

COOPER COMPANIES INC

Form 4

January 10, 2017

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Maloney Tina

(Last) (First) (Middle)

6140 STONERIDGE MALL ROAD,  
SUITE 590

(Street)

PLEASANTON, CA 94588

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
COOPER COMPANIES INC [COO]

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/08/2017

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

VP, Corporate Controller

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                          | Amount<br>(A)<br>or<br>(D)                                                                                         | Price                                                                |                                                                   |
| Common<br>Stock                       | 01/08/2017                              |                                                             | M                                    |                                                                            | 272                                                                                                                | A \$ 0 2,657                                                         | D                                                                 |
| Common<br>Stock                       | 01/08/2017                              |                                                             | F                                    |                                                                            | 101 <sup>(1)</sup>                                                                                                 | D \$ 0 2,556                                                         | D                                                                 |
| Common<br>Stock                       | 01/08/2017                              |                                                             | M                                    |                                                                            | 167                                                                                                                | A \$ 0 2,723                                                         | D                                                                 |
| Common<br>Stock                       | 01/08/2017                              |                                                             | F                                    |                                                                            | 63 <sup>(1)</sup>                                                                                                  | D \$ 0 2,660                                                         | D                                                                 |
| Common<br>Stock                       | 01/08/2017                              |                                                             | M                                    |                                                                            | 154                                                                                                                | A \$ 0 2,814                                                         | D                                                                 |

Edgar Filing: COOPER COMPANIES INC - Form 4

|              |            |   |                   |   |      |       |   |
|--------------|------------|---|-------------------|---|------|-------|---|
| Common Stock | 01/08/2017 | F | 58 <sup>(1)</sup> | D | \$ 0 | 2,756 | D |
| Common Stock | 01/08/2017 | M | 190               | A | \$ 0 | 2,946 | D |
| Common Stock | 01/08/2017 | F | 72 <sup>(1)</sup> | D | \$ 0 | 2,874 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price or Value of Derivative Security (Instr. 5) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|-----------------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable Expiration Date                         | Title                                                         | Amount or Number of Shares                          |
| Restricted Stock Units                     | <u>(2)</u>                                             | 01/08/2017                           |                                                    | M                              | 272                                                                                     | <u>(3)</u> <u>(4)</u>                                    | Common Stock                                                  | 272 \$ 0                                            |
| Restricted Stock Units                     | <u>(2)</u>                                             | 01/08/2017                           |                                                    | M                              | 167                                                                                     | <u>(5)</u> <u>(4)</u>                                    | Common Stock                                                  | 167 \$ 0                                            |
| Restricted Stock Units                     | <u>(2)</u>                                             | 01/08/2017                           |                                                    | M                              | 154                                                                                     | <u>(6)</u> <u>(4)</u>                                    | Common Stock                                                  | 154 \$ 0                                            |
| Restricted Stock Units                     | <u>(2)</u>                                             | 01/08/2017                           |                                                    | M                              | 190                                                                                     | <u>(7)</u> <u>(4)</u>                                    | Common Stock                                                  | 190 \$ 0                                            |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Maloney Tina  
6140 STONERIDGE MALL ROAD, SUITE 590  
PLEASANTON, CA 94588

VP, Corporate Controller

## Signatures

Tina Maloney 01/10/2017

\_\_\_\_\_  
Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1/8/2017 RSU/PSU Release: Shares withheld to cover applicable tax obligations. A FMV (closing price of the Company's common stock on 1/6/2017) of \$177.21 was used.
- (2) RSU Exercise Price: There is no exercise price associated with the grant of Restricted Stock Units.
- (3) Grant Date 12/12/12 RSU Vesting Date: The grant vests in equal portions on the following dates: 1/8/14, 1/8/15, 1/8/16, 1/8/17 and 1/8/18.
- (4) RSU Expiration Date: This award has no expiration date. Units will either vest or be forfeit.
- (5) Grant Date 12/11/13 RSU Vesting Date: The grant vests in equal portions on the following dates: 1/8/15, 1/8/16, 1/8/17, 1/8/18 and 1/8/19.
- (6) 12/9/14 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/16, 1/8/17, 1/8/18, 1/8/19 and 1/8/20.
- (7) 12/9/15 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/17, 1/8/18, 1/8/19, 1/8/20 and 1/8/21.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.