Edgar Filing: DORRIS RICHARD J - Form 4

| DORRIS RIC | CHARD J | | | | | | | | | | |
|---|--------------------------------------|-------------------|------|--|------------|-----------------------------|---|--|--|------------------------|--|
| Form 4 | 2 | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | OMB APPROVAL OMB 3235-0287 | | | |
| Check this box if no longer subject to Section 16. | | | | | | | January 31, 2005 average | | | | |
| 1. Name and Address of Reporting Person * 2. Issuer DORRIS RICHARD J Symbol | | | | r Name and Ticker or Trading INDUSTRIES INC [ASTE] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) (First) (Middle) 3. Date of (Month/D 1725 SHEPHERD RD 05/28/20 | | | - | | | | Director 10% Owner X Officer (give title Other (specify below) below) Grp VP-Energy; Pres Heatec | | | | |
| | | | | ndment, Date Original hth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| CHAITAN | 000A, IN 374 | -21 | | | | | | Person | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Year |) Executio any | | 3. Transactio Code (Instr. 8) Code V | (Instr. 3, | spose 4 and (A) or | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect Beneficial | |
| Common Stock | | | | | | | | 8,581 <u>(1)</u> | D | | |
| Common Stock | 05/28/2013 | | | S | 450 | D | \$ 35.43 | 3,856 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Unde Secur | le and int of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-----------------------|---|---|--|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|-------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| DORRIS RICHARD J 1725 SHEPHERD RD | | | Grp VP-Energy; | | | | | |
| CHATTANOOGA, TN 37421 | | | Pres Heatec | | | | | |
| Signatures | | | | | | | | |
| Robert Taylor, attorney in fact for Dorris | Richard | 05/29/2 | 2013 | | | | | |
| <pre>**Signature of Reporting Person</pre> | | Date | | | | | | |
| Explanation of Responses: | | | | | | | | |

iation of nesponses.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). **

Reflects restricted stock units (RSUs) that convert to common stock on a one-for-one basis at a later date. (1)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.