

ILLUMINA INC  
Form 4  
September 09, 2013

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HENRY CHRISTIAN O

(Last) (First) (Middle)  
5200 ILLUMINA WAY  
(Street)

SAN DIEGO, CA 92122

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ILLUMINA INC [ILMN]

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/05/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director  
 Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
Sr VP, General Manager Genomic

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock                    | 09/05/2013                           |  | M                              | (A)<br>6,000<br>(1)   | \$ 28.45 36,236   | D  |   |
| Common Stock                    | 09/05/2013                           |  | S                              | (D)<br>2,400<br>(1)   | \$ 77.6413 33,836<br>(2)  | D  |   |
| Common Stock                    | 09/05/2013                           |  | S                              | (D)<br>3,600<br>(1)   | \$ 78.2351 30,236<br>(3)  | D  |   |
| Common Stock                    | 09/05/2013                           |  | M                              | (A)<br>3,000<br>(1)   | \$ 32.485 33,236  | D  |   |
|                                 | 09/05/2013                           |  | S                              | (D)   | 30,236 (5)  | D  |   |

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|        |            |                |
|--------|------------|----------------|
| Common | 3,000      | \$             |
| Stock  | <u>(1)</u> | <u>78.5101</u> |
|        |            | <u>(4)</u>     |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|----------------------------|
| Non-Qualified Stock Option (right to buy)  | \$ 28.45   | 09/05/2013                           |  | M                              | 6,000<br><u>(1)</u>  | 02/28/2009 01/28/2019                                    | Common Stock  | 6,000                      |
| Non-Qualified Stock Option (right to buy)  | \$ 32.485  | 09/05/2013                           |  | M                              | 3,000<br><u>(1)</u>  | 02/29/2008 01/29/2018                                    | Common Stock  | 3,000                      |

## Reporting Owners

| Reporting Owner Name / Address                                | Relationships |           |                                |       |
|---|---------------|-----------|--------------------------------|-------|
|   | Director      | 10% Owner | Officer                        | Other |
| HENRY CHRISTIAN O<br>5200 ILLUMINA WAY<br>SAN DIEGO, CA 92122 |               |           | Sr VP, General Manager Genomic |       |

## Signatures

By: Scott M. Davies For: Christian O. Henry      09/09/2013

\_\_Signature of Reporting Person      Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sale was made pursuant to a 10b5-1 plan.
- (2) Weighted average sales price representing 2,400 shares sold ranging from \$77.04 to \$78.02 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (3) Weighted average sales price representing 3,600 shares sold ranging from \$78.04 to \$78.34 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (4) Weighted average sales price representing 3,000 shares sold ranging from \$78.34 to \$78.72 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (5) Balance includes 282 shares acquired on Aug. 1, 2013 through Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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