

CABOT MICROELECTRONICS CORP

Form 4

December 06, 2016

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Naman Ananth

2. Issuer Name **and** Ticker or Trading  
Symbol  
CABOT MICROELECTRONICS  
CORP [CCMP]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
C/O CABOT  
MICROELECTRONICS  
CORPORATION, 870 COMMONS  
DRIVE

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/05/2016

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
VP & Chief Technology Officer

(Street)  
AURORA, IL 60504

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 12/05/2016                              |                                                             | A                                    | (A)<br>or<br>(D)<br>8,200<br>(1)                                        | \$ 0                                                                                                               | 25,444.4089                                                          | D                                                                 |
| Common<br>Stock                       | 12/05/2016                              |                                                             | F(2)                                 | 506                                                                     | \$<br>60.27                                                                                                        | 24,938.4089                                                          | D                                                                 |
| Common<br>Stock                       | 12/05/2016                              |                                                             | F(2)                                 | 514                                                                     | \$<br>60.27                                                                                                        | 24,424.4089                                                          | D                                                                 |
| Common<br>Stock                       | 12/05/2016                              |                                                             | F(2)                                 | 475                                                                     | \$<br>60.27                                                                                                        | 23,949.4089                                                          | D                                                                 |

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Common Stock 12/05/2016 F(2) 467 D \$ 60.27 23,482.4089 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|
| Stock Options (Right to Buy)               | \$ 60.27                                               | 12/05/2016                           |                                                    | A                              | 14,600                                                                                  | 12/05/2017 <sup>(3)</sup> 12/05/2026                     | Common Stock 14,600                                           |

## Reporting Owners

| Reporting Owner Name / Address                                                                  | Relationships                                               |
|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| Naman Ananth<br>C/O CABOT MICROELECTRONICS CORPORATION<br>870 COMMONS DRIVE<br>AURORA, IL 60504 | Director 10% Owner Officer<br>VP & Chief Technology Officer |

## Signatures

/s/ H. Carol Bernstein (Power of Attorney) 12/06/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 2016 (FY17) Restricted Stock Unit Award Vesting Schedule: 25% 12/05/2017, 25% 12/05/2018, 25% 12/05/2019, 25% 12/05/2020.

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- (2) Withheld to cover for tax purposes as per terms of Omnibus Incentive Plan.
- (3) 2016 (FY17) Non-Qualified Stock Option Grant Vesting Schedule: 25% 12/05/2017, 25% 12/05/2018, 25% 12/05/2019, 25% 12/05/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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