

INTUIT INC  
Form 4  
July 25, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**SMITH BRAD D**

(Last) (First) (Middle)

**C/O INTUIT INC., 2700 COAST AVENUE**

(Street)

**MOUNTAIN VIEW, CA 94043**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**INTUIT INC [INTU]**

3. Date of Earliest Transaction (Month/Day/Year)  
**07/21/2016**

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**Chairman, CEO & President**

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |     |       |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|-----|-------|
|                                 |                                      |  |                                | (A) or (D)  | Code  | V  | Amount                            | (D) | Price |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying (Instr. 3 and 4) |
|--|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|--|
|--|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|--|

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|  | Price of<br>Derivative<br>Security | (Month/Day/Year) | (Instr. 8)<br>Code | Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) |     | Date<br>Exercisable | Expiration<br>Date | Title           |
|--|------------------------------------|------------------|--------------------|---|-----|---------------------|--------------------|-----------------|
|  |                                    |                  |                    | (A)   | (D) |                     |                    |                 |
| Non-Qualified<br>Stock Option (right<br>to buy)                        | \$ 113.19                          | 07/21/2016       | A                  | 213,000   |     | <u>(1)</u>          | 07/20/2023         | Common<br>Stock |
| Restricted Stock<br>Units <u>(2)</u>                                   | <u>(3)</u>                         | 07/21/2016       | A                  | 36,000  |     | <u>(4)</u>          | <u>(5)</u>         | Common<br>Stock |
| Restricted Stock<br>Units<br>(performance-based<br>vesting) <u>(2)</u> | <u>(3)</u>                         | 07/21/2016       | A                  | 74,000<br><u>(6)</u>  |     | <u>(6)</u>          | <u>(5)</u>         | Common<br>Stock |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                           |       |
|---|---------------|-----------|---------------------------|-------|
|   | Director      | 10% Owner | Officer                   | Other |
| SMITH BRAD D<br>C/O INTUIT INC.<br>2700 COAST AVENUE<br>MOUNTAIN VIEW, CA 94043 | X             |           | Chairman, CEO & President |       |

## Signatures

/s/ Kerry McLean, by  
power-of-attorney

07/25/2016

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-third of the options vest on the one-year anniversary of the grant date, and thereafter 2.778% of the options vest on each monthly anniversary of the first vesting date until 100% vested.
  - (2) Dividend rights accrue on the underlying shares for this award and settle in cash upon vesting and issuance of those shares.
  - (3) 1-for-1.
  - (4) One-third of the RSUs vest on each of 7/21/2017, 7/21/2018, and 7/21/2019 provided a pre-determined one year operating goal threshold is achieved. This award is subject to deferred release. The vested RSUs have a release date one year from the date of vesting.
  - (5) RSUs have no expiration date; they either vest or are canceled prior to the vest date.
  - (6) The target number of units subject to the award is presented in the table; the number that vests may be 0%- 200% of this number ("awarded units"), depending upon performance. Following the achievement by the issuer of certain total shareholder return objectives, the awarded units will vest on 9/1/2019. Vested restricted stock units will be paid in an equal number of shares of Intuit Inc. common stock. This award is subject to deferred release. The vested RSUs have a release date one year from the date of vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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