 | 649             | 41,239          | SH            | Sole               |
| KLA-TENCOR CORP COM                          | COM            | 482480100 | 672             | 14,060          | SH            | Sole               |
| KONINKLIJKE PHILIPS ELECTRS NY REG<br>SH NEW | COM            | 500472303 | 754             | 28,419          | SH            | Sole               |
| KOPPERS HOLDINGS INC COM                     | COM            | 50060P106 | 622             | 16,299          | SH            | Sole               |
| LAKELAND FINL CORP COM                       | COM            | 511656100 | 617             | 23,891          | SH            | Sole               |
| LENNAR CORP CL A                             | CL A           | 526057104 | 3,153           | 81,543          | SH            | Sole               |

Edgar Filing: GENWORTH FINANCIAL INC - Form 13F-HR

|                                      |     |           |       |         |    |      |
|--------------------------------------|-----|-----------|-------|---------|----|------|
| LKQ CORP COM                         | COM | 501889208 | 3,644 | 172,680 | SH | Sole |
| LTC PPTYS INC COM                    | COM | 502175102 | 610   | 17,332  | SH | Sole |
| LUXOTTICA GROUP S P A SPONSORED ADR  | ADR | 55068R202 | 1     | 27      | SH | Sole |
| MADDEN STEVEN LTD COM                | COM | 556269108 | 2     | 39      | SH | Sole |
| MAGNA INTERNATIONAL INC.             | COM | 559222401 | 3,569 | 71,350  | SH | Sole |
| MAINSOURCE FINANCIAL GP INC COM      | COM | 56062Y102 | 87    | 6,893   | SH | Sole |
| MARKET VECTORS ETF TR MV STEEL INDEX | ETF | 57060U308 | 5,595 | 114,920 | SH | Sole |
| MARKET VECTORS ETF TR UNCVTL OIL GAS | ETF | 57060U159 | 2,989 | 131,323 | SH | Sole |
| MARSH & MCLENNAN COS INC COM         | COM | 571748102 | 5,960 | 172,892 | SH | Sole |
| MCDONALDS CORP COM                   | COM | 580135101 | 7,816 | 88,604  | SH | Sole |

| COLUMN 1                                      | COLUMN 2       | COLUMN 3  | COLUMN 4        | COLUMN 5        | COLUMN 6                    |      |
|---|----------------|-----------|-----------------|-----------------|-----------------------------|------|
| NAME OF ISSUER                                | TITLE OF CLASS | CUSIP     | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ INVESTMENT DISCRET |      |
| MEDASSETS INC COM                             | COM            | 584045108 | 813             | 48,491          | SH                          | Sole |
| MEDICAL PPTYS TRUST INC COM                   | COM            | 58463J304 | 710             | 59,324          | SH                          | Sole |
| MEDTRONIC INC COM                             | COM            | 585055106 | 2,282           | 55,627          | SH                          | Sole |
| MELCO CROWN ENTMT LTD ADR                     | ADR            | 585464100 | 2,018           | 119,843         | SH                          | Sole |
| MICROSOFT CORP COM                            | COM            | 594918104 | 8,317           | 311,398         | SH                          | Sole |
| MTS SYS CORP COM                              | COM            | 553777103 | 624             | 12,261          | SH                          | Sole |
| MYR GROUP INC DEL COM                         | COM            | 55405W104 | 85              | 3,835           | SH                          | Sole |
| NATIONAL GRID PLC SPON ADR NEW                | SPONSORED ADR  | 636274300 | 3,616           | 62,952          | SH                          | Sole |
| NATIONAL OILWELL VARCO INC COM                | COM            | 637071101 | 2,546           | 37,256          | SH                          | Sole |
| NEWTEK BUSINESS SERVICES INC.                 | COM            | 652526104 | 56              | 30,000          | SH                          | Sole |
| NEXTERA ENERGY INC COM                        | COM            | 65339F101 | 2,692           | 38,909          | SH                          | Sole |
| NISOURCE INC COM                              | COM            | 65473P105 | 679             | 27,291          | SH                          | Sole |
| NOKIA CORP SPONSORED ADR                      | SPONSORED ADR  | 654902204 | 1,140           | 288,555         | SH                          | Sole |
| NORDSTROM INC COM                             | COM            | 655664100 | 2,787           | 52,093          | SH                          | Sole |
| NOVO-NORDISK A S ADR                          | SPONSORED ADR  | 670100205 | 673             | 4,122           | SH                          | Sole |
| NRG ENERGY INC COM NEW                        | COM            | 629377508 | 127             | 5,533           | SH                          | Sole |
| NV ENERGY INC COM                             | COM            | 67073Y106 | 501             | 27,596          | SH                          | Sole |
| OCCIDENTAL PETE CORP DEL COM                  | COM            | 674599105 | 3,331           | 43,486          | SH                          | Sole |
| OPEN TEXT CORP COM                            | COM            | 683715106 | 1,122           | 20,078          | SH                          | Sole |
| ORACLE CORP COM                               | COM            | 68389X105 | 5,671           | 170,213         | SH                          | Sole |
| ORIX CORP SPONSORED ADR                       | SPONSORED ADR  | 686330101 | 573             | 10,120          | SH                          | Sole |
| PACWEST BANCORP DEL COM                       | COM            | 695263103 | 84              | 3,373           | SH                          | Sole |
| PENNEY J C INC COM                            | COM            | 708160106 | 1,227           | 62,271          | SH                          | Sole |
| PERRIGO CO COM                                | COM            | 714290103 | 1,794           | 17,242          | SH                          | Sole |
| PETROLEO BRASILEIRO SA PETRO<br>SPONSORED ADR | SPONSORED ADR  | 71654V408 | 0               | 2               | SH                          | Sole |
| PFIZER INC COM                                | COM            | 717081103 | 3,321           | 132,426         | SH                          | Sole |
| PG&E CORP COM                                 | COM            | 69331C108 | 2,241           | 55,775          | SH                          | Sole |
| PHILIP MORRIS INTL INC COM                    | COM            | 718172109 | 6,958           | 83,186          | SH                          | Sole |
| PHILIPPINE LONG DISTANCE TEL<br>SPONSORED ADR | SPONSORED ADR  | 718252604 | 1,030           | 16,803          | SH                          | Sole |
| PIMCO ETF TR 1-5 US TIP IDX                   | ETF            | 72201R205 | 172             | 3,187           | SH                          | Sole |
| PIONEER NAT RES CO COM                        | COM            | 723787107 | 4,085           | 38,325          | SH                          | Sole |
| POLARIS INDS INC COM                          | COM            | 731068102 | 1,875           | 22,280          | SH                          | Sole |
| POWERSHARES ETF TRUST DYN PHRMA PORT          | ETF            | 73935X799 | 2,066           | 59,836          | SH                          | Sole |
| POWERSHARES ETF TRUST ENERGY SEC POR          | ETF            | 73935X385 | 2,046           | 47,713          | SH                          | Sole |
| POWERSHARES ETF TRUST II INTL CORP<br>BOND    | ETF            | 73936Q835 | 1,201           | 40,545          | SH                          | Sole |
| POWERSHARES ETF TRUST II SENIOR LN            |                |           |                 |                 |                             |      |

Edgar Filing: GENWORTH FINANCIAL INC - Form 13F-HR

|  |     |           |       |         |    |      |
|--|-----|-----------|-------|---------|----|------|
| PORT   | ETF | 73936Q769 | 2,550 | 102,085 | SH | Sole |
| POWERSHARES ETF TRUST ZACKS MC PRTFL           | ETF | 73935X740 | 32    | 2,663   | SH | Sole |
| POWERSHARES GLOBAL ETF TRUST ASIA<br>PAC EX-JP | ETF | 73936T854 | 2,791 | 48,825  | SH | Sole |
| POWERSHARES GLOBAL ETF TRUST I-30<br>LAD TREAS | ETF | 73936T524 | 559   | 17,139  | SH | Sole |
| POWERSHARES GLOBAL ETF TRUST INSUR<br>NATL MUN | ETF | 73936T474 | 9,616 | 373,449 | SH | Sole |

| COLUMN 1  | COLUMN 2          | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7     |                    |
|---|-------------------|-----------|--------------------|--------------------|------------|--------------|--------------------|
| NAME OF ISSUER                                  | TITLE<br>OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTM<br>DISCRET |
| POWERSHS DB MULTI SECT COMM DB BASE<br>METALS   | ETF               | 73936B705 | 314                | 16,265             | SH         |              | Sole               |
| POWERSHS DB MULTI SECT COMM DB<br>ENERGY FUND   | ETF               | 73936B101 | 715                | 25,587             | SH         |              | Sole               |
| POWERSHS DB MULTI SECT COMM DB GOLD<br>FUND     | ETF               | 73936B606 | 1,216              | 21,200             | SH         |              | Sole               |
| POWERSHS DB MULTI SECT COMM DB PREC<br>MTLS     | ETF               | 73936B200 | 2,592              | 45,406             | SH         |              | Sole               |
| POWERSHS DB MULTI SECT COMM PS DB<br>AGRICUL FD | ETF               | 73936B408 | 2,415              | 86,418             | SH         |              | Sole               |
| PPL CORP COM                                    | COM               | 69351T106 | 2,990              | 104,447            | SH         |              | Sole               |
| PRAXAIR INC COM                                 | COM               | 74005P104 | 2,828              | 25,842             | SH         |              | Sole               |
| PRETIUM RES INC COM                             | COM               | 74139C102 | 959                | 72,596             | SH         |              | Sole               |
| PRIVATEBANCORP INC COM                          | COM               | 742962103 | 1,075              | 70,190             | SH         |              | Sole               |
| PROCTER & GAMBLE CO COM                         | COM               | 742718109 | 5,561              | 81,907             | SH         |              | Sole               |
| PROSHARES TR PSHS ULPROSH NEW                   | ETF               | 74348A632 | 0                  | 5                  | SH         |              | Sole               |
| PRUDENTIAL FINL INC COM                         | COM               | 744320102 | 5                  | 100                | SH         |              | Sole               |
| PRUDENTIAL PLC ADR                              | ADR               | 74435K204 | 1,426              | 49,935             | SH         |              | Sole               |
| QUAKER CHEM CORP COM                            | COM               | 747316107 | 800                | 14,859             | SH         |              | Sole               |
| QUALCOMM INC COM                                | COM               | 747525103 | 3,537              | 57,185             | SH         |              | Sole               |
| RALCORP HLDGS INC NEW COM                       | COM               | 751028101 | 0                  | 4                  | SH         |              | Sole               |
| RAMCO-GERSHENSON PPTYS TR COM SH BEN<br>INT     | COM               | 751452202 | 600                | 45,096             | SH         |              | Sole               |
| RED HAT INC COM                                 | COM               | 756577102 | 1                  | 10                 | SH         |              | Sole               |
| RITCHIE BROS AUCTIONEERS INC                    | COM               | 767744105 | 4,089              | 195,733            | SH         |              | Sole               |
| ROCKWOOD HLDGS INC COM                          | COM               | 774415103 | 1,702              | 34,406             | SH         |              | Sole               |
| ROGERS COMMUNICATIONS INC.                      | COM               | 775109200 | 13,612             | 299,034            | SH         |              | Sole               |
| ROPER INDS INC NEW COM                          | COM               | 776696106 | 4,256              | 38,178             | SH         |              | Sole               |
| ROSS STORES INC COM                             | COM               | 778296103 | 2,959              | 54,708             | SH         |              | Sole               |
| ROYAL BANK OF CANADA                            | COM               | 780087102 | 5,492              | 91,074             | SH         |              | Sole               |
| ROYAL DUTCH SHELL PLC SPONS ADR A               | SPONSORED ADR     | 780259206 | 738                | 10,704             | SH         |              | Sole               |
| RYDEX ETF TRUST GUG S&P500 EQ WT                | ETF               | 78355W106 | 43,355             | 813,103            | SH         |              | Sole               |
| SANOFI SPONSORED ADR                            | ADR               | 80105N105 | 391                | 8,252              | SH         |              | Sole               |
| SAP AG SPON ADR                                 | SPONSORED ADR     | 803054204 | 1,629              | 20,263             | SH         |              | Sole               |
| SASOL LTD SPONSORED ADR                         | SPONSORED ADR     | 803866300 | 936                | 21,631             | SH         |              | Sole               |
| SCBT FINANCIAL CORP COM                         | COM               | 78401V102 | 96                 | 2,401              | SH         |              | Sole               |
| SCHLUMBERGER LTD COM                            | COM               | 806857108 | 1,855              | 26,766             | SH         |              | Sole               |
| SELECT SECTOR SPDR TR SBI CONS DISCR            | ETF               | 81369Y407 | 15,672             | 330,360            | SH         |              | Sole               |
| SELECT SECTOR SPDR TR SBI CONS STPLS            | ETF               | 81369Y308 | 15,464             | 443,103            | SH         |              | Sole               |
| SELECT SECTOR SPDR TR SBI HEALTHCARE            | ETF               | 81369Y209 | 3,573              | 89,592             | SH         |              | Sole               |
| SELECT SECTOR SPDR TR SBI INT-ENERGY            | ETF               | 81369Y506 | 3,804              | 53,261             | SH         |              | Sole               |



Edgar Filing: GENWORTH FINANCIAL INC - Form 13F-HR

|                                    |     |           |        |           |    |      |
|------------------------------------|-----|-----------|--------|-----------|----|------|
| SELECT SECTOR SPDR TR SBI INT-FINL | ETF | 81369Y605 | 33,498 | 2,043,817 | SH | Sole |
| SELECT SECTOR SPDR TR SBI INT-INDS | ETF | 81369Y704 | 29,536 | 779,311   | SH | Sole |

| COLUMN 1                             | COLUMN 2       | COLUMN 3  | COLUMN 4        | COLUMN 5        | COLUMN 6                      |      |
|--------------------------------------|----------------|-----------|-----------------|-----------------|-------------------------------|------|
| NAME OF ISSUER                       | TITLE OF CLASS | CUSIP     | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ INVESTM CALL DISCRET |      |
| SELECT SECTOR SPDR TR SBI INT-UTILS  | ETF            | 81369Y886 | 2,933           | 83,987          | SH                            | Sole |
| SELECT SECTOR SPDR TR SBI MATERIALS  | ETF            | 81369Y100 | 11,745          | 312,878         | SH                            | Sole |
| SELECT SECTOR SPDR TR TECHNOLOGY     | ETF            | 81369Y803 | 1,236           | 42,841          | SH                            | Sole |
| SEMPRA ENERGY COM                    | COM            | 816851109 | 1,569           | 22,118          | SH                            | Sole |
| SHAW COMMUNICATIONS INC CL B CONV    | CL B           | 82028K200 | 831             | 36,180          | SH                            | Sole |
| SHAW COMMUNICATIONS INC.             | COM            | 82028K200 | 11,836          | 515,045         | SH                            | Sole |
| SKYWORKS SOLUTIONS INC COM           | COM            | 83088M102 | 2,682           | 132,096         | SH                            | Sole |
| SOCIEDAD QUIMICA MINERA DE C SPON    |                |           |                 |                 |                               |      |
| ADR SER B                            | SPONSORED ADR  | 833635105 | 1,025           | 17,788          | SH                            | Sole |
| SODASTREAM INTERNATIONAL LTD USD SHS | COM            | M9068E105 | 2,464           | 54,880          | SH                            | Sole |
| SONIC AUTOMOTIVE INC CL A            | CL A           | 83545G102 | 733             | 35,099          | SH                            | Sole |
| SOUTHERN CO COM                      | COM            | 842587107 | 994             | 23,216          | SH                            | Sole |
| SPDR DOW JONES INDL AVRG ETF UT      |                |           |                 |                 |                               |      |
| SER 1                                | ETF            | 78467X109 | 2               | 16              | SH                            | Sole |
| SPDR GOLD TRUST GOLD SHS             | ETF            | 78463V107 | 6,683           | 41,245          | SH                            | Sole |
| SPDR INDEX SHS FDS ASIA PACIF ETF    | ETF            | 78463X301 | 8,740           | 112,783         | SH                            | Sole |
| SPDR INDEX SHS FDS DJ INTL RL ETF    | ETF            | 78463X863 | 4,859           | 117,504         | SH                            | Sole |
| SPDR INDEX SHS FDS EMERG MKTS ETF    | ETF            | 78463X509 | 9,203           | 136,914         | SH                            | Sole |
| SPDR INDEX SHS FDS EURO STOXX 50     | ETF            | 78463X202 | 9,995           | 288,385         | SH                            | Sole |
| SPDR INDEX SHS FDS S&P CHINA ETF     | ETF            | 78463X400 | 14,078          | 190,014         | SH                            | Sole |
| SPDR INDEX SHS FDS S&P INTL ETF      | ETF            | 78463X772 | 14,682          | 305,181         | SH                            | Sole |
| SPDR INDEX SHS FDS S&P INTL SMLCP    | ETF            | 78463X871 | 7,127           | 250,496         | SH                            | Sole |
| SPDR INDEX SHS FDS S&P WRLD EX US    | ETF            | 78463X889 | 32,695          | 1,290,773       | SH                            | Sole |
| SPDR S&P 500 ETF TR TR UNIT          | ETF            | 78462F103 | 168,932         | 1,186,241       | SH                            | Sole |
| SPDR S&P MIDCAP 400 ETF TR UTSER1    |                |           |                 |                 |                               |      |
| S&PDCRP                              | ETF            | 78467Y107 | 64,761          | 348,722         | SH                            | Sole |
| SPDR SER TR SHT TRM HGH YLD          | ETF            | 78468R408 | 3,831           | 125,392         | SH                            | Sole |
| SPDR SERIES TRUST BARCLYS TIPS ETF   | ETF            | 78464A656 | 12,239          | 201,572         | SH                            | Sole |
| SPDR SERIES TRUST BRC CNV SECS ETF   | ETF            | 78464A359 | 1,372           | 34,040          | SH                            | Sole |
| SPDR SERIES TRUST BRC HGH YLD BD     | ETF            | 78464A417 | 54,942          | 1,349,597       | SH                            | Sole |
| SPDR SERIES TRUST BRCLYS 1-3MT ETF   | ETF            | 78464A680 | 48,301          | 1,054,372       | SH                            | Sole |
| SPDR SERIES TRUST BRCLYS AGG ETF     | ETF            | 78464A649 | 41,456          | 705,389         | SH                            | Sole |
| SPDR SERIES TRUST BRCLYS INTL ETF    | ETF            | 78464A516 | 1,456           | 23,870          | SH                            | Sole |
| SPDR SERIES TRUST DB INT GVT ETF     | ETF            | 78464A490 | 1,302           | 20,496          | SH                            | Sole |
| SPDR SERIES TRUST DJ REIT ETF        | ETF            | 78464A607 | 18,033          | 247,134         | SH                            | Sole |
| SPDR SERIES TRUST NUVN BR SHT MUNI   | ETF            | 78464A425 | 16,427          | 675,727         | SH                            | Sole |

| COLUMN 1       | COLUMN 2       | COLUMN 3 | COLUMN 4        | COLUMN 5        | COLUMN 6                      |
|----------------|----------------|----------|-----------------|-----------------|-------------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP    | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ INVESTM CALL DISCRET |

Edgar Filing: GENWORTH FINANCIAL INC - Form 13F-HR

|  |               |           |        |           |    |      |
|--|---------------|-----------|--------|-----------|----|------|
| SPDR SERIES TRUST NUVN BRCLY MUNI          | ETF           | 78464A458 | 14,231 | 587,094   | SH | Sole |
| SPDR SERIES TRUST S&P 600 SML CAP          | ETF           | 78464A813 | 11,957 | 159,194   | SH | Sole |
| SPDR SERIES TRUST S&P DIVID ETF            | ETF           | 78464A763 | 19,097 | 328,358   | SH | Sole |
| SPDR SERIES TRUST S&P HOMEBUILD            | ETF           | 78464A888 | 1      | 56        | SH | Sole |
| SPDR SERIES TRUST SHRT INTL ETF            | ETF           | 78464A334 | 1,646  | 44,920    | SH | Sole |
| STRYKER CORP COM                           | COM           | 863667101 | 253    | 4,612     | SH | Sole |
| SUN CMNTYS INC COM                         | COM           | 866674104 | 501    | 12,562    | SH | Sole |
| SUNTRUST BKS INC COM                       | COM           | 867914103 | 2,614  | 92,220    | SH | Sole |
| SUPERIOR ENERGY SVCS INC COM               | COM           | 868157108 | 1,560  | 75,277    | SH | Sole |
| SWIFT ENERGY CO COM                        | COM           | 870738101 | 293    | 19,012    | SH | Sole |
| SYNGENTA AG SPONSORED ADR                  | SPONSORED ADR | 87160A100 | 903    | 11,172    | SH | Sole |
| SYNNEX CORP COM                            | COM           | 87162W100 | 79     | 2,285     | SH | Sole |
| TAIWAN SEMICONDUCTOR MFG LTD               |               |           |        |           |    |      |
| SPONSORED ADR                              | SPONSORED ADR | 874039100 | 1,179  | 68,693    | SH | Sole |
| TAL INTL GROUP INC COM                     | COM           | 874083108 | 621    | 17,057    | SH | Sole |
| TARGET CORP COM                            | COM           | 87612E106 | 1      | 14        | SH | Sole |
| TATA MTRS LTD SPONSORED ADR                | SPONSORED ADR | 876568502 | 1,141  | 39,735    | SH | Sole |
| TE CONNECTIVITY LTD REG SHS                | COM           | H84989104 | 2,313  | 62,314    | SH | Sole |
| TELUS CORPORATION                          | COM           | 87971M202 | 11,914 | 182,902   | SH | Sole |
| TEVA PHARMACEUTICAL INDS LTD ADR           | ADR           | 881624209 | 1,798  | 48,154    | SH | Sole |
| THOMSON REUTERS CORPORATION                | COM           | 884903105 | 6,752  | 232,364   | SH | Sole |
| TIM HORTONS INC                            | COM           | 88706M103 | 5,904  | 120,056   | SH | Sole |
| TIME WARNER INC COM NEW                    | COM           | 887317303 | 2,970  | 62,087    | SH | Sole |
| TORONTO-DOMINION BANK/THE                  | COM           | 891160509 | 5,601  | 66,418    | SH | Sole |
| TOYOTA MOTOR CORP SP ADR REP2COM           | COM           | 892331307 | 2,345  | 25,144    | SH | Sole |
| TRANSALTA CORPORATION                      | COM           | 89346D107 | 1,518  | 99,628    | SH | Sole |
| TRANSCANADA CORPORATION                    | COM           | 89353D107 | 4,562  | 96,407    | SH | Sole |
| TREEHOUSE FOODS INC COM                    | COM           | 89469A104 | 2,982  | 57,200    | SH | Sole |
| TRIUMPH GROUP INC NEW COM                  | COM           | 896818101 | 6,305  | 96,550    | SH | Sole |
| TUPPERWARE BRANDS CORP COM                 | COM           | 899896104 | 3,136  | 48,919    | SH | Sole |
| UGI CORP NEW COM                           | COM           | 902681105 | 1,925  | 58,840    | SH | Sole |
| UMPQUA HLDGS CORP COM                      | COM           | 904214103 | 538    | 45,668    | SH | Sole |
| UNITED TECHNOLOGIES CORP COM               | COM           | 913017109 | 5,258  | 64,112    | SH | Sole |
| VANGUARD BD INDEX FD INC INTERMED<br>TERM  | ETF           | 921937819 | 1,140  | 12,913    | SH | Sole |
| VANGUARD BD INDEX FD INC LONG TERM<br>BOND | ETF           | 921937793 | 5,238  | 55,798    | SH | Sole |
| VANGUARD BD INDEX FD INC SHORT TRM<br>BOND | ETF           | 921937827 | 33,918 | 418,794   | SH | Sole |
| VANGUARD BD INDEX FD INC TOTAL BND<br>MRKT | ETF           | 921937835 | 97,722 | 1,162,939 | SH | Sole |
| VANGUARD INDEX FDS GROWTH ETF              | ETF           | 922908736 | 33,296 | 467,770   | SH | Sole |
| VANGUARD INDEX FDS LARGE CAP ETF           | ETF           | 922908637 | 57     | 877       | SH | Sole |
| VANGUARD INDEX FDS REIT ETF                | ETF           | 922908553 | 7,205  | 109,495   | SH | Sole |
| VANGUARD INDEX FDS SM CP VAL ETF           | ETF           | 922908611 | 11,712 | 161,217   | SH | Sole |

| COLUMN 1                          | COLUMN 2          | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6             |                    |
|-----------------------------------|-------------------|-----------|--------------------|--------------------|----------------------|--------------------|
| NAME OF ISSUER                    | TITLE<br>OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/ PUT/<br>PRN CALL | INVESTM<br>DISCRET |
| VANGUARD INDEX FDS SMALL CP ETF   | ETF               | 922908751 | 22                 | 273                | SH                   | Sole               |
| VANGUARD INDEX FDS SML CP GRW ETF | ETF               | 922908595 | 19,780             | 222,173            | SH                   | Sole               |

Edgar Filing: GENWORTH FINANCIAL INC - Form 13F-HR

|  |      |           |        |           |    |      |
|--|------|-----------|--------|-----------|----|------|
| VANGUARD INDEX FDS TOTAL STK MKT                 | ETF  | 922908769 | 2      | 28        | SH | Sole |
| VANGUARD INDEX FDS VALUE ETF                     | ETF  | 922908744 | 37,042 | 629,961   | SH | Sole |
| VANGUARD INTL EQUITY INDEX F ALLWRLD<br>EX US    | ETF  | 922042775 | 50,067 | 1,094,368 | SH | Sole |
| VANGUARD INTL EQUITY INDEX F FTSE<br>SMCAP ETF   | ETF  | 922042718 | 11,795 | 129,767   | SH | Sole |
| VANGUARD INTL EQUITY INDEX F GLB EX<br>US ETF    | ETF  | 922042676 | 2,763  | 50,210    | SH | Sole |
| VANGUARD INTL EQUITY INDEX F MSCI<br>EMR MKT ETF | ETF  | 922042858 | 33,178 | 745,067   | SH | Sole |
| VANGUARD INTL EQUITY INDEX F MSCI<br>EUROPE ETF  | ETF  | 922042874 | 44,451 | 910,142   | SH | Sole |
| VANGUARD INTL EQUITY INDEX F MSCI<br>PAC ETF     | ETF  | 922042866 | 21,268 | 398,361   | SH | Sole |
| VANGUARD SCOTTSDALE FDS INT-TERM<br>CORP         | ETF  | 92206C870 | 24,684 | 281,583   | SH | Sole |
| VANGUARD SCOTTSDALE FDS MORTG-BACK<br>SEC        | ETF  | 92206C771 | 10,809 | 207,070   | SH | Sole |
| VANGUARD TAX MANAGED INTL FD MSCI<br>EAFE ETF    | ETF  | 921943858 | 9,746  | 276,627   | SH | Sole |
| VANGUARD WHITEHALL FDS INC HIGH DIV<br>YLD       | ETF  | 921946406 | 6,694  | 135,569   | SH | Sole |
| VANGUARD WORLD FD EXTENDED DUR                   | ETF  | 921910709 | 44     | 382       | SH | Sole |
| VANGUARD WORLD FD MEGA CAP INDEX                 | ETF  | 921910873 | 58     | 1,197     | SH | Sole |
| VERISIGN INC COM                                 | COM  | 92343E102 | 1,454  | 37,447    | SH | Sole |
| VERIZON COMMUNICATIONS INC COM                   | COM  | 92343V104 | 2,541  | 58,714    | SH | Sole |
| VISA INC COM CL A                                | CL A | 92826C839 | 2,382  | 15,713    | SH | Sole |
| WABTEC CORP COM                                  | COM  | 929740108 | 6,014  | 68,699    | SH | Sole |
| WATERS CORP COM                                  | COM  | 941848103 | 4,921  | 56,485    | SH | Sole |
| WILLIAMS COS INC DEL COM                         | COM  | 969457100 | 3,167  | 96,720    | SH | Sole |
| WILLIAMS SONOMA INC COM                          | COM  | 969904101 | 0      | 1         | SH | Sole |
| WISDOMTREE TRUST ASIA PAC EXJP FD                | ETF  | 97717W828 | 7,480  | 108,314   | SH | Sole |
| WISDOMTREE TRUST AUSTRALIA DIV FD                | ETF  | 97717W810 | 952    | 16,374    | SH | Sole |
| WISDOMTREE TRUST EMERG MKTS ETF                  | ETF  | 97717W315 | 1,126  | 19,682    | SH | Sole |
| WISDOMTREE TRUST EQTY INC ETF                    | ETF  | 97717W208 | 6,567  | 143,383   | SH | Sole |
| WISDOMTREE TRUST EUROPE SMCP DV                  | ETF  | 97717W869 | 931    | 22,675    | SH | Sole |
| WISDOMTREE TRUST FUTRE STRAT FD                  | ETF  | 97717W125 | 299    | 7,418     | SH | Sole |
| WISDOMTREE TRUST GLB EX US UTIL                  | ETF  | 97717W653 | 46     | 2,483     | SH | Sole |
| WISDOMTREE TRUST JAPN HEDGE EQT                  | ETF  | 97717W851 | 18,040 | 489,163   | SH | Sole |
| WISDOMTREE TRUST SMALLCAP DIVID                  | ETF  | 97717W604 | 1,829  | 35,899    | SH | Sole |

| COLUMN 1           | COLUMN 2          | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            |                          |
|--------------------|-------------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER     | TITLE<br>OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| WPX ENERGY INC COM | COM               | 98212B103 | 0                  | 9                  | SH                  | Sole                     |
| YAMANA GOLD INC    | COM               | 98462Y100 | 371                | 21,536             | SH                  | Sole                     |
| YUM BRANDS INC COM | COM               | 988498101 | 5,815              | 87,576             | SH                  | Sole                     |