Edgar Filing: Wolfe Daniel B - Form 4

Wolfe Danie Form 4	1 B											
April 10, 201	2											
FORM	1									OMB AF	PPROVAL	
	UIIIE	CD STATES				ND EX(D.C. 20		NGE C	COMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or				HANGES IN BENEFICIAL OWNERSHI SECURITIES						Expires: January 20 Estimated average burden hours per		
Form 5 obligation may cont See Instru 1(b).	Filed j	17(a) of the		tility Ho	old	ing Con	ipany	Act of	e Act of 1934, E 1935 or Section 40	response	0.5	
(Print or Type F	Responses)											
Wolfe Daniel B Sy H			2. Issuer Name and Ticker or Trading Symbol HARRIS & HARRIS GROUP INC /NY/ [TINY]					-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 1450 BROA	(First) DWAY, FLO	(Middle) OOR 24	3. Date of (Month/E 04/10/2	Day/Year)		ansaction			Director X Officer (give below) Pre		Owner er (specify	
			nendment, Date Original Ionth/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
NEW YORI	K, NY 10018								Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non	-De	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ear) Executio any	med on Date, if Day/Year)	Code (Instr. 8	3)	4. Securit n(A) or Di (Instr. 3, Amount	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
Common Stock	04/10/2012			P <u>(1)</u>		735	A	\$ 4.094	40,615	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Addre	ess	Relationships							
Toporong o mor rame / radi	Director	10% Owner	Officer	Other					
Wolfe Daniel B 1450 BROADWAY FLOOR 24 NEW YORK, NY 10018			President, CFO						
Signatures									
/s/ Daniel B. Wolfe	04/10/2012								
<u>**</u> Signature of	Date								

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purchase reported in this Form 4 was effected pursuant to an Employee Stock Purchase Plan adopted by the reporting person on June 8, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Person