Edgar Filing: Spark Therapeutics, Inc. - Form 4

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Form 4	2015										
February 06	ЛЛ		CECU						OMB AF	PROVAL	
	UNITED	STATES			AND EXCH , D.C. 20549		E CC	OMMISSION	OMB Number:	3235-0287	
Check th if no lon subject t Section Form 4 o Form 5 obligatio may con	suant to S a) of the F	F CHANGES IN BENEFICIAL OWNERSH SECURITIES Section 16(a) of the Securities Exchange Act of Public Utility Holding Company Act of 1935 o of the Investment Company Act of 1940						Expires: January 3 200 Estimated average burden hours per response 0			
See Instr 1(b).	ruction	50(II) (ivestillent	Company P	101 01	1940	, 			
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Mehra Anand			2. Issuer Name and Ticker or Trading Symbol Spark Therapeutics, Inc. [ONCE]					5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) C/O SOFINNOVA VENTURES, 3000 SAND HILL ROAD, 4-250			3. Date of Earliest Transaction (Month/Day/Year) 02/04/2015				-	(Check all applicable) <u>X</u> Director <u>10%</u> Owner <u>Officer (give title</u> Other (specify below)			
(Street) MENLO PARK, CA 94025			Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tabl	le I - Non-I	Derivative Sec	urities	s Acqui	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, any (Month/Day/Year)		ed Date, if	3. 4. Securities Acquired (A , if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) ear) (Instr. 8) (A) or				Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	02/04/2015			C	1,893,576	A	Price (<u>1</u>)	1,893,576	Ι	See footnote (2)	
Common Stock	02/04/2015			Р	225,000	A	\$ 23	2,118,576	Ι	See footnote (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Derivative Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
	,			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou Numł Share
Series B Preferred Stock	<u>(1)</u>	02/04/2015		А	30,222		(1)	<u>(1)</u>	Common Stock	30
Series B Preferred Stock	<u>(1)</u>	02/04/2015		С		1,893,576	(1)	(1)	Common Stock	1,89

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Mehra Anand C/O SOFINNOVA VENTURES 3000 SAND HILL ROAD, 4-250 MENLO PARK, CA 94025	Х						
Signatures							
/s/ Nathalie Auber, attorney-in-fact Mehra	02/06/2015						
<u>**</u> Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares of Series B Preferred Stock, while outstanding, accrued stock dividends payable in additional shares of Series B Preferred Stock at a rate of 8% per annum from November 23, 2014. These accrued stock dividends became payable in connection with the closing of the

(1) issuer's initial public offering pursuant to a registration statement on Form S-1 under the Securities Act of 1933, as amended (the "IPO"). The outstanding shares of Series B Preferred Stock, including the 30,222 shares issued as accrued stock dividends, converted into Spark Therapeutics, Inc. common stock upon the closing of the issuer's IPO, and have no expiration date.

The securities are owned directly by Sofinnova Venture Partners VIII, L.P. ("SVP VIII"). Sofinnova Management VIII, L.L.C. ("SM VIII"), the general partner of SVP VIII, and Anand Mehra, a director of the Issuer, James I. Healy, Srinivas Akkaraju, and Michael

(2) Powell, the managing members of SM VIII, may be deemed to have shared voting and dispositive power over the shares owned by SVP VIII. Such persons and entities disclaim beneficial ownership over the shares owned by SVP VIII except to the extent of any pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners