

TRI VALLEY CORP  
Form 8-K  
October 01, 2009

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported):

**October 1, 2009**

**Tri-Valley Corporation**

(Exact name of registrant as specified in its charter)

**Delaware**

(State or other jurisdiction of  
incorporation or organization)

**001-31852**

(Commission File Number)

**84-0617466**

(IRS Employer  
Identification No.)

**4550 California Blvd., Suite 600**

**Bakersfield, California 93309**

(Address of principal executive office)

Issuer's telephone number: **661-864-0500**

**Section 5 Corporate Governance and Management**

**Item 5.02 Appointment of Principal Officers**

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On October 1, 2009, Tri-Valley Corporation appointed John E. Durbin to be its Chief Financial Officer, succeeding Arthur M. Evans who becomes Chief Compliance Officer. A press release announcing the appointments of Mr. Durbin and Mr. Evans is attached.

Tri-Valley and Mr. Durbin have entered into a letter agreement concerning his employment, by which Mr. Durbin will be paid a monthly salary of \$15,000 and will receive options to purchase 100,000 shares of Tri-Valley's common stock which vest incrementally over the next three years. He will receive the insurance and retirement benefits that are generally available to Tri-Valley employees.

### **Section 9**            **Financial Statements and Exhibits**

#### **Item 9.01**            **Financial Statements and Exhibits**

Exhibit 99.1        Press Release

### **Signatures**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

TRI-VALLEY CORPORATION

Date: October 1, 2009

/s/ Maston N. Cunningham  
Maston N. Cunningham, President and  
Chief Operating Officer