

RUBICON FINANCIAL INC
Form NT 10-Q
November 15, 2013

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 12b-25

SEC FILE NUMBER: 000-29315
CUSIP NUMBER: 788112N100

NOTIFICATION OF LATE FILING

(Check One)

Form 10-K Form 20-F Form 11-K
 Form 10-Q Form 10-D Form Form
N-SAR N-CSR

For Period Ended: September 30, 2013

- Transition Report on Form 10-K
- Transition Report on Form 20-F
- Transition Report on Form 11-K
- Transition Report on Form 10-Q
- Transition Report on Form N-SAR

For the Transition Period Ended: N/A

Nothing in this Form shall be construed to imply that the Commission has verified any information contained herein.

If the notification relates to a portion of the filing checked above, identify the Item(s) to which the notification relates: N/A

PART I - REGISTRANT INFORMATION

Rubicon Financial
Incorporated
Full Name of Registrant

N/A

Former Name if Applicable

18872 MacArthur Blvd.,
First Floor
Address of Principal
Executive Office (Street &
Number)

Irvine, CA 92612
City, State and Zip Code

PART II - RULES 12b-25(b) AND (c)

If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b-25(b), the following should be completed. (Check box if appropriate)

(a) The reason described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense;

(b) The subject annual report, semi-annual report, transition report on Form 10-K, Form 20-F, Form 11-K, Form N-SAR or Form N-CSR, or portion thereof, will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report or transition report or transition report on Form 10-Q or subject distribution report on Form 10-D, or portion thereof, will be filed on or before the fifth calendar day following the prescribed due date; and

(c) The accountant's statement or other exhibit required by Rule 12b-25(c) has been attached if applicable.

PART III - NARRATIVE

The Registrant is unable to file its quarterly report on Form 10-Q within the prescribed time period because the Registrant is awaiting final review of its financial statements for the relevant quarter and completion of XBRL conversion of its financial statements. The Registrant currently expects to file its Form 10-Q for the third quarter ended September 30, 2013 on or before the fifth calendar day following the prescribed due date for the filing of the Registrant's Form 10-Q.

PART IV - OTHER INFORMATION

(1) Name and telephone number of person to contact in regard to this notification:

Joseph Mangiapane, Jr.	(888)	668-9567
(Name)	(Area Code)	(Telephone Number)

(2) Have all other periodic reports required under Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months or for such shorter period that the registrant was required to file such report(s) been filed?

Yes No

If answer is no, identify report(s).

(3) Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earning statements to be included in the subject report or portion thereof?

Yes No

The Registrant expects to report approximately \$4.91 million and \$13.42 million in revenues for the three and nine months ended September 30, 2013, respectively, compared to revenues of \$3.72 million and \$11.67 million for the same periods in 2012. Further, the Registrant anticipates reporting net operating losses of \$9,047 and \$147,699 for the three and nine months ended September 30, 2013, respectively, as opposed to net operating losses of \$73,738 and \$249,881 for the same quarters of 2012. In addition, the Registrant anticipates reporting a total comprehensive loss of

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\$23,913 and total comprehensive income of \$59,818 for the three and nine months ended September 30, 2013, respectively, contrasting to total comprehensive loss of \$66,646 and total comprehensive income of \$1,546,129 for the same periods of 2012. However, these results of operations are still under review and subject to change.

Rubicon Financial Incorporated
(Name of Registrant as Specified in Charter)

has caused this notification to be signed on its behalf by the undersigned thereunto duly authorized.

Date: November 15, 2013

By: /s/ Joseph Mangiapane, Jr.

Joseph Mangiapane, Jr., Chief Executive Officer

ATTENTION

INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACT CONSTITUTE FEDERAL CRIMINAL VIOLATIONS (See 18 U.S.C.1001).
