

Edgar Filing: Horizon Technology Finance Corp - Form 8-K

Horizon Technology Finance Corp  
Form 8-K  
June 30, 2011

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): June 30, 2011

HORIZON TECHNOLOGY FINANCE CORPORATION  
(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation)	814-00802 (Commission File Number)	27-2114934 (I.R.S. Employer Identification No.)
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312 Farmington Avenue  
Farmington, CT 06032  
(Address of principal executive offices and zip code)

Registrant's telephone number, including area code: (860) 676-8654

Check the appropriate box below if the Form 8-K is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Section 8            Other Events  
Item 8.01           Other Events

On June 30, 2011, Horizon Technology Finance Corporation (the “Company”) issued a press release to announce that it realized aggregate gains of \$5.0 million from the sale of its portfolio warrants and stock in two of its portfolio companies in the second quarter of 2011. A copy of the press release is attached hereto as exhibit 99.1.

Section 9            Financial Statements and Exhibits  
Item 9.01           Financial Statements and Exhibits

(d) Exhibits.

99.1                Press Release of the Company dated June 30, 2011.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: June 30, 2011

HORIZON TECHNOLOGY FINANCE CORPORATION

By: /s/ Robert D. Pomeroy, Jr.  
Robert D. Pomeroy, Jr.  
Chief Executive Officer