## Edgar Filing: PNM RESOURCES INC - Form 4

#### PNM RESOURCES INC

Form 4

August 16, 2005

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

1(b).

Common

Stock

(Print or Type Responses)

, J1	, , , , , , , , , , , , , , , , , , ,								
1. Name and A ORTIZ PAT	Address of Reporting I	Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol PNM RESOURCES INC [PNM]			5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First) (M	fiddle) 3. Date of	3. Date of Earliest Transaction			псек ан арриса	one)		
ALVARAD	OO SQUARE, MS	· ·	(Month/Day/Year) 08/15/2005			Director 10% Owner _X Officer (give title Other (specify below)  SR VP, GEN COUNSEL			
	(Street)	4. If Ame	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
		Filed(Mor	Filed(Month/Day/Year)			Applicable Line)			
				_X_ Form filed by One Reporting Person					
ALBUQUE	RQUE, NM 8715	8			Form filed by More than One Reporting Person				
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of	2. Transaction Date	2A. Deemed	3. 4. Se	ecurities	5. Amount of	6. Ownership	7. Nature of		
Security (Month/Day/Year)		Execution Date, if	TransactionAcq		Securities	Form: Direct Indirect	Indirect		
(Instr. 3)		any	•	posed of (D)	Beneficially	(D) or	Beneficial		
		(Month/Day/Year)	(Instr. 8) (Inst	tr. 3, 4 and 5)	Owned	Indirect (I)	Ownership		
			Code V Ame	(A) or ount (D) Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)		
Common Stock					27,704	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

PNM Common Stock Fund -

**PNM** 

Resources, Inc. 401(k) Plan

3,539 (1)

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date ive (Month/Day/Year) es ed		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	(2)	08/15/2005		I	757 (3)	<u>(4)</u>	<u>(4)</u>	Common Stock	757 <u>(3)</u>	<u>(4)</u>

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ORTIZ PATRICK T ALVARADO SQUARE MS 2822 ALBUQUERQUE, NM 87158

SR VP, GEN COUNSEL

**Signatures** 

PATRICK T. 08/16/2005

\*\*Signature of Date
Reporting Person

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of PNM Common Stock acquired under PNM Resources, Inc. (401(k) Plan. Information in this report is based on a plan statement dated July 31, 2005.
- (2) The security converts to common stock on a one for one basis.
- The Reporting Person made an intra-transfer into the PNM Common Stock Fund under the PNM Resources, Inc. Executive Savings Plan from other investment fund options under this Plan.
- (4) The phantom stock shares were acquired under the PNM Resources, Inc. Executive Savings Plan, and will be settled upon the Reporting Person's retirement or other termination of service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.