

UMB FINANCIAL CORP  
Form 4  
May 03, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**KEMPER J MARINER**

2. Issuer Name and Ticker or Trading Symbol  
**UMB FINANCIAL CORP [UMBF]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**1010 GRAND BLVD.**  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**05/02/2017**

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**Chairman and CEO**

**KANSAS CITY, MO 64106**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership: Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |  |
| Common Stock                    | 05/02/2017                           |  | S                              |   | 100 D \$ 73.06  | 208,114.4489   | D  |
| Common Stock                    | 05/02/2017                           |  | S                              |   | 100 D \$ 72.93  | 208,014.4489   | D  |
| Common Stock                    | 05/02/2017                           |  | S                              |   | 100 D \$ 73.1   | 207,914.4489   | D  |
| Common Stock                    | 05/02/2017                           |  | S                              |   | 100 D \$ 72.86  | 207,814.4489   | D  |
| Common Stock                    | 05/02/2017                           |  | S                              |   | 100 D \$ 72.4825  | 207,714.4489   | D  |

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|              |            |   |     |   |            |              |   |   |
|--------------|------------|---|-----|---|------------|--------------|---|---|
| Common Stock | 05/02/2017 | S | 100 | D | \$ 72.405  | 207,614.4489 | D |   |
| Common Stock | 05/02/2017 | S | 100 | D | \$ 72.2925 | 207,514.4489 | D |   |
| Common Stock | 05/02/2017 | S | 300 | D | \$ 72.3    | 207,214.4489 | D |   |
| Common Stock | 05/02/2017 | S | 100 | D | \$ 72.315  | 207,114.4489 | D |   |
| Common Stock | 05/02/2017 | S | 100 | D | \$ 72.535  | 207,014.4489 | D |   |
| Common Stock | 05/02/2017 | S | 100 | D | \$ 72.555  | 206,914.4489 | D |   |
| Common Stock | 05/02/2017 | S | 100 | D | \$ 72.67   | 206,814.4489 | D |   |
| Common Stock | 05/02/2017 | S | 100 | D | \$ 72.445  | 206,714.4489 | D |   |
| Common Stock | 05/02/2017 | S | 200 | D | \$ 72.55   | 206,514.4489 | D |   |
| Common Stock | 05/02/2017 | S | 100 | D | \$ 72.5675 | 206,414.4489 | D |   |
| Common Stock | 05/02/2017 | S | 100 | D | \$ 72.7325 | 206,314.4489 | D |   |
| Common Stock | 05/02/2017 | S | 200 | D | \$ 72.84   | 206,114.4489 | D |   |
| Common Stock | 05/02/2017 | S | 200 | D | \$ 72.77   | 205,914.4489 | D |   |
| Common Stock | 05/02/2017 | S | 100 | D | \$ 72.7925 | 205,814.4489 | D |   |
| Common Stock | 05/02/2017 | S | 100 | D | \$ 72.75   | 205,714.4489 | D |   |
| Common Stock | 05/02/2017 | S | 100 | D | \$ 72.565  | 205,614.4489 | D |   |
| Common Stock | 05/02/2017 | S | 95  | D | \$ 72.76   | 205,519.4489 | D |   |
| Common Stock |            |   |     |   |            | 1,000        | I | By Daughter - Custodial Brokerage Account |
| Common Stock |            |   |     |   |            | 1,902.068    | I | By Esop                                   |
| Common       |            |   |     |   |            | 1,000        | I | By Son -                                  |

| Stock        |           |   |  | Custodial Brokerage Account               |
|--------------|-----------|---|--|---|
| Common Stock | 60,800    | I |  | By Trust - TUW RC Kemper For John Mariner |
| Common Stock | 290,397   | I |  | Held by Kemper Realty                     |
| Common Stock | 395,989   | I |  | Held by Pioneer Service Corporation       |
| Common Stock | 2,161,386 | I |  | By Trust - RC Kemper Irrevocable Trust    |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |
|  |  |                                      |  |                                |   | Code   | V   | (A)  | (D)   |

## Reporting Owners

Reporting Owner Name / Address

Relationships

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Director    10% Owner    Officer    Other

KEMPER J MARINER  
1010 GRAND BLVD.  
KANSAS CITY, MO 64106

X

Chairman and CEO

## Signatures

/s/ John C. Pauls, Attorney-in-fact for Mr.  
Kemper

05/03/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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