



Edgar Filing: GWG Holdings, Inc. - Form 3/A

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date				
Stock Option (right to buy)	Â (3)	09/04/2023	Common Stock	6,000	\$ 8.28	D Â
Stock Option (right to buy)	Â (4)	04/06/2024	Common Stock	5,000	\$ 8.2	D Â
Stock Option (right to buy)	Â (5)	09/01/2024	Common Stock	5,000	\$ 8.71	D Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Sabes Jon 220 SOUTH SIXTH STREET, SUITE 1200 MINNEAPOLIS, MN 55402	Â X	Â X	Â Chief Executive Officer	Â

## Signatures

/s/ Bill Acheson, as  
Attorney-in -fact

09/26/2014

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A Minnesota limited liability company, of which the Reporting Person is a manager and member.
- (2) Held by certain trusts, of which the Reporting Person is a beneficiary.
- (3) 2,000 shares vests on each of 9/5/2014, 9/5/2015 and 9/5/2016.
- (4) 1,667 shares vest on each of 4/7/2015 and 4/7/2016, 1,666 shares vest on 4/7/2017.
- (5) 1,667 shares vest on each of 9/2/2015 and 9/2/2016, 1,666 shares vest on 9/2/2017.

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### Remarks:

Power of Attorney filed on 9/19/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.