#### Edgar Filing: AMERISOURCEBERGEN CORP - Form 3

#### AMERISOURCEBERGEN CORP

Form 3 May 23, 2016

# FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

*	ne and Address of Reporting Person 2. Date of Requiring addes Kathy H. (Month/D				r Name <b>and</b> Ticker or Trading Symbol RISOURCEBERGEN CORP [ABC]			
(Last)	(First)	(Middle)	05/20/2016	4. Relations Person(s) to	ship of Reportin o Issuer	g	5. If Amendment, Date Origina Filed(Month/Day/Year)	
1300 MORI	RIS DRIVI	Ξ		` '			Thea(Mondin Day/Tear)	
	(Street)		(Check all ap		ck all applicable	2)	6. Individual or Joint/Group	
CHESTERE	BROOK,Â	PAÂ 19428				low)	Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I -	Non-Deriva	ative Securit	ies Be	eneficially Owned	
1.Title of Secu (Instr. 4)	rity		2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	*	
Common St	ock		1,703		D	Â		
Reminder: Rep	_		h class of securities benefic	cially	SEC 1473 (7-02	2)		
	Perso inforr requi	ons who responation contained to respon	ond to the collection of ned in this form are no d unless the form disp B control number.	t				

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	n Ownership E	6. Nature of Indirect Beneficial Ownership	
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of	Price of Derivative Security	Derivative Security: Direct (D) or Indirect	(Instr. 5)	

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	11/12/2017	11/12/2017	Common Stock	671	\$ <u>(1)</u>	D	Â
Restricted Stock Units	11/11/2018	11/11/2018	Common Stock	980	\$ <u>(1)</u>	D	Â
Non-qualified Stock Option (Right to Buy)	(2)	03/01/2019	Common Stock	3,276	\$ 37.37	D	Â
Non-qualified Stock Option (Right to Buy)	(3)	11/14/2019	Common Stock	12,636	\$ 40.21	D	Â
Non-qualified Stock Option (Right to Buy)	(4)	11/13/2020	Common Stock	8,690	\$ 68.49	D	Â
Non-qualified Stock Option (Right to Buy)	(5)	11/12/2021	Common Stock	7,754	\$ 88.71	D	Â
Non-qualified Stock Option (Right to Buy)	(6)	11/11/2022	Common Stock	10,232	\$ 98.24	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Gaddes Kathy H. 1300 MORRIS DRIVE CHESTERBROOK, PA 19428	Â	Â	Executive Vice President	Â			

# **Signatures**

John G. Chou for Kathy H. 05/09/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Annual grant of restricted stock units received for no consideration and vests 100% on the third anniversary of the date of grant
- (2) Exercisable in four equal annual installments on 3/01/13, 3/01/14, 3/01/15 and 3/01/16
- (3) Exercisable in four equal annual installments on 11/14/13, 11/14/14, 11/14/15 and 11/14/16
- (4) Exercisable in four equal annual installments on 11/13/14, 11/13/15, 11/13/16 and 11/13/17
- (5) Exercisable in four equal annual installments on 11/12/15, 11/12/16, 11/12/17 and 11/12/18
- (6) Exerciseable in four equal annual installments on 11/11/16, 11/11/17, 11/11/18 and 11/11/19

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2