

Lausas Allison S
Form 4
February 19, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Lausas Allison S

(Last) (First) (Middle)

1011 WARRENVILLE ROAD,
SUITE 600

(Street)

LISLE, IL 60532

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SunCoke Energy, Inc. [SXC]

3. Date of Earliest Transaction
(Month/Day/Year)
02/14/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

Vice President & Controller

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	02/14/2019		M		1,201	A	Ⓣ 6,207
Common Stock ⁽²⁾	02/14/2019		F		416	D	\$ 9.61 5,375
Common Stock	02/15/2019		M		634	A	Ⓣ 6,425
Common Stock ⁽²⁾	02/15/2019		F		220	D	\$ 9.87 5,789
Common Stock	02/17/2019		M		1,644	A	Ⓣ 7,433

Edgar Filing: Lausas Allison S - Form 4

Common Stock 02/17/2019 D 1,644 D \$ 9.87 5,789 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)
RSU's (02.14.18) ⁽³⁾	<u>(1)</u>	02/14/2019		M	1,201	02/14/2019	02/14/2021	Common Stock	1,201
RSU's (02.15.17) ⁽³⁾	<u>(1)</u>	02/15/2019		M	634	02/15/2018	02/15/2020	Common Stock	634
RSU's (02.17.2016) ⁽⁴⁾	<u>(1)</u>	02/17/2019		M	1,644	<u>(5)</u>	<u>(5)</u>	Common Stock	1,644

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Lausas Allison S
1011 WARRENVILLE ROAD, SUITE 600
LISLE, IL 60532

Vice
President &
Controller

Signatures

/s/ Rita M. Slager,
attorney-in-fact

02/18/2019

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Conversion rate is 1 for 1.

(2) Shares withheld by Registrant to satisfy minimum statutory withholding requirement on vesting of RSUs.

Grant of restricted share units awarded pursuant to the SunCoke Energy, Inc. Long-Term Performance Enhancement Plan in a transaction exempt under Rule 16b-3. The restricted share units vest in three equal annual installments on the first, second and third anniversary of the grant date.

(3) Reflects cash settlement of the third tranche of time vesting restricted stock units ("RSUs") granted February 17, 2016, pursuant to the SunCoke Energy, Inc. Long -Term Performance Enhancement Plan, in a transaction exempt under Rule 16b-3. These RSUs vest in three equal annual installments on the first, second and third anniversaries of the date of the grant.

(5) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.