Kaloski Donald M. Jr. Form 3 June 01, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Village Bank & Trust Financial Corp. [VBFC] Kaloski Donald M. Jr. (Month/Day/Year) 06/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) P.O. BOX 330 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting \_X\_\_ Officer \_ Other Person MIDLOTHIAN. VAÂ 23113 (give title below) (specify below) Form filed by More than One **EVP-CFO** Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 166 Time-Based Restricted Stock Awarded 350 (1) D Â Time-Based Restricted Stock Awarded 500 (2) D Â Â 250 (3) Time-Based Restricted Stock Awarded D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date

3. Title and Amount of Securities Underlying

4. S. 6. Nature of Indirect Conversion

Conversion

Ownership

#### Edgar Filing: Kaloski Donald M. Jr. - Form 3

(Month/Day/Year) **Derivative Security** or Exercise (Instr. 5) Form of (Instr. 4) Price of Derivative Derivative Security: Date **Expiration Title** Amount or Direct (D) Security Exercisable Number of or Indirect Shares (I) (Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Kaloski Donald M. Jr.

P.O. BOX 330 Â Â ÊVP-CFO Â

MIDLOTHIAN, VAÂ 23113

# **Signatures**

/s/ Donald M. Kaloski, Jr., EVP-CFO 06/01/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Awarded 7/10/17 to vest 34/33/33 on 7/10/18, 7/10/19 and 7/10/20.
- (2) Awarded on 7/1/16 to vest 25/25/50 on 7/1/17, 7/1/18 and 7/1/19.
- (3) Awarded 7/2/15 to vest 25/25/50 on 7/2/16, 7/2/17 and 7/2/18.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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