

RANKIN VICTOIRE G  
Form 4  
February 15, 2007

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN VICTOIRE G

2. Issuer Name and Ticker or Trading Symbol  
NACCO INDUSTRIES INC [NC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
NACCO INDUSTRIES, INC., 5875  
LANDERBROOK DRIVE, STE.  
300

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/15/2007

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a Group

(Street)  
MAYFIELD HEIGHTS, OH

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Class A Common Stock					1,975	I	By Spouse/RMI (Delaware) <sup>(3)</sup>
Class A Common Stock					20,361	I	By Trust <sup>(4)</sup>
Class A Common Stock					28,864	I	By Assoc II/Spouse <sup>(5)</sup>
Class A Common Stock					5,654	I	By Assoc II/Daughter 1

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Common Stock										<u>(6)</u>
Class A Common Stock							30,000	I		By Spouse/Trust (Unitrust) <u>(7)</u>
Class A Common Stock							38,517	I		By Spouse/Trust/Daughter 1 <u>(8)</u>
Class A Common Stock	02/15/2007	02/15/2007	A <u>(2)</u>	21,343	A	\$ 0	135,445	I		By Spouse/Trust <u>(1)</u>
Class A Common Stock							2,000	I		By Spouse/Trust 4 (Charities) <u>(9)</u>
Class A Common Stock							2,116	I		By Assoc II <u>(10)</u>
Class A Common Stock							5,654	I		By Assoc II/Daughter 2 <u>(6)</u>
Class A Common Stock							38,517	I		By Spouse/Trust/Daughter 2 <u>(8)</u>
Class A Common Stock							26,608	I		By Spouse/Trust 2 (Sr.) <u>(11)</u>
Class A Common Stock							14,000	I		By Spouse/IRA <u>(12)</u>
Class A Common Stock							28,000	I		By Spouse/Trust 3 (GC) <u>(13)</u>
Class A Common Stock							0	I		By Spouse/Trust7 (Self Decl 2) <u>(14)</u>
Class A Common Stock							4,817	I		By Spouse/Trust (AssocII-BTR) <u>(15)</u>
Class A Common Stock							2,229	I		By Spouse/CLTR <u>(16)</u>
Class A Common Stock							6	I		By Spouse (GP) <u>(17)</u>

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Class A Common Stock	15,665	I	By Spouse (BTR RA4) <u>(18)</u>
Class A Common Stock	2,657	I	By Spouse (RA4) <u>(19)</u>
Class A Common Stock	0	I	By Spouse (CLT RA4) <u>(19)</u>
Class A Common Stock	60,000	I	By Trust (Assoc II-BTR) GRAT <u>(20)</u>
Class A Common Stock	78,952	I	By RA4 (CLT) GRAT <u>(21)</u>
Class A Common Stock	10,000	I	By Trust (CLT) GRAT <u>(22)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	\$ 0 <u>(23)</u>					<u>(23)</u>	<u>(23)</u>	Class A Common Stock	0
Class B Common Stock	\$ 0 <u>(23)</u>					<u>(23)</u>	<u>(23)</u>	Class A Common Stock	126,507

Class B Common Stock	\$ 0 <u>(23)</u>	<u>(23)</u>	<u>(23)</u>	Class A Common Stock	113,198
Class B Common Stock <u>(27)</u>	\$ 0 <u>(23)</u>	<u>(23)</u>	<u>(23)</u>	Class A Common Stock	46,052
Class B Common Stock	\$ 0 <u>(23)</u>	<u>(23)</u>	<u>(23)</u>	Class A Common Stock	0
Class B Common Stock	\$ 0 <u>(23)</u>	<u>(23)</u>	<u>(23)</u>	Class A Common Stock	43,862
Class B Common Stock <u>(17)</u>	\$ 0 <u>(23)</u>	<u>(23)</u>	<u>(23)</u>	Class A Common Stock	19
Class B Common Stock	\$ 0 <u>(23)</u>	<u>(23)</u>	<u>(23)</u>	Class A Common Stock	7,438
Class B Common Stock	\$ 0 <u>(23)</u>	<u>(23)</u>	<u>(23)</u>	Class A Common Stock	443
Class B Common Stock	\$ 0 <u>(23)</u>	<u>(23)</u>	<u>(23)</u>	Class A Common Stock	221,040
Class B Common Stock	\$ 0 <u>(23)</u>	<u>(23)</u>	<u>(23)</u>	Class A Common Stock	106,923
Class B Common Stock	\$ 0 <u>(23)</u>	<u>(23)</u>	<u>(23)</u>	Class A Common Stock	7,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN VICTOIRE G NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 MAYFIELD HEIGHTS, OH				Member of a Group

## Signatures

/s/Constantine E. Tsipis, attorney-in-fact for Victoire G.  
Rankin

02/15/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Alfred M. Rankin, Jr. Reporting Person disclaims beneficial ownership of all such shares.
- (2) Shares of Class A Common Stock awarded to the Reporting Person's spouse under the Company's Executive Long-Term Incentive Compensation Plan.
- (3) Represents the Reporting Person's spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI"). Reporting Person disclaims beneficial ownership of all such shares.
- (4) Reporting Person serves as Trustee of a Trust for the benefit of Victoire G. Rankin.
- (5) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (6) Represents the Reporting Person's daughter's proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of the daughter. Reporting Person's spouse is the co-trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (7) Reporting Person's spouse serves as Trustee of the Clara T. Rankin Remainder Unitrust#2 u/a/d 1/5/77. Reporting Person disclaims beneficial ownership of all such shares.
- (8) Reporting Person's spouse serves as Trustee for a Trust held for the Benefit of Reporting Person's daughter. Reporting Person disclaims beneficial ownership of all such shares.
- (9) Reporting Person's spouse serves as Trustee of Irrevocable Trust u/a/d 9/22/88, for the Benefit of Charities for a term of 20 years and then to grantor's grandchildren. Reporting Person disclaims beneficial ownership of all such shares.
- (10) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates II, L.P.
- (11) Reporting Person's spouse serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin, Sr. Reporting Person disclaims beneficial ownership of all such shares.
- (12) Held in an Individual Retirement Account for the benefit of the Reporting Person's spouse. Reporting Person disclaims beneficial ownership of all such shares.
- (13) Reporting Person's spouse serves as Trustee of Trusts for the benefit of each of grantor's grandchildren. Each trust owns 4,800 shares. Reporting Person disclaims beneficial ownership of all such shares.
- (14) Reporting Person's spouse serves as the Trustee of the Alfred M. Rankin, Jr., Self-Declaration II Irrevocable Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (15) Represents the proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person's spouse serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (16) Reporting Person's Spouse serves as Trustee of a Trust for the benefit of the Clara L.T. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (17) Represents Reporting Person's spouse's proportionate limited partnership interest in shares of Rankin Associates IV, L.P. held by a Trust for the benefit of the Reporting Person's spouse, as general partner.
- (18) BTR RA4-Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial ownership of all such shares.
- (19) Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's spouse serves as the Trustee of the Trust which is held for the benefit of Clara LT Rankin. Reporting person disclaims beneficial ownership of all such shares.

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- (20) Represents the proportionate limited partnership interest in RAII shares, which is held in a qualified annuity interest trust for the benefit of Bruce T. Rankin.
- (21) Represents the proportionate limited partnership interest in RA4 shares, which is held in a qualified annuity interest trust for the benefit of Clara LT Rankin.
- (22) Represents shares which are held in a qualified annuity interest trust for the benefit of Clara LT Rankin.
- (23) N/A
- (24) Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held in a trust for the benefit of Clara L.T. Rankin. Reporting Person's spouse serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (25) Represents Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates I, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (26) Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person's spouse serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (27) As a member of a "group" deemed to own more than 10% of an equity security as a result of being a party to a Stockholders' Agreement, dated as of March 15, 1990, beneficially owned by each of the signatories to such agreement (the "Agreement"), the Reporting Person disclaims beneficial ownership of any such shares of Stock owned by any other signatory to the Agreement.
- (28) represents the Reporting Person's spouse's proportionately limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person disclaims any beneficial ownership.
- (29) Represents the proportionate limited partnership interest in Rankin Associates I, LP. shares, which is held in a qualified annuity interest trust for the benefit of Clara LT Rankin.

### Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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