NETGEAR, INC Form 4 July 03, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

1. Name and A Rogers Tam	address of Repo nesa	rting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol NETGEAR, INC [NTGR]	5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)		
350 EAST PLUMERIA DR.			(Month/Day/Year) 06/30/2017	Director 10% Owner _X_ Officer (give title Other (specify below)  SVP, Human Resources		
(Street) SAN JOSE, CA 95134			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)  Applicable Line)  _X_ Form filed by One Reporting  Form filed by More than One Person			
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Ac	quired. Disposed of, or Beneficially Owned		

(- 3)	(	1 able	e I - Non-Do	erivative S	securit	ies Acq	juirea, Disposea (	or, or Beneficial	iy Ownea
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securit	ties Ac	quired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of		Securities	Form: Direct	Indirect		
(Instr. 3)		any	Code	de (D)		Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and 5	5)	Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					( )		Reported		
					(A)		Transaction(s)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	06/30/2017		F	376	1)	\$ 43.1	22,203	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: NETGEAR, INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivati Securitie Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	s l		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock	\$ 16.53					<u>(1)</u>	02/09/2014	Common Stock	365
Common Stock	\$ 28.79					(2)	01/11/2018	Common Stock	2,000
Common Stock	\$ 21.1					(3)	02/02/2020	Common Stock	8,394
Common Stock	\$ 20.8					<u>(4)</u>	06/13/2020	Common Stock	994
Common Stock	\$ 33.15					<u>(5)</u>	04/26/2021	Common Stock	1,600
Common Stock	\$ 35.32					<u>(6)</u>	02/03/2021	Common Stock	15,000
Common Stock	\$ 31.31					<u>(7)</u>	06/06/2022	Common Stock	15,000
Common Stock	\$ 32.54					<u>(8)</u>	05/16/2023	Common Stock	15,000
Common Stock	\$ 32.52					<u>(9)</u>	06/03/2024	Common Stock	15,000
Common Stock	\$ 31.28					(10)	06/02/2025	Common Stock	15,000
Employee Stock Option (Right to Buy)	\$ 39.53					03/24/2016(10)	03/24/2026	Common Stock	15,000
Employee Stock Option (Right to Buy)	\$ 42.7					(11)	06/01/2027	Common Stock	15,000

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rogers Tamesa

350 EAST PLUMERIA DR. SVP, Human Resources

SAN JOSE, CA 95134

### **Signatures**

/s/ Andrew W. Kim, Attorney in Fact 07/03/2017

\*\*Signature of Reporting Person Dat

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 25% of the option grant is exerciseable on 2/9/2005, and 1/48 of the option grant is exercisable each month thereafter.
- (2) 25% of the option grant is exerciseable on 1/11/2009, and 1/48 of the option grant is exercisable each month thereafter.
- (3) 25% of the option grant is exerciseable on 2/2/2011, and 1/48 of the option grant is exercisable each month thereafter.
- (4) 25% of the option grant is exerciseable on 6/13/2011, and 1/48 of the option grant is exercisable each month thereafter.
- (5) 25% of the option grant is exerciseable on 4/26/2012, and 1/48 of the option grant is exercisable each month thereafter.
- (6) 25% of the option grant is exerciseable on 2/3/2012, and 1/48 of the option grant is exercisable each month thereafter.
- (7) 25% of the option grant is exerciseable on 6/6/2013, and 1/48 of the option grant is exercisable each month thereafter.
- (8) 25% of the option grant is exerciseable on 5/16/2014, and 1/48 of the option grant is exercisable each month thereafter.
- (9) 25% of the option grant is exerciseable on 6/3/2015, and 1/48 of the option grant is exercisable each month thereafter.
- This Option shall be exercisable, in whole or in part, in accordance with the following schedule: 25% of the Shares subject to the Option (10) shall vest twelve months after the Vesting Start Date, and 1/48 of the Shares subject to the Option shall vest each month thereafter, subject to the Optionee continuing to be a Service Provider on such dates.
- This Option shall be exercisable, in whole or in part, in accordance with the following schedule: 25% of the Shares subject to the Option (11) shall vest twelve months after the Vesting Start Date, June 1, 2017, and 1/48 of the Shares subject to the Option shall vest each month thereafter, subject to the Optionee continuing to be a Service Provider on such dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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