

SCHWAB CHARLES CORP
Form SC 13D/A
March 09, 2006

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13D
(Rule 13d-101)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO RULE
13d-1(a) AND AMENDMENTS THERETO FILED PURSUANT TO RULE 13d-2(a)
(Amendment No. 11)*

The Charles Schwab Corporation

(Name of Issuer)

Common Stock, par value \$0.01 per share

(Title of Class of Securities)

808513-10-5

(CUSIP Number)

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(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

February 28, 2006

(Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition which is the subject of this Schedule 13D, and is filing this schedule because of Rule 13d-1(c), 13d-1(f) or 13d-1(g), check the following box " ".

Note: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7(b) for other parties to whom copies are to be sent.

* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page. The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 (Act) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

1 Name of Reporting Person IRS Identification No. of Above Person

Charles R. Schwab

2 Check the Appropriate Box if a member of a Group

(a) ..

(b) ..

3 SEC USE ONLY

4 Source of Funds

5 Check Box if Disclosure of Legal Proceedings is Required Pursuant to Items 2(d) or 2(e) ..

6 Citizenship or Place of Organization

United States of America

7 Sole Voting Power

NUMBER OF

SHARES **18,207,495**

8 Shared Voting Power

BENEFICIALLY

OWNED BY

EACH **223,537,965**

9 Sole Dispositive Power

REPORTING

PERSON **18,207,495**

WITH

10 Shared Dispositive Power

223,537,965

11 Aggregate Amount Beneficially Owned by Each Reporting Person

241,745,460

12 Check Box if the Aggregate Amount in Row 11 Excludes Certain Shares

13 Percent of Class Represented by Amount in Row 11

18.7%

14 Type of Reporting Person

IN

Item 1. Security and Issuer.

This Amendment No. 11 to Schedule 13D (this Amendment) relates to the common stock, par value \$0.01 per share (Common Stock), of The Charles Schwab Corporation (the Issuer).

The address of the principal executive office the Issuer is:

The Charles Schwab Corporation
120 Kearny Street
San Francisco, California 94108

Item 2. Identity and Background.

- (a) Mr. Charles R. Schwab
- (b) The Charles Schwab Corporation
120 Kearny Street
San Francisco, California 94108
- (c) Chairman, Chief Executive Officer and Director
The Charles Schwab Corporation
120 Kearny Street
San Francisco, California 94108
- (d) Inapplicable
- (e) Inapplicable
- (f) United States of America

Item 3. Source and Amount of Funds or Other Consideration.

Inapplicable

Item 4. Purpose of Transaction.

The shares of Common Stock are held for personal investment, except as noted in Item 5 below.

Item 5. Interest in Securities of the Issuer.

- (a) 241,745,460 shares of Common Stock (including 5,850,000 shares which may be acquired within sixty days upon exercise of options) representing 18.7% of the Common Stock outstanding.
- (b) The 241,745,460 shares of Common Stock referred to in Item 5(a) above consist of: (i) 18,207,495 shares of Common Stock as to which Mr. Schwab has sole voting and dispositive power; and (ii) 223,537,965 shares of Common Stock as to which Mr. Schwab has shared voting power and shared dispositive power (including 146,882,048 shares held by Mr. and Mrs. Schwab as trustees of the Charles & Helen Trust; 11,190,811 shares held by Mr. and Mrs. Schwab as trustees of the Charles & Helen Schwab Living Trust; 42,853,958 shares held by HOS Family Partners, LLC, a limited liability company organized and existing under the

laws of the State of Delaware as to which Mr. and Mrs. Schwab are two of three members with shared voting and dispositive power; 7,977,765 shares held by Mrs. Schwab; 1,716,198 shares held by the trustee of the Charles Schwab Profit Sharing and Employee Stock Ownership Plan and allocated to Mr. Schwab's individual ESOP account; 12,911,185 shares held by the Charles & Helen Schwab Foundation, a nonprofit public benefit corporation as to which Mr. and Mrs. Schwab, as two of four directors, have shared voting and dispositive power but disclaim beneficial ownership; and 6,000 shares held in the Kevin P. O'Neill Children's Trust for which Mr. Schwab acts as trustee).

(c) The following transactions in Common Stock were effected by Mr. Schwab in the sixty days prior to the filing of this Amendment:

Date of Transaction	Amount of Securities Involved	Nature of Transaction	Price Per Share	Where and How Effected
February 2, 2006	25,300	Sale	\$ 14.33	Open Market
February 2, 2006	12,900	Sale	\$ 14.34	Open Market
February 2, 2006	16,724	Sale	\$ 14.35	Open Market
February 2, 2006	9,176	Sale	\$ 14.36	Open Market
February 2, 2006	14,100	Sale	\$ 14.37	Open Market
February 2, 2006	13,300	Sale	\$ 14.38	Open Market
February 2, 2006	53,227	Sale	\$ 14.39	Open Market
February 2, 2006	32,895	Sale	\$ 14.40	Open Market
February 2, 2006	13,250	Sale	\$ 14.41	Open Market
February 2, 2006	13,261	Sale	\$ 14.42	Open Market
February 2, 2006	7,571	Sale	\$ 14.43	Open Market
February 2, 2006	35,600	Sale	\$ 14.44	Open Market
February 2, 2006	31,800	Sale	\$ 14.45	Open Market
February 2, 2006	19,250	Sale	\$ 14.46	Open Market
February 2, 2006	4,164	Sale	\$ 14.47	Open Market
February 2, 2006	24,991	Sale	\$ 14.48	Open Market
February 2, 2006	28,300	Sale	\$ 14.49	Open Market
February 2, 2006	16,100	Sale	\$ 14.50	Open Market
February 2, 2006	1,900	Sale	\$ 14.51	Open Market
February 2, 2006	28,100	Sale	\$ 14.52	Open Market
February 2, 2006	5,028	Sale	\$ 14.53	Open Market
February 2, 2006	7,400	Sale	\$ 14.54	Open Market
February 2, 2006	17,800	Sale	\$ 14.55	Open Market
February 2, 2006	3,600	Sale	\$ 14.56	Open Market
February 2, 2006	9,200	Sale	\$ 14.57	Open Market
February 2, 2006	3,716	Sale	\$ 14.58	Open Market
February 2, 2006	17,447	Sale	\$ 14.59	Open Market
February 2, 2006	5,200	Sale	\$ 14.60	Open Market
February 2, 2006	17,700	Sale	\$ 14.61	Open Market
February 2, 2006	4,700	Sale	\$ 14.62	Open Market
February 2, 2006	6,300	Sale	\$ 14.64	Open Market
February 3, 2006	13,418	Sale	\$ 14.27	Open Market
February 3, 2006	2,500	Sale	\$ 14.28	Open Market
February 3, 2006	11,273	Sale	\$ 14.29	Open Market
February 3, 2006	9,940	Sale	\$ 14.30	Open Market

Date of Transaction	Amount of Securities Involved	Nature of Transaction	Price Per Share	Where and How Effected
February 3, 2006	23,916	Sale	\$ 14.31	Open Market
February 3, 2006	32,800	Sale	\$ 14.32	Open Market
February 3, 2006	44,691	Sale	\$ 14.33	Open Market
February 3, 2006	42,402	Sale	\$ 14.34	Open Market
February 3, 2006	21,050	Sale	\$ 14.35	Open Market
February 3, 2006	6,073	Sale	\$ 14.36	Open Market
February 3, 2006	13,627	Sale	\$ 14.37	Open Market
February 3, 2006	5,373	Sale	\$ 14.38	Open Market
February 3, 2006	9,100	Sale	\$ 14.39	Open Market
February 3, 2006	17,066	Sale	\$ 14.40	Open Market
February 3, 2006	11,803	Sale	\$ 14.41	Open Market
February 3, 2006	17,327	Sale	\$ 14.42	Open Market
February 3, 2006	4,300	Sale	\$ 14.43	Open Market
February 3, 2006	400	Sale	\$ 14.44	Open Market
February 3, 2006	3,900	Sale	\$ 14.46	Open Market
February 3, 2006	3,535	Sale	\$ 14.47	Open Market
February 3, 2006	4,814	Sale	\$ 14.49	Open Market
February 3, 2006	10,700	Sale	\$ 14.53	Open Market
February 3, 2006	15,587	Sale	\$ 14.54	Open Market
February 3, 2006	21,470	Sale	\$ 14.55	Open Market
February 3, 2006	21,149	Sale	\$ 14.56	Open Market
February 3, 2006	1,000	Sale	\$ 14.57	Open Market
February 3, 2006	4,600	Sale	\$ 14.58	Open Market
February 3, 2006	13,950	Sale	\$ 14.59	Open Market
February 3, 2006	33,431	Sale	\$ 14.60	Open Market
February 3, 2006	25,209	Sale	\$ 14.61	Open Market
February 3, 2006	6,843	Sale	\$ 14.62	Open Market
February 3, 2006	32,113	Sale	\$ 14.63	Open Market
February 3, 2006	8,800	Sale	\$ 14.64	Open Market
February 3, 2006	5,740	Sale	\$ 14.65	Open Market
February 3, 2006	100	Sale	\$ 14.66	Open Market
February 6, 2006	1,800	Sale	\$ 14.44	Open Market
February 6, 2006	9,600	Sale	\$ 14.45	Open Market
February 6, 2006	7,900	Sale	\$ 14.46	Open Market
February 6, 2006	4,405	Sale	\$ 14.47	Open Market
February 6, 2006	26,449	Sale	\$ 14.48	Open Market
February 6, 2006	13,000	Sale	\$ 14.49	Open Market
February 6, 2006	16,200	Sale	\$ 14.50	Open Market
February 6, 2006	22,300	Sale	\$ 14.51	Open Market
February 6, 2006	28,004	Sale	\$ 14.52	Open Market
February 6, 2006	14,697	Sale	\$ 14.53	Open Market
February 6, 2006	29,990	Sale	\$ 14.54	Open Market
February 6, 2006	20,100	Sale	\$ 14.55	Open Market
February 6, 2006	31,579	Sale	\$ 14.56	Open Market
February 6, 2006	21,677	Sale	\$ 14.57	Open Market
February 6, 2006	33,090	Sale	\$ 14.58	Open Market
February 6, 2006	63,650	Sale	\$ 14.59	Open Market

Date of Transaction	Amount of Securities Involved	Nature of Transaction	Price Per Share	Where and How Effectuated
February 6, 2006	24,328	Sale	\$ 14.60	Open Market
February 6, 2006	34,011	Sale	\$ 14.61	Open Market
February 6, 2006	16,598	Sale	\$ 14.62	Open Market
February 6, 2006	26,400	Sale	\$ 14.63	Open Market
February 6, 2006	20,400	Sale	\$ 14.64	Open Market
February 6, 2006	14,835	Sale	\$ 14.65	Open Market
February 6, 2006	5,300	Sale	\$ 14.66	Open Market
February 6, 2006	8,700	Sale	\$ 14.67	Open Market
February 6, 2006	4,987	Sale	\$ 14.68	Open Market
February 7, 2006	3,700	Sale	\$ 14.44	Open Market
February 7, 2006	10,200	Sale	\$ 14.45	Open Market
February 7, 2006	15,200	Sale	\$ 14.46	Open Market
February 7, 2006	6,300	Sale	\$ 14.47	Open Market
February 7, 2006	3,269	Sale	\$ 14.48	Open Market
February 7, 2006	10,700	Sale	\$ 14.49	Open Market
February 7, 2006	90,444	Sale	\$ 14.50	Open Market
February 7, 2006	38,956	Sale	\$ 14.51	Open Market
February 7, 2006	11,631	Sale	\$ 14.52	Open Market
February 7, 2006	9,500	Sale	\$ 14.53	Open Market
February 7, 2006	5,800	Sale	\$ 14.54	Open Market
February 7, 2006	14,780	Sale	\$ 14.55	Open Market
February 7, 2006	19,800	Sale	\$ 14.56	Open Market
February 7, 2006	10,307	Sale	\$ 14.57	Open Market
February 7, 2006	4,700	Sale	\$ 14.58	Open Market
February 7, 2006	7,851	Sale	\$ 14.59	Open Market
February 7, 2006	16,600	Sale	\$ 14.60	Open Market
February 7, 2006	15,900	Sale	\$ 14.61	Open Market
February 7, 2006	5,900	Sale	\$ 14.63	Open Market
February 7, 2006	11,300	Sale	\$ 14.64	Open Market
February 7, 2006	33,768	Sale	\$ 14.65	Open Market
February 7, 2006	32,935	Sale	\$ 14.66	Open Market
February 7, 2006	45,481	Sale	\$ 14.67	Open Market
February 7, 2006	60,520	Sale	\$ 14.68	Open Market
February 7, 2006	11,158	Sale	\$ 14.69	Open Market
February 7, 2006	3,200	Sale	\$ 14.70	Open Market
February 7, 2006	100	Sale	\$ 14.71	Open Market
February 8, 2006	30,000	Sale	\$ 14.46	Open Market
February 8, 2006	176,559	Sale	\$ 14.47	Open Market
February 8, 2006	39,624	Sale	\$ 14.48	Open Market
February 8, 2006	15,942	Sale	\$ 14.49	Open Market
February 8, 2006	34,693	Sale	\$ 14.50	Open Market
February 8, 2006	1,546	Sale	\$ 14.51	Open Market
February 8, 2006	22,000	Sale	\$ 14.52	Open Market
February 8, 2006	20,700	Sale	\$ 14.53	Open Market
February 8, 2006	15,800	Sale	\$ 14.54	Open Market
February 8, 2006	7,500	Sale	\$ 14.55	Open Market
February 8, 2006	12,165	Sale	\$ 14.56	Open Market

Date of Transaction	Amount of Securities Involved	Nature of Transaction	Price Per Share	Where and How Effected
February 8, 2006	4,400	Sale	\$ 14.57	Open Market
February 8, 2006	15,500	Sale	\$ 14.58	Open Market
February 8, 2006	21,897	Sale	\$ 14.59	Open Market
February 8, 2006	4,600	Sale	\$ 14.60	Open Market
February 8, 2006	1,434	Sale	\$ 14.61	Open Market
February 8, 2006	700	Sale	\$ 14.62	Open Market
February 8, 2006	10,500	Sale	\$ 14.63	Open Market
February 8, 2006	11,718	Sale	\$ 14.64	Open Market
February 8, 2006	14,200	Sale	\$ 14.65	Open Market
February 8, 2006	9,000	Sale	\$ 14.66	Open Market
February 8, 2006	1,300	Sale	\$ 14.67	Open Market
February 8, 2006	1,100	Sale	\$ 14.68	Open Market
February 8, 2006	1,900	Sale	\$ 14.69	Open Market
February 8, 2006	3,679	Sale	\$ 14.70	Open Market
February 8, 2006	1,143	Sale	\$ 14.71	Open Market
February 8, 2006	17,113	Sale	\$ 14.72	Open Market
February 8, 2006	2,987	Sale	\$ 14.73	Open Market
February 8, 2006	100	Sale	\$ 14.74	Open Market
February 8, 2006	100	Sale	\$ 14.76	Open Market
February 8, 2006	100	Sale	\$ 14.77	Open Market
February 9, 2006	3,300	Sale	\$ 14.59	Open Market
February 9, 2006	6,200	Sale	\$ 14.60	Open Market
February 9, 2006	6,707	Sale	\$ 14.61	Open Market
February 9, 2006	4,300	Sale	\$ 14.62	Open Market
February 9, 2006	14,600	Sale	\$ 14.63	Open Market
February 9, 2006	4,904	Sale	\$ 14.64	Open Market
February 9, 2006	6,400	Sale	\$ 14.65	Open Market
February 9, 2006	42,650	Sale	\$ 14.66	Open Market
February 9, 2006	24,489	Sale	\$ 14.67	Open Market
February 9, 2006	23,808	Sale	\$ 14.68	Open Market
February 9, 2006	60,920	Sale	\$ 14.69	Open Market
February 9, 2006	35,889	Sale	\$ 14.70	Open Market
February 9, 2006	90,595	Sale	\$ 14.71	Open Market
February 9, 2006	19,284	Sale	\$ 14.72	Open Market
February 9, 2006	59,997	Sale	\$ 14.73	Open Market
February 9, 2006	21,804	Sale	\$ 14.74	Open Market
February 9, 2006	8,619	Sale	\$ 14.75	Open Market
February 9, 2006	10,233	Sale	\$ 14.76	Open Market
February 9, 2006	10,672	Sale	\$ 14.77	Open Market
February 9, 2006	15,252	Sale	\$ 14.78	Open Market
February 9, 2006	1,700	Sale	\$ 14.79	Open Market
February 9, 2006	3,875	Sale	\$ 14.80	Open Market
February 9, 2006	2,500	Sale	\$ 14.81	Open Market
February 9, 2006	400	Sale	\$ 14.82	Open Market
February 9, 2006	6,100	Sale	\$ 14.83	Open Market
February 9, 2006	10,402	Sale	\$ 14.84	Open Market
February 9, 2006	2,700	Sale	\$ 14.85	Open Market

Date of Transaction	Amount of Securities Involved	Nature of Transaction	Price Per Share	Where and How Effected
February 9, 2006	600	Sale	\$ 14.86	Open Market
February 9, 2006	400	Sale	\$ 14.87	Open Market
February 9, 2006	200	Sale	\$ 14.88	Open Market
February 9, 2006	400	Sale	\$ 14.89	Open Market
February 9, 2006	100	Sale	\$ 14.90	Open Market
February 10, 2006	2,000	Sale	\$ 14.31	Open Market
February 10, 2006	2,100	Sale	\$ 14.33	Open Market
February 10, 2006	800	Sale	\$ 14.34	Open Market
February 10, 2006	5,125	Sale	\$ 14.35	Open Market
February 10, 2006	700	Sale	\$ 14.36	Open Market
February 10, 2006	700	Sale	\$ 14.37	Open Market
February 10, 2006	3,200	Sale	\$ 14.38	Open Market
February 10, 2006	1,900	Sale	\$ 14.39	Open Market
February 10, 2006	13,600	Sale	\$ 14.40	Open Market
February 10, 2006	6,900	Sale	\$ 14.41	Open Market
February 10, 2006	6,100	Sale	\$ 14.42	Open Market
February 10, 2006	12,300	Sale	\$ 14.43	Open Market
February 10, 2006	19,950	Sale	\$ 14.44	Open Market
February 10, 2006	4,800	Sale	\$ 14.45	Open Market
February 10, 2006	24,400	Sale	\$ 14.46	Open Market
February 10, 2006	79,313	Sale	\$ 14.47	Open Market
February 10, 2006	42,718	Sale	\$ 14.48	Open Market
February 10, 2006	66,939	Sale	\$ 14.49	Open Market
February 10, 2006	26,240	Sale	\$ 14.50	Open Market
February 10, 2006	30,425	Sale	\$ 14.51	Open Market
February 10, 2006	16,952	Sale	\$ 14.52	Open Market
February 10, 2006	10,097	Sale	\$ 14.53	Open Market
February 10, 2006	17,982	Sale	\$ 14.54	Open Market
February 10, 2006	13,964	Sale	\$ 14.55	Open Market
February 10, 2006	9,244	Sale	\$ 14.56	Open Market
February 10, 2006	11,532	Sale	\$ 14.57	Open Market
February 10, 2006	15,504	Sale	\$ 14.58	Open Market
February 10, 2006	3,700	Sale	\$ 14.59	Open Market
February 10, 2006	2,346	Sale	\$ 14.60	Open Market
February 10, 2006	100	Sale	\$ 14.61	Open Market
February 10, 2006	2,800	Sale	\$ 14.63	Open Market
February 10, 2006	4,500	Sale	\$ 14.64	Open Market
February 10, 2006	13,454	Sale	\$ 14.65	Open Market
February 10, 2006	11,900	Sale	\$ 14.66	Open Market
February 10, 2006	6,600	Sale	\$ 14.67	Open Market
February 10, 2006	1,400	Sale	\$ 14.68	Open Market
February 10, 2006	2,515	Sale	\$ 14.69	Open Market
February 10, 2006	4,200	Sale	\$ 14.70	Open Market
February 10, 2006	800	Sale	\$ 14.73	Open Market
February 10, 2006	200	Sale	\$ 14.74	Open Market
February 13, 2006	5,000	Sale	\$ 14.36	Open Market
February 13, 2006	15,100	Sale	\$ 14.37	Open Market

Date of Transaction	Amount of Securities Involved	Nature of Transaction	Price Per Share	Where and How Effected
February 13, 2006	49,604	Sale	\$ 14.38	Open Market
February 13, 2006	27,077	Sale	\$ 14.39	Open Market
February 13, 2006	39,308	Sale	\$ 14.40	Open Market
February 13, 2006	12,028	Sale	\$ 14.41	Open Market
February 13, 2006	14,036	Sale	\$ 14.42	Open Market
February 13, 2006	27,900	Sale	\$ 14.43	Open Market
February 13, 2006	28,200	Sale	\$ 14.44	Open Market
February 13, 2006	20,900	Sale	\$ 14.45	Open Market
February 13, 2006	65,041	Sale	\$ 14.46	Open Market
February 13, 2006	90,007	Sale	\$ 14.47	Open Market
February 13, 2006	76,647	Sale	\$ 14.48	Open Market
February 13, 2006	6,500	Sale	\$ 14.49	Open Market
February 13, 2006	17,600	Sale	\$ 14.50	Open Market
February 13, 2006	3,650	Sale	\$ 14.51	Open Market
February 13, 2006	1,402	Sale	\$ 14.52	Open Market
February 14, 2006	6,000	Sale	\$ 14.54	Open Market
February 14, 2006	4,900	Sale	\$ 14.55	Open Market
February 14, 2006	100	Sale	\$ 14.56	Open Market
February 14, 2006	500	Sale	\$ 14.57	Open Market
February 14, 2006	9,900	Sale	\$ 14.58	Open Market
February 14, 2006	5,300	Sale	\$ 14.59	Open Market
February 14, 2006	4,900	Sale	\$ 14.60	Open Market
February 14, 2006	6,800	Sale	\$ 14.61	Open Market
February 14, 2006	800	Sale	\$ 14.62	Open Market
February 14, 2006	2,000	Sale	\$ 14.63	Open Market
February 14, 2006	600	Sale	\$ 14.64	Open Market
February 14, 2006	800	Sale	\$ 14.65	Open Market
February 14, 2006	300	Sale	\$ 14.66	Open Market
February 14, 2006	600	Sale	\$	