Edgar Filing: STERLING CONSTRUCTION CO INC - Form 4

STERLING CONSTRUCTION CO INC Form 4 February 06, 2007 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Number: Check this box Expires: if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ABERNATHY JOHN D Issuer Symbol STERLING CONSTRUCTION CO (Check all applicable) INC [STRL] (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) **1840 TURNBULL LAKES DR** 02/05/2007 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Dav/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting NEW SMYRNA BEACH, FL 32168 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 3. 6. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct any (Month/Day/Year) Owned (Instr. 8) (D) or Following Indirect (I) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Amount Code V (D) Price Common \$ 02/05/2007 Μ 10,000 А 20,203 D 0.875 stock

Ownership (Instr. 4) Common 02/05/2007 S⁽¹⁾ 400 D 19,803 D 20.86 stock Common **S**⁽¹⁾ 02/05/2007 1.100 D 18,703 D stock 20.87 Common S(1) 708 D 17,995 D 02/05/2007 stock 20.88 Common 02/05/2007 S⁽¹⁾ 592 D 17,403 D 20.89 stock

3235-0287

January 31,

7. Nature of

Indirect

Beneficial

2005

0.5

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~							
Common stock	02/05/2007	S <u>(1)</u>	1,400	D	\$ 20.9	16,003	D
Common stock	02/05/2007	S <u>(1)</u>	300	D	\$ 20.91	15,703	D
Common stock	02/05/2007	S <u>(1)</u>	200	D	\$ 20.94	15,503	D
Common stock	02/05/2007	S <u>(1)</u>	200	D	\$ 20.95	15,303	D
Common stock	02/05/2007	S <u>(1)</u>	500	D	\$ 20.96	14,803	D
Common stock	02/05/2007	S <u>(1)</u>	100	D	\$ 21	14,703	D
Common stock	02/05/2007	S <u>(1)</u>	100	D	\$ 21.01	14,603	D
Common stock	02/05/2007	S <u>(1)</u>	900	D	\$ 21.04	13,703	D
Common stock	02/05/2007	S <u>(1)</u>	400	D	\$ 21.09	13,303	D
Common stock	02/05/2007	S <u>(1)</u>	100	D	\$ 21.1	13,203	D
Common stock	02/05/2007	S <u>(1)</u>	100	D	\$ 21.13	13,103	D
Common stock	02/05/2007	S <u>(1)</u>	100	D	\$ 21.14	13,003	D
Common stock	02/05/2007	S <u>(1)</u>	2	D	\$ 21.16	13,001	D
Common stock	02/05/2007	S <u>(1)</u>	98	D	\$ 21.17	12,903	D
Common stock	02/05/2007	S <u>(1)</u>	892	D	\$ 21.27	12,011	D
Common stock	02/05/2007	S <u>(1)</u>	216	D	\$ 21.28	11,795	D
Common stock	02/05/2007	S <u>(1)</u>	292	D	\$ 21.29	11,503	D
Common stock	02/05/2007	S <u>(1)</u>	200	D	\$ 21.3	11,303	D
Common stock	02/05/2007	S <u>(1)</u>	200	D	\$ 21.32	11,103	D
Common stock	02/05/2007	S <u>(1)</u>	100	D	\$ 21.35	11,003	D
	02/05/2007	S <u>(1)</u>	100	D		10,903	D

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Common stock					\$ 21.37	
Common stock	02/05/2007	S <u>(1)</u>	400	D	\$ 21.5 10,503	D
Common stock	02/05/2007	S <u>(1)</u>	200	D	\$ 21.51 10,303	D
Common stock	02/05/2007	S <u>(1)</u>	100	D	\$ 10,203 21.54	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of actionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		tiorDerivative Securities) Acquired (A) or Disposed of (D) (Instr. 3, 4,		orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (I	D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$ 0.875	02/05/2007		М	10,	,000	01/13/1998	01/13/2008	Common stock	10,000				

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
ABERNATHY JOHN D 1840 TURNBULL LAKES DR NEW SMYRNA BEACH, FL 32168	Х					
Signatures						
Karen A. Stempinski, under POA	02/05/20)07				
**Signature of Reporting Person	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold pursuant to a 10b5-1 trading plan adopted by the Reporting Person on June 5, 2006

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.