Edgar Filing: Spark Therapeutics, Inc. - Form 4

Spark Thera Form 4 February 13	•											
FORM	ΠΛ							OMB AF	PROVAL			
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COM Washington, D.C. 20549							OMMISSION	OMB Number:	3235-0287			
Check th if no long						Expires:	January 31, 2005					
subject to		MENT OF C		IGES IN BENEFICIAL OWNER				Estimated a				
Section 16. SECURITIES							burden hours per					
Form 4 c Form 5			· 1((a)	C	·		A £ 1024	response 0.8				
obligatio	.		tion 16(a) of th			•						
may con	tinue.		the Investment	•	· ·		1935 or Section	1				
See Instr 1(b).	ruction	50(II) 0I t	ine mvestmen	i Compan	y Aci	. 01 1940	0					
1(0).												
(Print or Type	Responses)											
1. Name and Address of Reporting Person [*] _ 2. Issuer Name and Ticker or [*] _					Tradin	g	5. Relationship of Reporting Person(s) to					
Mehra Anar	mbol				Issuer							
	ark Therapeut	ics, Inc. [ONC	E]	(Check all applicable)							
(Last)	(First) (Middle) 3. I	Date of Earliest T	ransaction			(chief)		,			
			onth/Day/Year)	-				_X_ Director 10% Owner				
			/09/2017	2017				Officer (give title Other (specify below) below)				
SAND HIL	L ROAD, 4-250											
(Street) 4. If Ame			f Amendment, D	endment, Date Original			6. Individual or Joint/Group Filing(Check					
Filed(Mon			ed(Month/Day/Yea	r)			Applicable Line)					
MENLO PARK, CA 94025 Form filed by One Reporting Person Form filed by More than One Reporting Person Form filed by More than One Reporting Person												
MENLO FA	AKK, CA 94023						Person					
(City)	(State)	(Zip)	Table I - Non-	Derivative	Securi	ties Acqu	iired, Disposed of,	, or Beneficiall	y Owned			
1.Title of	2. Transaction Date	e 2A. Deemed	3.	4. Securit	ies Ac	quired	5. Amount of	6.	7. Nature of			
Security	(Month/Day/Year)	Execution Dat		on(A) or Di	sposed	of (D)	Securities	Ownership	Indirect			
(Instr. 3)		any (Month/Day/)	Code	(Instr. 3, 4	4 and 5	5)	Beneficially	Form: Direct				
		(Month/Day/Y	Year) (Instr. 8)				Owned Following	(D) or Indirect (I)	Ownership (Instr. 4)			
					(A)		Reported	(Instr. 4)	~ /			
					or		Transaction(s)					
			Code V	Amount	(D)	Price	(Instr. 3 and 4)					
Common			a (1)	07.111	D	\$	0.40.044		See			
Stock	02/09/2017		S <u>(1)</u>	37,411	D		842,241	Ι	footnote			
						(2)			(3)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	99 11 99 14 15 15 16 17 17 17 17 17 17 17 17 17 17 17 17 17
				Code	v	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Repor	ting O	wners										
Descrit		(Relations	hips								

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Mehra Anand SOFINNOVA VENTURES, 3000 SAND HILL ROAD, 4-250 MENLO PARK, CA 94025	Х							
Signatures								

/s/ Nathalie Auber, attorney-in-fact for Anand Mehra

**Signature of Reporting Person

02/13/2017 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by Sofinnova Venture Partners VIII, L.P. ("SVP VIII") on December 23, 2016.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$65.00 to \$65.20, inclusive. The reporting person undertakes to provide to Spark Therapeutics, Inc., any security holder of Spark Therapeutics,

(2) Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote (2) to this Form 4.

The securities are owned directly by SVP VIII. Sofinnova Management VIII, L.L.C. ("SM VIII"), the general partner of SVP VIII, and Anand Mehra, a director of the Issuer, James I. Healy, and Michael Powell, the managing members of SM VIII, may be deemed to have

(3) Anald Wenta, a director of the issuer, ranges i. Heavy, and whichaer rowen, the managing members of SM VIII, may be deemed to have shared voting and dispositive power over the shares owned by SVP VIII. Such persons and entities disclaim beneficial ownership over the shares owned by SVP VIII except to the extent of any pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Edgar Filing: Spark Therapeutics, Inc. - Form 4

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr