

TWITTER, INC.
Form 4
November 13, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
J.P. Morgan Investment Management Inc.

2. Issuer Name and Ticker or Trading Symbol
TWITTER, INC. [TWTR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
270 PARK AVENUE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/13/2013

____ Director 10% Owner
____ Officer (give title below) Other (specify below)

NEW YORK, NY 10017

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|------------|---|------------------|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 11/12/2013 ⁽¹⁾ | | C | | 18,320,186 | A | 11 | 24,972,312 | I | See footnote (7) |
| Common Stock | 11/12/2013 ⁽¹⁾ | | C | | 11,755 | A | 11 | 5,006,119 | I | See footnote (8) |
| Common Stock | 11/12/2013 ⁽²⁾ | | C | | 315,164 | A | 12 | 5,321,283 | I | See footnote (8) |
| Common Stock | 11/12/2013 ⁽³⁾ | | C | | 2,812,757 | A | 13 | 27,785,069 | I | See footnote (7) |

Edgar Filing: TWITTER, INC. - Form 4

| | | | | | | | | |
|--------------|---------------------------|---|-----------|---|------------|------------|---|-------------------------|
| Common Stock | 11/12/2013 ⁽³⁾ | C | 393,672 | A | <u>(3)</u> | 5,714,955 | I | See footnote <u>(8)</u> |
| Common Stock | 11/12/2013 ⁽⁴⁾ | C | 944,459 | A | <u>(4)</u> | 28,729,528 | I | See footnote <u>(7)</u> |
| Common Stock | 11/12/2013 ⁽⁴⁾ | C | 1,734,738 | A | <u>(4)</u> | 7,449,693 | I | See footnote <u>(8)</u> |
| Common Stock | 11/12/2013 ⁽⁵⁾ | C | 3,435,699 | A | <u>(5)</u> | 32,165,227 | I | See footnote <u>(7)</u> |
| Common Stock | 11/12/2013 ⁽⁵⁾ | C | 1,001,832 | A | <u>(5)</u> | 8,451,525 | I | See footnote <u>(8)</u> |
| Common Stock | 11/12/2013 ⁽⁶⁾ | C | 8,233,068 | A | <u>(6)</u> | 16,684,593 | I | See footnote <u>(8)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|------------|--|------------------|---|------------|
| | | | | | V | (A) | (D) | Date Exercisable | Expiration Date | Title |
| Series A Preferred Stock | <u>(1)</u> | 11/12/2013 ⁽¹⁾ | | C | | 18,320,186 | <u>(1)</u> | <u>(1)</u> | Common Stock | 18,320,186 |
| Series A Preferred Stock | <u>(1)</u> | 11/12/2013 ⁽¹⁾ | | C | | 11,755 | <u>(1)</u> | <u>(1)</u> | Common Stock | 11,755 |
| Series B Preferred Stock | <u>(2)</u> | 11/12/2013 ⁽²⁾ | | C | | 315,164 | <u>(2)</u> | <u>(2)</u> | Common Stock | 315,164 |
| | <u>(3)</u> | 11/12/2013 ⁽³⁾ | | C | | 2,812,757 | <u>(3)</u> | <u>(3)</u> | | 2,812,757 |

| | | | | | | | | | |
|----------------------------|-----|---------------|---|-----------|-----|-----|--|--------------|-----------|
| Series C Preferred Stock | | | | | | | | Common Stock | |
| Series C Preferred Stock | (3) | 11/12/2013(3) | C | 393,672 | (3) | (3) | | Common Stock | 393,672 |
| Series D Preferred Stock | (4) | 11/12/2013(4) | C | 944,459 | (4) | (4) | | Common Stock | 944,459 |
| Series D Preferred Stock | (4) | 11/12/2013(4) | C | 1,734,738 | (4) | (4) | | Common Stock | 1,734,738 |
| Series E Preferred Stock | (5) | 11/12/2013(5) | C | 3,435,699 | (5) | (5) | | Common Stock | 3,435,699 |
| Series E Preferred Stock | (5) | 11/12/2013(5) | C | 1,001,832 | (5) | (5) | | Common Stock | 1,001,832 |
| Series G-1 Preferred Stock | (6) | 11/12/2013(6) | C | 8,233,068 | (6) | (6) | | Common Stock | 8,233,068 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| J.P. Morgan Investment Management Inc. 270 PARK AVENUE NEW YORK, NY 10017 | | | X | |

Signatures

/s/ Tyler Jayroe, J.P. Morgan Investment Management Inc., By: Tyler Jayroe, Executive Director 11/13/2013

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Immediately prior to the consummation of the Issuer's initial public offering, all shares of Series A preferred stock were automatically converted on a one-for-one basis to shares of common stock of the Issuer. The Series A preferred stock had no expiration date.
 - Immediately prior to the consummation of the Issuer's initial public offering, all shares of Series B preferred stock were automatically converted on a one-for-one basis to shares of common stock of the Issuer. The Series B preferred stock had no expiration date.
 - Immediately prior to the consummation of the Issuer's initial public offering, all shares of Series C preferred stock were automatically converted on a one-for-one basis to shares of common stock of the Issuer. The Series C preferred stock had no expiration date.

Edgar Filing: TWITTER, INC. - Form 4

- (4) Immediately prior to the consummation of the Issuer's initial public offering, all shares of Series D preferred stock were automatically converted on a one-for-one basis to shares of common stock of the Issuer. The Series D preferred stock had no expiration date.
- (5) Immediately prior to the consummation of the Issuer's initial public offering, all shares of Series E preferred stock were automatically converted on a one-for-one basis to shares of common stock of the Issuer. The Series E preferred stock had no expiration date.
- (6) Immediately prior to the consummation of the Issuer's initial public offering, all shares of Series G-1 preferred stock were automatically converted on a one-for-one basis to shares of common stock of the Issuer. The Series G-1 preferred stock had no expiration date.

Represents shares of common stock held by Institutional Associates Fund, LLC ("IAF"). J.P. Morgan Investment Management Inc. ("JPMIM") serves as investment advisor to J.P. Morgan Digital Growth Fund L.P. ("DGF"), which is the majority member of RTLC,

- (7) LLC, a member-managed limited liability company. In order to make certain dispositions of its securities, IAF is required to obtain the consent of RTLC, LLC. JPMIM disclaims beneficial ownership of the shares held by IAF and disclaims pecuniary interest in such shares except to the extent of its economic interest.

Represents shares of common stock held by RTLC II, LLC, a member-managed limited liability company. JPMIM serves as investment

- (8) advisor to DGF, which is the majority member of RTLC II, LLC. JPMIM disclaims beneficial ownership of the shares held by RTLC II, LLC and disclaims pecuniary interest in such shares except to the extent of its economic interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.