PTC THERAPEUTICS, INC.

Form 3/A June 27, 2013

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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response...

**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Rothera Mark

(Last)

(First) (Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

PTC THERAPEUTICS, INC. [PTCT]

C/O PTC THERAPEUTICS. INC., 100 CORPORATE

COURT

(Street)

Statement

(Month/Day/Year)

06/19/2013

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

06/19/2013

(Check all applicable)

Director \_X\_\_ Officer

10% Owner

Other (give title below) (specify below) Chief Commercial Officer

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SOUTH PLAINFIELD, NJÂ 07080

(City)

(State)

(Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** 

Expiration

Date

(Month/Day/Year)

Date

Exercisable

3. Title and Amount of Securities Underlying **Derivative Security** 

4.

5. Conversion Ownership Form of or Exercise

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Title

Amount or Number of Derivative Security

Price of

Security: Direct (D)

Derivative

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Shares or Indirect (I) (Instr. 5)  $\hat{A} \stackrel{(1)}{=} 05/15/2023 \stackrel{Common}{\leq tock} 0000 \\ \frac{150,000}{\leq tock} \stackrel{(2)}{=} 10.85 \qquad D \qquad \hat{A}$ 

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Rothera Mark

C/O PTC THERAPEUTICS, INC. 100 CORPORATE COURT

SOUTH PLAINFIELD, NJÂ 07080

# **Signatures**

/s/ Mark E. Boulding, attorney-in-fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - This option was granted on May 15, 2013 and vests over four years, with 25% of the shares underlying the option vesting on May 15,
- (1) 2014 and an additional 2.083% of the original number of shares underlying the option vesting monthly thereafter beginning on June 30, 2014.
- (2) This option was reported on the previously filed Form 3 as relating to 15,000 shares of Common Stock.

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#### **Remarks:**

Exhibit Index:Â None

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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