

PLAETHN MARTIN
Form 4
February 16, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
PLAETHN MARTIN

2. Issuer Name and Ticker or Trading Symbol
CONTROL4 CORP [CTRL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
C/O CONTROL4 CORPORATION, 11734 S. ELECTION ROAD

3. Date of Earliest Transaction (Month/Day/Year)
02/15/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, CEO and President

(Street)
SALT LAKE CITY, UT 84020

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				(A) or (D)	Price				
				Code V	Amount				
Common Stock	02/15/2018		M	5,000	A	Ⓣ	57,120	D	
Common Stock	02/15/2018		F	1,468 (2)	D	\$	24.76	55,652	D
Common Stock	02/15/2018		M	15,000	A	Ⓣ	70,652	D	
Common Stock	02/15/2018		F	6,653 (2)	D	\$	24.76	63,999	D
Common Stock	02/15/2018		M	9,500	A	Ⓣ	73,499	D	

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Common Stock	02/15/2018	F	4,214 (2)	D	\$ 24.76	69,285	D	
Common Stock	02/15/2018	M	5,000	A	Ⓛ	74,285	D	
Common Stock	02/15/2018	F	1,468 (3)	D	\$ 24.76	72,817	D	
Common Stock	02/15/2018	M	15,000	A	Ⓛ	87,817	D	
Common Stock	02/15/2018	F	5,427 (3)	D	\$ 24.76	82,390	D	
Common Stock	02/15/2018	M	19,600	A	Ⓛ	101,990	D	
Common Stock	02/15/2018	F	8,693 (3)	D	\$ 24.76	93,297	D	
Common Stock						498	I	By 401(k) Plan (10)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Restricted Stock Units	Ⓛ	02/15/2018		M	5,000	(4) (4)	Common Stock 5
Restricted Stock Units	Ⓛ	02/15/2018		M	15,000	(5) (5)	Common Stock 15
Restricted Stock Units	Ⓛ	02/15/2018		M	9,500	(6) (6)	Common Stock 9
Performance-based Restricted Stock	Ⓛ	02/15/2018		M	5,000	(7) (7)	Common Stock 5

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- (9) This PSU award was granted on January 3, 2017, and these shares represent all of the shares in the award which vested on February 15, 2018 due to the achievement of a certain 2017 financial performance goal.
- (10) Based on the Reporting Person's most recent 401(k) Plan statement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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