VISA INC. Form 4 November 21, 2013

FORM 4

OMB APPROVAL

| T | UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | |
|---|--|--|--|--|--|--|--|--|
| | Washington, D.C. 20549 | | | | | | | |

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Ad RICHEY EL | • | ing Person * | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer | | | |
|--|----------|--------------|--|---|--|--|--|
| (Last) (First) (Middle) C/O VISA INC., P.O. BOX 8999 | | (Middle) | VISA INC. [V] 3. Date of Earliest Transaction | (Check all applicable) | | | |
| | | , , | (Month/Day/Year) 11/19/2013 | Director 10% Owner Specify below) below) CHIEF ENTERPRISE RISK OFFICER | | | |
| | (Street) | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |

Filed(Month/Day/Year)

SAN FRANCISCO, CA 94128-8999

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person

| (City) | (State) | (Zip) Tal | ole I - Non- | Derivative | e Secu | curities Acquired, Disposed of, or Beneficially Owned | | | | | |
|--------------------------------------|---|---|--|--------------------------------------|--------|---|--|--|---|--|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securit onor Dispos (Instr. 3, 4) | ed of | ` ′ | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Class A Common Stock | 11/19/2013 | | M(1) | 9,551 | A | \$ 0 | 24,545 | D | | | |
| Class A Common Stock | 11/19/2013 | | M | 943 | A | \$ 0 | 25,488 | D | | | |
| Class A Common Stock | 11/19/2013 | | S <u>(1)</u> | 11,766 | D | \$ 198.08 (2) | 13,722 | D | | | |
| Class A Common | 11/19/2013 | | F | 2,882 | D | \$ 197.39 | 10,840 | D | | | |

Stock

| | | | Held by the |
|---------|-------|---|-------------|
| Class A | | | Richey |
| Common | 9,163 | I | 2007 Trust |
| Stock | | | u/a/d |
| | | | 11-29-2007 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | onDerivative | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---|--------------|-------|--|--------------------|---|---------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amoun or Number of Shares |
| Employee Stock Option (Right to Buy) | \$ 79.8 | 11/19/2013 | | M(1) | | 9,551 | (3) | 11/05/2020 | Common Stock | 9,551 |
| Restricted Stock Units | <u>(4)</u> | 11/19/2013 | | M | | 943 | (4)(5) | 11/19/2022 | Common Stock | 943 |
| Employee Stock Option (Right to Buy) | \$ 197.39 | 11/19/2013 | | A | 8,279 | | <u>(6)</u> | 11/19/2023 | Common Stock | 8,279 |
| Restricted Stock Units | <u>(4)</u> | 11/19/2013 | | A | 1,821 | | <u>(4)</u> | 11/19/2023 | Common Stock | 1,821 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

RICHEY ELLEN C/O VISA INC. P.O. BOX 8999 SAN FRANCISCO, CA 94128-8999

CHIEF ENTERPRISE RISK OFFICER

Signatures

/s/ Jenny Kim, Attorney-In-Fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was made pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 10, 2013.
- The price reported in column 4 is a weighted average price. These shares were disposed of in multiple transactions at prices ranging from \$197.00 \$200.04, inclusive. The reporting person undertakes to provide to Visa Inc., any security holder of Visa Inc., or the staff of the Securities & Exchange Commission, upon request, full information regarding the number of shares disposed of at each separate price within the ranges set forth in this Form 4.
- (3) This option was granted on November 5, 2010 and vested in three installments over a three-year period after the date of grant.
 - Each restricted stock unit represents a contingent right to receive one share of Visa Inc. common stock or a cash equivalent of its value.
- (4) The restricted stock units vest in three equal installments on each of the first three anniversaries of the date of the grant, subject to earlier vesting in full in limited circumstances as specified in the award agreement.
- (5) This restricted stock unit was granted on November 19, 2012.
- Options vest in three equal installments on each of the first three anniversaries of the date of the grant, subject to earlier vesting in full in limited circumstances as specified in the award agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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