FISERV INC Form 4 February 21, 2014

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

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Check this box

if no longer

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **GUPTA RAHUL** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

FISERV INC [FISV]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director

10% Owner Other (specify

255 FISERV DRIVE

(Street)

(Month/Day/Year) 02/19/2014

X\_ Officer (give title below) below) EVP, Group President

6. Individual or Joint/Group Filing(Check

Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**BROOKFIELD, WI 53045** 

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Common 02/19/2014 Stock

(Instr. 3)

6,144 A \$0 (1)

97,159 (2) (3) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: FISERV INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (right to buy)	\$ 56.97	02/19/2014		A	18,646		02/19/2015(4)	02/19/2024	Common Stock	18,646
Stock Option (right to buy)	\$ 40.35 (3)						02/20/2014(4)	02/20/2023	Common Stock	27,860 (3)
Stock Option (right to buy)	\$ 32.64						02/22/2013(4)	02/22/2022	Common Stock	25,528 (3)
Stock Option (right to buy)	\$ 30.86						02/23/2012(4)	02/23/2021	Common Stock	15,364 (3)
Stock Option (right to buy)	\$ 23.85						02/24/2011	02/24/2020	Common Stock	14,444 (3)
Stock Option (right to buy)	\$ 16.37 (3)						02/26/2010	02/26/2019	Common Stock	33,848
Stock Option (right to buy)	\$ 27.11 ( <u>3)</u>						02/23/2011	02/27/2018	Common Stock	32,282 (3)
Stock Option (right to buy)	\$ 27.11 ( <u>3)</u>						02/27/2009	02/27/2018	Common Stock	27,672 (3)
Stock Option (right to buy)	\$ 26.53 (3)						03/30/2008	03/30/2017	Common Stock	34,850 (3)

Stock

Option \$ 26.25 (right to buy)

12/18/2008 12/18/2016 Common

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

**GUPTA RAHUL** 255 FISERV DRIVE **BROOKFIELD, WI 53045** 

EVP, Group President

# **Signatures**

/s/ Lynn S. McCreary (attorney-in-fact)

02/21/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-third of these restricted stock units vest on the second, third and fourth anniversaries of the grant date.
- (2) Includes 569 shares acquired under the Fisery, Inc. Employee Stock Purchase Plan.
- Adjusted to reflect the two-for-one split of Fisery, Inc. common stock distributed on December 16, 2013 to holders of record on December 2, 2013.
- (4) One-third of these options vest on each anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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