

CHASE PETER R
Form 4
July 24, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
CHASE PETER R

(Last) (First) (Middle)

295 UNIVERSITY AVE.

(Street)

WESTWOOD, MA 02090

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

CHASE CORP [CCF]

3. Date of Earliest Transaction
(Month/Day/Year)

07/23/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Executive Chairman

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Chase Corporation Common Stock				(A) or (D)	Price		
			Code	V	Amount		
						5,693	D
Chase Corporation Common Stock						258,451 ⁽²⁾	I
	07/23/2018		S	500 ⁽⁵⁾	D	231,410 ⁽¹⁾	I

Peter R.
Chase
2016
Qualified
Annuity
Trust
#2-ML

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Chase Corporation Common Stock	\$ 121.5 ⁽⁶⁾		Peter R. Chase Insurance Trust
Chase Corporation Common Stock	75,612 ⁽³⁾	I	Peter R. Chase 2018 Qualified Annuity Trust #2-ML
Chase Corporation Common Stock	415,000 ⁽⁴⁾	I	Peter R. Chase 2018 Qualified Annuity Trust-RJ

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CHASE PETER R 295 UNIVERSITY AVE.	X	X	Executive Chairman	

Reporting Owners

WESTWOOD, MA 02090

Signatures

Paula Myers by power of
attorney

07/24/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares held by the Peter R. Chase Insurance Trust.

(2) Reflects shares held by the Peter R. Chase 2016 Qualified Annuity Trust #2, a grantor retained annuity trust. ML

(3) Represents shares held by the Peter R. Chase 2018 Qualified Annuity Trust #2, a grantor retained annuity trust. ML

(4) Represents shares held by the Peter R. Chase 2018 Qualified Annuity Trust, a grantor retained annuity trust. RJ

(5) Represents shares sold pursuant to a trading plan that was adopted on February 13, 2018, complying with Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.

Represents a weighted average price. These shares were sold in multiple transactions at prices ranging from \$121.00 and \$122.00. For all transactions reported on this date utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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