

Edgar Filing: RONINGEN ROBERT N - Form 4/A

RONINGEN ROBERT N  
Form 4/A  
January 15, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4/A-2

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5  
obligations may continue. See Instruction 1(b).

(Print or Type Responses)

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1. Name and Address of Reporting Person\*

ROBERT N. RONINGEN

-----  
(Last)

(First)

(Middle)

2955 STRAND ROAD

-----  
(Street)

DULUTH, MINN 55804

-----  
(City)

(State)

(Zip)

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2. Issuer Name and Ticker or Trading Symbol

LEADVILLE MINING & MILLING CORP

LMMI

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3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

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4. Statement for Month/Day/Year

October 31, 2002(1)

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5. If Amendment, Date of Original (Month/Day/Year)

November 12, 2002

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6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

Director

10% Owner

Officer (give title below)

Other (specify below)

SR. V.P., SECRETARY

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7. Individual or Joint/Group Filing (Check Applicable line)

- Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Trans-<br>action<br>Date<br>(mm/dd/yy) | 2A.<br>Deemed<br>Execution<br>Date, if<br>any<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  |        |
|---------------------------------------|--|---|---|---|--|------------------|--------|
|                                       |  |   | Code                                    | V | Amount   | (A)<br>or<br>(D) | Price  |
| Common Stock                          | 10-31-02 (1)                                 |   | M                                       |   | 772,727  | A                | \$.022 |
| Common Stock                          |  |   |   |   |  |                  |        |
|                                       |  |   |   |   |  |                  |        |
|                                       |  |   |   |   |  |                  |        |
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|                                       |  |   |   |   |  |                  |        |
|                                       |  |   |   |   |  |                  |        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(1) Transaction date in prior filings inaccurately stated as November12, 2002.  
 \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(mm/dd/<br>yy) | 3A.<br>Deemed<br>Execut-<br>ion<br>Date if<br>any<br>(mm/dd/<br>yy) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8)<br>-----<br>Code V | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date |          | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares |         |
|--|---|--|---|--|--|---|----------|--|---------|
| Common<br>Stock<br>Options                             | \$.022  | 10/31/02   |   | M  | 772,727  | 11/1/99   | 11/1/02  | Common<br>Stock  | 772,727 |
| Common<br>Stock<br>Options                             | \$.022  |  |   |  |  | 6/5/98  | 6/5/04   | Common<br>Stock  | 150,000 |
| Common<br>Stock<br>Options                             | \$.22   |  |   |  |  | 11/16/00  | 11/16/03 | Common<br>Stock  | 500,000 |
|  |   |  |   |  |  |   |          |  |         |
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Explanation of Responses:

/s/ Robert N. Roningen

January 15, 2003

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\*\*Signature of Reporting Person  
Robert N. Roningen

-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space is insufficient, see Instruction 6 for procedure.

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