Kramer Robert Form 4 March 13, 2018

# FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Common

Stock

Form 5

obligations

may continue.

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** Kramer Robert |            |             | Symbol                                  | Name and                       |       |           |   | 5. Relationship of Reporting Person(s) to Issuer |  |  |                                     |  |  |
|--|------------|-------------|---|--------------------------------|-------|-----------|---|--|--|--|-------------------------------------|--|--|
|  |            |             | Emergent BioSolutions Inc. [EBS]        |                                |       |           |   | (Check all applicable)                           |  |  |                                     |  |  |
| (Last)   | (First)    | (Middle)    | 3. Date of                              | Earliest T                     | ran   | saction   |   |  |  |  |                                     |  |  |
| 400 PROFESSIONAL DR, SUITE<br>400                        |            |             | `                                       | (Month/Day/Year)<br>03/09/2018 |       |           |   |  |  | Director 10% Owner Other (specify below) below)  EVP, Administration and CFO |                                     |  |  |
| (Street)   |            | 4. If Ame   | 4. If Amendment, Date Original          |                                |       |           |   | 6. Individual or Joint/Group Filing(Check        |  |  |                                     |  |  |
| GAITHERS (City)  | `          | nth/Day/Yea |   | rivative :                     | Secur | ities Acq | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person quired, Disposed of, or Beneficially Owned |  |  |  |                                     |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                     | any        |             | eemed<br>ation Date, if<br>th/Day/Year) | on Date, if Transaction Code   |       |           | spose<br>4 and<br>(A)   |  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) |  | Indirect<br>Beneficial<br>Ownership |  |  |
| C  |            |             |   | Code V                         | T A   | Amount    | or<br>(D)   | Price  | (Instr. 3 and 4)   |  |                                     |  |  |
| Common Stock (1)   | 03/09/2018 |             |   | F                              | 1     | 1,727     | D   | \$<br>51.84                                      | 89,879   | D  |                                     |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

 $1,000^{(2)}$ 

I

By Family

Trust

#### Edgar Filing: Kramer Robert - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc       | cisable and     | 7. Titl | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------|------------|---------------------|-----------------|---------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration Date     |                 | Amou    | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/         | Year)           | Under   | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e                   |                 | Securi  | ities    | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |             | Securities |                     |                 | (Instr. | 3 and 4) |             | Own    |
|             | Security    |                     |                    |             | Acquired   |                     |                 |         |          |             | Follo  |
|             | •           |                     |                    |             | (A) or     |                     |                 |         |          |             | Repo   |
|             |             |                     |                    |             | Disposed   |                     |                 |         |          |             | Trans  |
|             |             |                     |                    |             | of (D)     |                     |                 |         |          |             | (Instr |
|             |             |                     |                    |             | (Instr. 3, |                     |                 |         |          |             |        |
|             |             |                     |                    |             | 4, and 5)  |                     |                 |         |          |             |        |
|             |             |                     |                    |             |            |                     |                 |         | A        |             |        |
|             |             |                     |                    |             |            |                     |                 |         | Amount   |             |        |
|             |             |                     |                    | C-l- V      |            | Date<br>Exercisable | Expiration Date | ate of  |          |             |        |
|             |             |                     |                    |             |            |                     |                 |         | Number   |             |        |
|             |             |                     |                    |             | (A) (D)    |                     |                 |         |          |             |        |
|             |             |                     |                    | Code V      | (A) (D)    |                     |                 |         | Shares   |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Other Director 10% Owner Officer

Kramer Robert 400 PROFESSIONAL DR, SUITE 400 GAITHERSBURG, MD 20879

EVP, Administration and CFO

### **Signatures**

/s/ Eric Burt, 03/13/2018 Attorney-in-fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Consists of restricted stock units granted under the Amended and Restated Emergent BioSolutions Inc. 2006 Stock Incentive Plan, as **(1)** amended.
- The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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