

HNI CORP
Form 3
May 20, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Berger Vincent P</p> <p>(Last) (First) (Middle)</p> <p>408 EAST SECOND STREET</p> <p>(Street)</p> <p>MUSCATINE,Â IAÂ 52761</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>05/10/2016</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>HNI CORP [HNI]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>President, Hearth & Home Tech</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	3,508.832 <u>(1)</u> <u>(2)</u>	D	Â
Common Stock	582.8409	I	Profit-Sharing Retirement

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	02/12/2017	02/12/2017	Common Stock	3,000	\$ 0 ⁽³⁾	D	Â
Non-qualifying employee stock option (right to buy)	02/17/2014 ⁽⁴⁾	02/17/2020	Common Stock	8,739	\$ 23.99	D	Â
Non-qualifying employee stock option (right to buy)	02/16/2015 ⁽⁴⁾	02/16/2021	Common Stock	3,500	\$ 31.98	D	Â
Non-qualifying employee stock option (right to buy)	02/16/2015 ⁽⁴⁾	02/16/2021	Common Stock	3,831	\$ 31.98	D	Â
Non-qualifying employee stock option (right to buy)	02/15/2016 ⁽⁴⁾	02/15/2022	Common Stock	5,996	\$ 25.46	D	Â
Non-qualifying employee stock option (right to buy)	02/13/2017 ⁽⁵⁾	02/13/2023	Common Stock	4,628	\$ 31.79	D	Â
Non-qualifying employee stock option (right to buy)	02/12/2018 ⁽⁵⁾	02/12/2024	Common Stock	4,084	\$ 34.78	D	Â
Non-qualifying employee stock option (right to buy)	02/18/2019 ⁽⁵⁾	02/18/2025	Common Stock	4,965	\$ 51.54	D	Â
Non-qualifying employee stock option (right to buy)	02/17/2020 ⁽⁵⁾	02/17/2026	Common Stock	11,574	\$ 32.03	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Berger Vincent P 408 EAST SECOND STREET MUSCATINE, IA 52761	Â	Â	Â President, Hearth & Home Tech	Â

Signatures

/s/ Steven M. Bradford, By Power of Attorney 05/20/2016

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Includes 7,543 shares acquired during fiscal year 2016 under the HNI Corporation 2002 Member Stock Purchase Plan at prices ranging from \$33.618 to \$36.76 per share. The information is as of May 10, 2016. Shares acquired during a given fiscal year under the HNI Corporation 2002 Member Stock Purchase Plan are reported in the aggregate on Form 5 following the end of such fiscal year.
- (1) Includes a total of 1,674 shares granted to the reporting person under the HNI Corporation Supplemental Income Plan and 327,832 shares acquired under the HNI Corporation 2002 Member Stock Purchase Plan. The information is current as of May 10, 2016.
 - (2) Each restricted stock unit represents a contingent right to receive one share of HNI Corporation Common Stock.
 - (3) The option became fully vested and exercisable in one installment on the fourth anniversary of the date of grant.
 - (4) The option will become fully vested and exercisable in one installment on the fourth anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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