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NORTHEAST UTILITIES Form 3 September 03, 2014 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0104 Expires: January 31, 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> SCHWEIGER WERNER J	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol NORTHEAST UTILITIES [NU]			
(Last) (First) (Middle)	09/02/2014	4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)	
UTILITIES, 300 CADWELL DRIVE		(Check all applicat			
(Street)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting	
SPRINGFIELD, MA 01104				Person Form filed by More than One Reporting Person	
(City) (State) (Zip)	Table I - I	Non-Derivative Secu	rities Be	eneficially Owned	
1.Title of Security (Instr. 4)	2. Amount o Beneficially (Instr. 4)		o Owne (Instr	ture of Indirect Beneficial ership : 5)	
Common Shares, \$5.00 par valu	e 164,095 (1)	<u>)</u> D	Â		
Common Shares, \$5.00 par valu	e $8,373 (2)$	Ι	4011	x Plan (Trustee)	
Pamindar: Paport on a saparata lina for	anch class of securities bonefic	ially			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Phantom Shares	(<u>3)</u>	(<u>3)</u>	Common Shares, \$5.00 par value	99,012	\$ <u>(3)</u>	D	Â
Employee Stock Option (Right to Buy)	04/27/2009	04/27/2016	Common Shares, \$5.00 par value	62,976	\$ 21.14	D	Â
Employee Stock Option (Right to Buy)	05/03/2010	05/03/2017	Common Shares, \$5.00 par value	47,232	\$ 28.12	D	Â
Employee Stock Option (Right to Buy)	01/24/2011	01/24/2018	Common Shares, \$5.00 par value	39,360	\$ 24.74	D	Â
Employee Stock Option (Right to Buy)	01/22/2012	01/22/2019	Common Shares, \$5.00 par value	48,544	\$ 25.93	D	Â
Employee Stock Option (Right to Buy)	04/10/2012	01/28/2020	Common Shares, \$5.00 par value	36,736	\$ 26.9	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
SCHWEIGER WERNER J C/O NORTHEAST UTILITIES 300 CADWELL DRIVE SPRINGFIELD, MA 01104	Â	Â	Executive VP and COO	Â		
Signatures						
/s/ Kerry J. Tomasevich, attorney-in-fact for Mr. Schweiger		09/03/2014				
<u>**</u> Signature of Reporting Person			Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted share units and dividend equivalents thereon.
- (2) Shares held in trust under the Northeast Utilities Service Company 401k Plan, a qualified plan, according to information supplied by the Plan's record keeper.

Reporting Person's deferred compensation under the Northeast Utilities Deferred Compensation Plan for Executives, a non-qualified deferred compensation plan, that is nominally invested as common shares. Each phantom share represents the right to receive the cash

(3) defende compensation plan, mat is nominary invested as common shares. Each phantom share represents the right to receive the cash value of one NU common share upon a distribution event, following vesting. Additional phantom shares are issued upon the automatic reinvestment of dividend-equivalents exempt from line item reporting under SEC Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.