#### Edgar Filing: CHEVRON CORP - Form 3

CHEVRON CORP Form 3 April 08, 2015

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CHEVRON CORP [CVX] A Ourada Jeanette L (Month/Day/Year) 04/01/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 6001 BOLLINGER CANYON (Check all applicable) **ROAD** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Vice Pres. and Comptroller Person SAN RAMON, CAÂ 94583 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 535 Common Stock  $2,717 \frac{(1)}{2}$ I by 401(k) plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 5. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) **Derivative Security** Ownership or Exercise Form of (Instr. 4) Price of Derivative (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (Right to Buy)	(2)	03/28/2017	Common Stock	7,000	\$ 74.08	D	Â
Stock Appreciation Right	(3)	03/26/2018	Common Stock	6,200	\$ 84.96	D	Â
Non-Qualified Stock Option (Right to Buy)	(4)	03/25/2019	Common Stock	7,200	\$ 69.7	D	Â
Non-Qualified Stock Option (Right to Buy)	(5)	01/27/2020	Common Stock	12,500	\$ 73.7	D	Â
Non-Qualified Stock Option (Right to Buy)	(6)	01/26/2021	Common Stock	11,500	\$ 94.64	D	Â
Non-Qualified Stock Option (Right to Buy)	(7)	01/25/2022	Common Stock	9,400	\$ 107.73	D	Â
Non-Qualified Stock Option (Right to Buy)	(8)	01/30/2023	Common Stock	15,500	\$ 116.45	D	Â
Non-Qualified Stock Option (Right to Buy)	(9)	01/29/2024	Common Stock	13,000	\$ 116	D	Â
Non-Qualified Stock Option (Right to Buy)	(10)	01/28/2025	Common Stock	25,400	\$ 103.71	D	Â
Phantom Stock	(11)	(11)	Common Stock	1,358	\$ (12)	D	Â
Phantom Stock Units	(13)	(13)	Common Stock	512	\$ (13)	I	Excess Benefit Plan
Phantom Stock Units	(14)	(14)	Common Stock	2,280	\$ (14)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
. 5	Director	10% Owner	Officer	Other			
Ourada Jeanette L 6001 BOLLINGER CANYON ROAD SAN RAMON, CA 94583	Â	Â	Vice Pres. and Comptroller	Â			

## **Signatures**

Christopher A. Butner on behalf of Jeanette L. Ourada 04/08/2015

\*\*Signature of Reporting Person Date

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This number represents the reporting person's shares of Chevron Corporation common stock under the Chevron Employee Savings Investment Plan, a 401(k) plan.
- Option granted 3/28/2007. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- Stock Appreciation Rights (SARs) granted 3/26/2008. Each SAR is a right to receive cash equal to the appreciation in the value of Chevron Corporation common stock from the grant date to the date the SAR is exercised. One-third of the SARs vested on each of the first, second and third anniversaries of the date of grant.
- Option granted 3/25/2009. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- Option granted 1/27/2010. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- Option granted 1/26/2011. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- Option granted 1/25/2012. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (8) Option granted 1/30/2013. One-third of the shares subject to the option vested on each of the first and second anniversaries of the date of grant. The balance of the shares vests on the third anniversary of the date of grant.
- (9) Option granted 1/29/2014. One-third of the shares subject to the option vested on the first anniversary of the date of grant. The balance of the shares vests each of the second and third anniversaries of the date of grant.
- (10) Option granted 1/28/2015. One-third of the shares subject to the option vest on each of the first, second and third anniversaries of the date of grant.
- (11) The shares of phantom stock issued under the Chevron Deferred Compensation Plan for Management Employees II become payable in common stock upon the reporting person's termination of service.
- (12) 1-for-1.
- Each phantom stock unit is the economic equivalent of one share of Chevron Corporation common stock. The phantom stock units, which are issued under the Chevron ESIP-RP, are payable in cash upon the reporting person's retirement or other termination of service.
- Phantom stock units granted under the Chevron Corporation Long-Term Incentive Plan. Each phantom stock unit is the economic equivalent of one share of Chevron Corporation common stock. The phantom stock units are payable in cash upon vesting on January 28, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.