Edgar Filing: ASTEC INDUSTRIES INC - Form 4

ASTEC INDUSTRIES INC Form 4						
May 04, 2015						
FORM 4 UNITED S	OMB A	PPROVAL				
UNITEDS		ES SECURITIES AND EXCHANGE COMMISS Washington, D.C. 20549			3235-0287	
Section 16. Form 4 or Form 5 Filed purs	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940					
(Print or Type Responses)						
1. Name and Address of Reporting P RICHMOND JEFFREY L	Symbol	r Name and Ticker or Trading INDUSTRIES INC [ASTE]	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (M		f Earliest Transaction	(Check all applicable)			
1725 SHEPHERD RD	(Month/D 05/01/20	Day/Year)	Director 10% Owner X Officer (give title Other (specify below) below) President-Roadtec			
(Street)		endment, Date Original nth/Day/Year)	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
CHATTANOOGA, TN 37421	l		Form filed by M Person	Iore than One Re	eporting	
(City) (State) (Z	Zip) Tabl	e I - Non-Derivative Securities Ac	quired, Disposed of	, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
		Code V Amount (D) Price	(Instr. 3 and 4)			
Common Stock			8,062 (1)	D		
Common Stock			45	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Secu (A) ((D)	umber of vative rities Acquired or Disposed of r. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day)	ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Phantom Stock (2)	(2)	05/01/2015		Ι		3,400.9287 (2)	(2)	(2)	Common Stock	3,400.92

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
RICHMOND JEFFREY L 1725 SHEPHERD RD CHATTANOOGA, TN 37421			President-Roadtec			
Signatures						
Robert Taylor, attorney in fact for Jeffrey L. Richmond			05/04/2015			
**Signature of Reporting Person			Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Holdings are restricted stock units (RSUs) that convert to common stock on a one-for-one basis at a later date.

Phantom shares were held in a SERP. Under the plan's provisions, PhantomShares can be sold at the reporting person's discretion at any(2) time and reinvested in other allows invstments. Phantom shares held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.