

Schroepfer Michael Todd
Form 4
November 19, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Schroepfer Michael Todd

(Last) (First) (Middle)

C/O FACEBOOK, INC., 1601
WILLOW ROAD

(Street)

MENLO PARK, CA 94025

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Facebook Inc [FB]

3. Date of Earliest Transaction
(Month/Day/Year)
11/15/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)

Chief Technology Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/15/2018		M	26,847	A \$ 0	615,541	D
Class A Common Stock	11/15/2018		F ⁽¹⁾	13,311	D \$ 144.22	602,230	D
Class A Common Stock	11/15/2018		M	10,022	A \$ 0	612,252	D
Class A Common	11/15/2018		F ⁽¹⁾	4,969	D \$ 144.22	607,283	D

Edgar Filing: Schroepfer Michael Todd - Form 4

Stock								
Class A Common Stock	11/15/2018	M	8,254	A	\$ 0	615,537	D	
Class A Common Stock	11/15/2018	<u>F⁽¹⁾</u>	4,093	D	\$ 144.22	611,444	D	
Class A Common Stock	11/16/2018	<u>G⁽²⁾</u>	V 157,745	D	\$ 0	518,882	I	By The HS Trust U/A/D 9/28/2011 <u>(3)</u>
Class A Common Stock	11/16/2018	<u>G⁽²⁾</u>	V 310,109	D	\$ 0	301,335	D	
Class A Common Stock	11/19/2018	G	V 36,500	D	\$ 0	482,382	I	By The HS Trust U/A/D 9/28/2011 <u>(3)</u>
Class A Common Stock	11/19/2018	G	V 36,500	A	\$ 0	36,500	I	By The Erin Hoffman 2018 Annuity Trust U/A/D 11/15/2018 <u>(4)</u>
Class A Common Stock	11/19/2018	G	V 36,500	D	\$ 0	445,882	I	By The HS Trust U/A/D 9/28/2011 <u>(3)</u>
Class A Common Stock	11/19/2018	G	V 36,500	A	\$ 0	36,500	I	By The Michael Schroepfer 2018 Annuity Trust U/A/D 11/15/2018 <u>(5)</u>
Class A Common Stock						14,682	I	By The Erin Hoffmann

Class A Common Stock	14,682	I	2017 Annuity Trust U/A/D 6/29/2017 (6)
			By The Michael Schroepfer 2017 Annuity Trust U/A/D 6/29/2017 (7)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units (RSU) (Class A)	(8)	11/15/2018		M		26,847		(9)	05/05/2023	Class A Common Stock	26,847
Restricted Stock Units (RSU) (Class A)	(8)	11/15/2018		M		10,022		(10)	03/16/2024	Class A Common Stock	10,022
Restricted Stock Units	(8)	11/15/2018		M		8,254		(11)	03/15/2025	Class A Common Stock	8,254

(RSU)
(Class A)

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Schroepfer Michael Todd C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025	Chief Technology Officer

Signatures

/s/ Michael Johnson as attorney-in-fact for Michael T.
Schroepfer

11/19/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares of Class A Common Stock that have been withheld by the issuer to satisfy its income tax withholding and remittance obligations in connection with the net settlement of the RSUs and does not represent a sale by the reporting person.
- (2) Represents shares of Class A Common Stock that the holder donated as a gift to a charitable entity.
- (3) Shares held of record by Michael Schroepfer and Erin Hoffmann, Co-Trustees of The HS Trust U/A/D 9/28/2011.
- (4) Shares held of record by Erin Hoffmann, Trustee of The Erin Hoffmann 2018 Annuity Trust U/A/D 11/15/2018, a grantor retained annuity trust for the benefit of the reporting person's spouse.
- (5) Shares held of record by Michael Schroepfer, Trustee of The Michael Schroepfer 2018 Annuity Trust U/A/D 11/15/2018, a grantor retained annuity trust for the benefit of the reporting person.
- (6) Shares held of record by Erin Hoffmann, Trustee of The Erin Hoffmann 2017 Annuity Trust U/A/D 6/29/2017, a grantor retained annuity trust for the benefit of the reporting person's spouse.
- (7) Shares held of record by Michael Schroepfer, Trustee of The Michael Schroepfer 2017 Annuity Trust U/A/D 6/29/2017, a grantor retained annuity trust for the benefit of the reporting person.
- (8) Each RSU represents a contingent right to receive 1 share of the issuer's Class A Common Stock upon settlement.
- (9) The RSUs vest as to 1/16th of the total shares quarterly, beginning on November 15, 2017, subject to continued service through each vesting date.
- (10) The RSUs vest as to 1/16th of the total shares quarterly, beginning on May 15, 2016, subject to continued service through each vesting date.
- (11) The RSUs vest as to 1/16th of the total shares quarterly, beginning on May 15, 2017, subject to continued service through each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.