

Unum Group  
Form 4  
February 11, 2016

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
WATJEN THOMAS R

(Last) (First) (Middle)  
1 FOUNTAIN SQUARE  
(Street)  
CHATTANOOGA, TN 37402  
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Unum Group [UNM]

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/09/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                                      |
| Common Stock                    | 02/09/2016                           |  | F                              |   | 7,941 <sup>(1)</sup>  | D  | \$ 26.17                                   |
|                                 |                                      |  |                                |   |   |  | 259,323 <sup>(2)</sup>                     |
|                                 |                                      |  |                                |   |   |  | <sup>(3)</sup>                             |
| Common Stock                    | 02/09/2016                           |  | F                              |   | 11,901 <sup>(4)</sup>   | D  | \$ 26.17                                   |
|                                 |                                      |  |                                |   |   |  | 247,422 <sup>(5)</sup>                     |
| Common Stock                    | 02/09/2016                           |  | F                              |   | 17,698 <sup>(6)</sup>   | D  | \$ 26.17                                   |
|                                 |                                      |  |                                |   |   |  | 229,724 <sup>(7)</sup>                     |
| Common Stock                    | 02/09/2016                           |  | M                              |   | 20,051.26   | A  | \$ 0                                       |
|                                 |                                      |  |                                |   |   |  | 249,775 <sup>(8)</sup>                     |
| Common Stock                    | 02/09/2016                           |  | D                              |   | 20,051.26   | D  | \$ 26.17                                   |
|                                 |                                      |  |                                |   |   |  | 229,724 <sup>(7)</sup>                     |
|                                 | 02/09/2016                           |  | M                              |   | 30,051.342  | A  | \$ 0                                       |
|                                 |                                      |  |                                |   |   |  | 259,775 <sup>(9)</sup>                     |

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|              |            |  |   |            |   |          |                         |   |                      |
|--------------|------------|--|---|------------|---|----------|-------------------------|---|----------------------|
| Common Stock |            |  |   |            |   |          |                         |   |                      |
| Common Stock | 02/09/2016 |  | D | 30,051.342 | D | \$ 26.17 | 229,724 <sup>(7)</sup>  | D |                      |
| Common Stock | 02/09/2016 |  | M | 44,690.363 | A | \$ 0     | 274,414 <sup>(10)</sup> | D |                      |
| Common Stock | 02/09/2016 |  | D | 44,690.363 | D | \$ 26.17 | 229,724 <sup>(7)</sup>  | D |                      |
| Common Stock |            |  |   |            |   |          | 13,977.8433             | I | By<br>401(k)<br>Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                        |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount Number Shares   |
| Restricted Stock Units                     | <sup>(11)</sup>  | 02/09/2016                           |  | M                              |   | <sup>(13)</sup>  | <sup>(13)</sup>   | Common Stock | 20,051 <sup>(12)</sup> |
| Restricted Stock Units                     | <sup>(11)</sup>  | 02/09/2016                           |  | M                              |   | <sup>(16)</sup>  | <sup>(16)</sup>   | Common Stock | 30,051 <sup>(15)</sup> |
| Restricted Stock Units                     | <sup>(11)</sup>  | 02/09/2016                           |  | M                              |   | <sup>(19)</sup>  | <sup>(19)</sup>   | Common Stock | 44,690 <sup>(18)</sup> |

## Reporting Owners

| Reporting Owner Name / Address       | Relationships |           |         |       |
|--------------------------------------|---------------|-----------|---------|-------|
|                                      | Director      | 10% Owner | Officer | Other |
| WATJEN THOMAS R<br>1 FOUNTAIN SQUARE | X             |           |         |       |

CHATTANOOGA, TN 37402

## Signatures

/s/ Jullienne, J. Paul,  
Attorney-in-Fact

02/11/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 20,051.260 stock-settled RSUs (as defined in footnote (2) below).
- (2) Includes 78,790 restricted stock units, which may be settled, on a 1-for-1 basis, only in shares of common stock ("stock-settled RSUs"), and 180,533 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (3) Beneficial ownership amount accounts for the exempt acquisition of an aggregate of 490.165 stock-settled RSUs pursuant to the reinvestment of dividends since the date of the reporting person's prior Form 4.
- (4) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 30,051.342 stock-settled RSUs.
- (5) Includes 48,739 stock-settled RSUs and 198,683 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (6) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 44,690.363 stock-settled RSUs.
- (7) Includes 4,048 stock-settled RSUs and 225,676 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (8) Includes 4,048 stock-settled RSUs and 245,727 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (9) Includes 4,048 stock-settled RSUs and 255,727 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (10) Includes 4,048 stock-settled RSUs and 270,366 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (11) The restricted stock units may be settled solely in cash ("cash-settled RSUs"). Each cash-settled RSU is the economic equivalent of one share of common stock of the issuer.
- (12) Represents the remaining balance of the cash-settled RSUs granted on February 21, 2013 (the "2013 cash-settled RSUs"), which are being settled as a result of the reporting person's retirement as an officer of the company.
- (13) The 2013 cash-settled RSUs vest in three equal annual installments beginning on February 21, 2014. Vested units are to be settled within 30 days after the vesting date.  
  
Beneficial ownership amount accounts for the exempt acquisitions of a total of 303.465 dividend equivalent rights on the 2013 cash-settled RSUs pursuant to the reinvestment of dividends after February 21, 2015. The rights accrued when and as dividends were paid on shares underlying the 2013 cash-settled RSUs and became vested proportionately with and subject to settlement upon the same terms as the 2013 cash-settled RSUs to which they related. Each dividend equivalent right is the economic equivalent of one share of common stock of the issuer.
- (15) Represents the remaining balance of the cash-settled RSUs granted on February 25, 2014 (the "2014 cash-settled RSUs"), which are being settled as a result of the reporting person's retirement as an officer of the company.
- (16) The 2014 cash-settled RSUs vest in three equal annual installments beginning on February 25, 2015. Vested units are to be settled within 30 days after the vesting date.  
  
Beneficial ownership amount accounts for the exempt acquisitions of a total of 454.810 dividend equivalent rights on the 2014 cash-settled RSUs pursuant to the reinvestment of dividends after February 25, 2015. The rights accrued when and as dividends were paid on shares underlying the 2014 cash-settled RSUs and became vested proportionately with and subject to settlement upon the same terms as the 2014 cash-settled RSUs to which they related. Each dividend equivalent right is the economic equivalent of one share of common stock of the issuer.
- (17)

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- (18) Represents the remaining balance of the cash-settled RSUs granted on February 24, 2015 (the "2015 cash-settled RSUs"), which are being settled as a result of the reporting person's retirement as an officer of the company.
- (19) The 2015 cash-settled RSUs vest in three equal annual installments beginning on February 24, 2016. Vested units are to be settled within 30 days after the vesting date.
- Beneficial ownership amount accounts for the exempt acquisitions of a total of 676.363 dividend equivalent rights on the 2015 cash-settled RSUs pursuant to the reinvestment of dividends after February 24, 2015. The rights accrued when and as dividends were paid on shares underlying the 2015 cash-settled RSUs and became vested proportionately with and subject to settlement upon the same terms as the 2015 cash-settled RSUs to which they related. Each dividend equivalent right is the economic equivalent of one share of common stock of the issuer.
- (20)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.