## Edgar Filing: STERLING CONSTRUCTION CO INC - Form 4

STERLING C Form 4 May 12, 2014	CONSTRUCTION	I CO INC								
FORM 4       OMB APROVAL         UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB Number:       3235-02         Check this box if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Expires:       20         Estimated - urage burden hours per								3235-0287 January 31, 2005 average irs per		
Schaum Richard O Symbol STER			Issuer Name <b>and</b> Ticker or Trading nbol 'ERLING CONSTRUCTION CO C [STRL]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(N			3. Date of Earliest Transaction (Month/Day/Year) 05/09/2014				X_Director10% Owner Officer (give title below) Other (specify below)			
HOUSTON, (City)	mendment, Date Original Aonth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>					
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed	3. Transactio Code ) (Instr. 8)	4. Securi onAcquirec Disposec (Instr. 3, Amount 6,203	ties l (A) c l of (D	or ))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-	
Stock	05/09/2014		А	6,203 ( <u>1)</u>	Α	<b>\$</b> 0 (1)	22,898	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. or/Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Schaum Richard O 20810 FERNBUSH LANE HOUSTON, TX 77073	Х						
Signatures							
Roger M. Barzun under a Powe Attorney	er of	05	5/12/2014	4			

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares were awarded to the Reporting Person by the Company pursuant to the Company's standard director compensation

(1) arrangements for non-employee directors. The award is subject to an agreement providing restrictions and other conditions on the resale of the shares as well as for their possible forfeiture under certain circumstances.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.