## Edgar Filing: WALSH STEPHEN A - Form 4

WALSH STE Form 4	EPHEN A											
April 08, 2013 FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION										OMB APPROVAL		
	Check this box							OMB Number: Expires:	3235-0287 January 31, 2005			
subject to STATEMENT OF CHAN Section 16. Form 4 or				GES IN BENEFICIAL OWNERSHIP OF SECURITIES 6(a) of the Securities Exchange Act of 1934,					Estimated average burden hours per response 0.5			
obligation may conti <i>See</i> Instru- 1(b).	s Section	17(a) of the $17(a)$		ility Hold	ing Com	ipany	Act of	f 1935 or Sectio	n			
(Print or Type R	esponses)											
WALSH STEPHEN A Symbol WESTE CORPO				Name <b>and</b> Ticker or Trading TRN ASSET GLOBAL RATE DEFINED TUNITY FUND INC.				5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give titleX Other (specify				
(Last) (First) (Middle) 3. Date of (Month/Da 385 E. COLORADO BLVD. 04/04/20				ay/Year)					below) ) of Subadviser			
				ndment, Date Original th/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
PASADENA								Person	viore than One Re	porung		
(City) 1.Title of Security (Instr. 3)	(State) 2. Transaction (Month/Day/Y	any		3.	4. Securi on(A) or Di (D) (Instr. 3,	ties A spose	cquired d of	Juired, Disposed of 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	f, or Beneficial 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-		
Common Stock	04/04/2013			Р	524	А	\$ 19.8	1,924	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: WALSH STEPHEN A - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
WALSH STEPHEN A 385 E. COLORADO BLVD. PASADENA, CA 91101				CIO of Subadviser					
Signatures									
/s/ George P. Hoyt by Power of Walsh	04/08/2013								
**Signature of Rep	orting Person	ı		Date					

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.