

CHADWICK JOHN JAMES
Form 3
January 31, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â CHADWICK JOHN JAMES | | (Month/Day/Year) | PULTEGROUP INC/MI/ [PHM] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| 16767 NORTH PERIMETER DR | | | (Check all applicable) | |
| (Street) | | | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| SCOTTSDALE,Â AZÂ 85260 | | | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other |
| (City) | (State) | (Zip) | (give title below) (specify below) | |
| | | | Area President | |
| | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| | | | <input checked="" type="checkbox"/> Form filed by One Reporting Person | |
| | | | <input type="checkbox"/> Form filed by More than One Reporting Person | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 133,299 | D | Â |
| Units | 9,259.798 ⁽¹⁾ | I | via 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|---|---|---|
|--|--|---|---|---|---|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|--------------------------------------|---------------------------|-----------------|--------------|----------------------------|-----------|---------------------------------------|---|
| Employee Stock Option (Right to Buy) | 12/12/2004 ⁽²⁾ | 12/12/2012 | Common Stock | 12,000 | \$ 11.4 | D | Â |
| Employee Stock Option (Right to Buy) | 12/11/2005 ⁽²⁾ | 12/11/2013 | Common Stock | 40,000 | \$ 21.635 | D | Â |
| Employee Stock Option (Right to Buy) | 12/09/2006 ⁽²⁾ | 12/09/2014 | Common Stock | 16,000 | \$ 28.363 | D | Â |
| Employee Stock Option (Right to Buy) | 12/08/2007 ⁽²⁾ | 12/08/2015 | Common Stock | 20,000 | \$ 40.405 | D | Â |
| Employee Stock Option (Right to Buy) | 12/07/2008 ⁽²⁾ | 12/07/2016 | Common Stock | 30,000 | \$ 34.235 | D | Â |
| Employee Stock Option (Right to Buy) | 12/06/2009 ⁽²⁾ | 12/06/2017 | Common Stock | 35,000 | \$ 10.93 | D | Â |
| Employee Stock Option (Right to Buy) | 12/09/2010 ⁽³⁾ | 12/08/2018 | Common Stock | 39,000 | \$ 11.355 | D | Â |
| Employee Stock Option (Right to Buy) | 08/18/2011 ⁽⁴⁾ | 08/18/2019 | Common Stock | 40,000 | \$ 12.335 | D | Â |
| Employee Stock Option (Right to Buy) | 02/11/2012 ⁽⁵⁾ | 02/11/2020 | Common Stock | 25,000 | \$ 11.445 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------|-------|
| | Director | 10% Owner | Officer | Other |
| CHADWICK JOHN JAMES 16767 NORTH PERIMETER DR SCOTTSDALE, AZ 85260 | Â | Â | Â Area President | Â |

Signatures

/s/ Jan M. Klym, attorney-in-fact for Mr. Chadwick

01/31/2012

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
Represents units of the PulteGroup, Inc. Stock Fund (the Fund) of the PulteGroup, Inc. 401(k) Plan. The Fund consists of cash and
- (1) Common Stock in amounts that vary from time to time. The reporting person's units represent 20,153.678 shares of PulteGroup, Inc. Common Stock held in the Fund as of 01/25/2012.
- (2) fully vested and exercisable.
- (3) These options will vest 50% on 12/9/2010 and 25% on 12/9/2011 and 12/9/2012.

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- (4) These options vest 50% on 8/18/2011; and 25% on both 8/18/2012 and 8/18/2013.
- (5) These options vest 50% on 02/11/2012, and 25% on both 02/11/2013 and 02/11/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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