

RANKIN THOMAS T
Form 5
February 13, 2012

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
RANKIN THOMAS T

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE. 300

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2011

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Member of a group

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	05/02/2011	Â	G	192	A	\$ 0	8,850	I	By AssocII/Son1 (1)
Class A Common Stock	05/02/2011	Â	G	192	A	\$ 0	9,042	I	By AssocII/Son1 (1)
	05/02/2011	Â	G	768	D	\$ 0	37,406	I	By Assoc II (2)

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Class A Common Stock										
Class A Common Stock	Â	Â	Â	Â	Â	Â	1,843	I	By Assoc II/Spouse ⁽³⁾	
Class A Common Stock	Â	Â	Â	Â	Â	Â	3,622	I	By Spouse ⁽⁴⁾	
Class A Common Stock	Â	Â	Â	Â	Â	Â	8,509	I	By Trust (Son1) ⁽⁵⁾	
Class A Common Stock	Â	Â	Â	Â	Â	Â	6	I	By GP ⁽⁶⁾	
Class A Common Stock	Â	Â	Â	Â	Â	Â	22,385	I	By RA4 ⁽⁷⁾	
Class A Common Stock	Â	Â	Â	Â	Â	Â	1,975	I	By RMI (Delaware) ⁽⁸⁾	
Class A Common Stock	Â	Â	Â	Â	Â	Â	52,920	I	By Trust ⁽⁹⁾	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Pri Deriv Secur (Instr.
					(A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares
Class B Common	\$ 0 ⁽¹⁰⁾	Â	Â	Â	Â	Â ⁽¹⁰⁾	Class A Common	55,312

Stock										Stock	
Class B										Class A	
Common	\$ 0 ⁽¹⁰⁾	Â	Â	Â	Â	Â	Â	Â ⁽¹⁰⁾	Â ⁽¹⁰⁾	Common	19
Stock										Stock	
Class B										Class A	
Common	\$ 0 ⁽¹⁰⁾	Â	Â	Â	Â	Â	Â	Â ⁽¹⁰⁾	Â ⁽¹⁰⁾	Common	62,670
Stock										Stock	
Class B										Class A	
Common	\$ 0 ⁽¹⁰⁾	Â	Â	Â	Â	Â	Â	Â ⁽¹⁰⁾	Â ⁽¹⁰⁾	Common	92,873
Stock										Stock	

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

RANKIN THOMAS T
 NACCO INDUSTRIES, INC.
 5875 LANDERBROOK DRIVE, STE. 300 Â Â Â Member of a group
 MAYFIELD HEIGHTS, OH 44124

Signatures

/s/Suzanne S. Taylor,
 attorney-in-fact

02/13/2012

*Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the Reporting Person's son's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. which was held by Reporting Person as Co-Trustee of trust for benefit of Reporting Person's son. Reporting Person disclaims beneficial ownership of all such shares.
- (2) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.-----
- (3) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. .. Reporting Person disclaims beneficial ownership of all such shares.
- (4) By Spouse. Reporting Person disclaims beneficial ownership of all such shares.
- (5) Held by Trust, Reporting Person is Co-Trustee for the benefit of Reporting Person's son. Reporting Person disclaims beneficial ownership of all such shares.
- (6) (GP)Represents the Reporting Person's proportionate limited partnership interest in shares of Rankin Associates IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.
- (7) (RAIV)Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates IV, L.P.
- (8) Represents the Reporting Person's proportionate limited partnership interest in shares of Rankin Associates II, L.P. held by Rankin Management, Inc. (RMI), as general partner. Reporting Person disclaims beneficial ownership of all such shares.
- (9) Reporting Person serves as Trustee of a Trust for the benefit of Thomas T. Rankin.
- (10) N/A
- (11) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates I, L.P.-----

^

Remarks:

"Remark^ on^ Insider^ Relationship" -^ As^ a^ member^ of^ a^ "group"^ deemed^ to^ own^ more^ than^ 10%^ o

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure.

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