

NACCO INDUSTRIES INC
Form 4
February 10, 2005

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN ALFRED M ET AL

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DR

3. Date of Earliest Transaction
(Month/Day/Year)
02/08/2005

Director 10% Owner
 Officer (give title below) Other (specify below)
Dir CEO Group Member / Dir CEO Group Member

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Class A Common Stock					34,169	I	By Trust/Daughter 1 ⁽⁴⁾
Class A Common Stock					2,000	I	By Trust 4 (Charities) ⁽⁵⁾
Class A Common Stock					3,775	I	By Trust (Self-Declaration 2) ⁽⁶⁾
					26,608	I	By Trust 2 (SR) ⁽⁷⁾

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Class A Common Stock										
Class A Common Stock								30,000	I	By Trust (Unitrust) <u>(8)</u>
Class A Common Stock <u>(9)</u>								2,762	I	By Assoc II <u>(10)</u> <u>(11)</u>
Class A Common Stock								14,000	I	By IRA <u>(12)</u>
Class A Common Stock								3,946	I	By Assoc II/Daughter 2 <u>(13)</u>
Class A Common Stock								2,000	I	By RMI (Delaware) <u>(14)</u>
Class A Common Stock <u>(2)</u>	02/08/2005	02/08/2005	A ⁽³⁾	6,407	A	\$ 0	101,615		I	By Trust (AMR) <u>(1)</u>
Class A Common Stock								128,456	I	By Trust (Assoc II-BTR) <u>(15)</u>
Class A Common Stock								20,284	I	By Spouse/Trust <u>(16)</u>
Class A Common Stock								3,946	I	By Assoc II/Daughter 1 <u>(13)</u>
Class A Common Stock								279,343	I	By Trust 5 (QAIT - CLT) <u>(17)</u>
Class A Common Stock								11,225	I	By Trust (Self-Declaration) <u>(18)</u>
Class A Common Stock								33,600	I	By Trust 3 (Grandchildren) <u>(19)</u>
Class A Common Stock								34,169	I	By Trust/Daughter 2 <u>(4)</u>
Class A Common								14,000	I	By Trust (CLTR) <u>(20)</u> <u>(21)</u>

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Stock

Class A Common Stock	224,435	I	By Trust (Assoc II-CLTR) <u>(22)</u>
Class A Common Stock	1,779	I	By Assoc II/Spouse <u>(23)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Benef Own Follo Repo Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V (A) (D)		

Reporting Owners

Reporting Owner Name / Address

Relationships

RANKIN ALFRED M ET AL
5875 LANDERBROOK DR
MAYFIELD HEIGHTS, OH 44124

Director 10% Owner Officer Other

X Dir CEO Group Member Dir CEO Group Member

Signatures

/s/Charles A. Bittenbender, attorney-in-fact for Alfred M.
Rankin, Jr.

02/10/2005

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person serves as Trustee of a Trust for the benefit of the Alfred M. Rankin, Jr.
As a member of a "group" deemed to own more than 10% of an equity security as a result of being a party to a Stockholders' Agreement, dated as of March 15, 1990, beneficially owned by each of the signatories to such agreement (the "Agreement"), the Reporting Person disclaims beneficial ownership of any such shares of Stock owned by any other signatory to the Agreement .
- (2) Shares of Class A Common Stock awarded to the Reporting Person under the Company's Executive Long-Term Incentive Compensation Plan.
- (3) Reporting Person serves as Trustee for a Trust held for the Benefit of Reporting Person's daughter. Reporting Person disclaims beneficial ownership of all such shares.
- (4) Reporting Person serves as Trustee of Irrevocable Trust u/a/d 9/22/88, for the Benefit of Charities for a term of 20 years and then to grantor's grandchildren. Reporting Person disclaims beneficial ownership of all such shares.-----
- (5) Reporting Person serves as the Trustee of the Alfred M. Rankin, Jr., Self-Declaration II Irrevocable Trust.
- (6) Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (7) Reporting Person serves as Trustee of the Clara T. Rankin Remainder Unitrust#2 u/a/d 1/5/77. Reporting Person disclaims beneficial ownership of all such shares.
As a member of a "group" deemed to own more than 10% of an equity security as a result of being a party to a Stockholders' Agreement, dated as of March 15, 1990, beneficially owned by each of the signatories to such agreement (the "Agreement"), the Reporting Person disclaims beneficial ownership of any such shares of Stock owned by any other signatory to the Agreement .
- (8) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates II, L.P.
- (9) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates II, L.P.-----
- (10) Held in an Individual Retirement Account for the benefit of the Reporting Person.
Represents the Reporting Person's daughter's proportionate limited partnership interest in shares held by Rankin Associate II, L.P., which is held in a trust for the benefit of the daughter. Reporting Person is the co-trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (11) Represents the Reporting Person's proportionate interest in shares held by Rankin Management, Inc. ("RMI").
Represents the proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (12) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Victoire G. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (13) Represents the proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a qualified annuity interest trust for the benefit of Clara L.T. Rankin. Reporting Person serves as the Trustee of the Trust.
- (14) Reporting Person serves as the Trustee of the Alfred M. Rankin, Jr., Self-Declaration Irrevocable Trust.
- (15) Reporting Person serves as Trustee of Trusts for the benefit of each of grantor's grandchildren. Each trust owns 4,800 shares. Reporting Person disclaims beneficial ownership of all such shares.
- (16) Reporting Person serves as Trustee of a Trust for the benefit of the Clara L.T. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (17) Reporting Person serves as Trustee of a Trust for the benefit of the Clara L.T. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
Represents the proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of Clara L. T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (18) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. . Reporting Person disclaims beneficial ownership of all such shares.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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