

CONTROL4 CORP
Form SC 13G
February 14, 2014

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934
(Amendment No.)*

Control4 Corporation

(Name of Issuer)

Control4 Corporation

(Title of Class of Securities)

21240D107

(CUSIP Number)

February 14, 2014

(Date of Event which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- Rule 13d-1(b)
- Rule 13d-1(c)
- Rule 13d-1(d)

* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see Instructions).

CUSIP No.: 21240D107

1 NAME OF REPORTING PERSON
 THOMAS WEISEL CAPITAL
 MANAGEMENT, LLC

I.R.S. IDENTIFICATION NO. OF
ABOVE PERSON (ENTITIES ONLY)
94-3331306

2 CHECK THE APPROPRIATE BOX IF
A MEMBER OF A GROUP
(a)
(b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF
ORGANIZATION
DELAWARE

NUMBER OF 5 SOLE VOTING POWER
SHARES 0
BENEFICIALLY 6 SHARED VOTING POWER
OWNED BY EACH 2,920,236
REPORTING 7 SOLE DISPOSITIVE POWER
PERSON WITH 0
8 SHARED DISPOSITIVE POWER
2,920,236

9 AGGREGATE AMOUNT
BENEFICIALLY OWNED BY EACH
REPORTING PERSON
2,920,236

10 CHECK BOX IF THE AGGREGATE
AMOUNT IN ROW (9) EXCLUDES
CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED
BY AMOUNT IN ROW (9)
12.8235%

12 TYPE OF REPORTING PERSON
IA

CUSIP No.: 21240D107

1 NAME OF REPORTING PERSON
THOMAS WEISEL VENTURE
PARTNERS, LLC

I.R.S. IDENTIFICATION NO. OF
ABOVE PERSON (ENTITIES ONLY)

22-3864053

CHECK THE APPROPRIATE BOX IF
A MEMBER OF A GROUP

2

(a)
(b)

3

SEC USE ONLY

4

CITIZENSHIP OR PLACE OF
ORGANIZATION
DELAWARE

NUMBER OF
SHARES
BENEFICIALLY
OWNED BY EACH
REPORTING
PERSON WITH

5

SOLE VOTING POWER
0

6

SHARED VOTING POWER
2,920,236

7

SOLE DISPOSITIVE POWER
0

8

SHARED DISPOSITIVE POWER
2,920,236

9

AGGREGATE AMOUNT
BENEFICIALLY OWNED BY EACH
REPORTING PERSON
2,920,236

10

CHECK BOX IF THE AGGREGATE
AMOUNT IN ROW (9) EXCLUDES
CERTAIN SHARES

11

PERCENT OF CLASS REPRESENTED
BY AMOUNT IN ROW (9)
12.8235%

12

TYPE OF REPORTING PERSON
CO

CUSIP No.: 21240D107

1

NAME OF REPORTING PERSON
THOMAS WEISEL VENTURE
PARTNERS, LP

I.R.S. IDENTIFICATION NO. OF
ABOVE PERSON (ENTITIES ONLY)
94-3367758

2 CHECK THE APPROPRIATE BOX IF
A MEMBER OF A GROUP
(a)
(b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF
ORGANIZATION
DELAWARE

NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	5	SOLE VOTING POWER 0
	6	SHARED VOTING POWER 2,920,236
	7	SOLE DISPOSITIVE POWER 0
	8	SHARED DISPOSITIVE POWER 2,920,236

9 AGGREGATE AMOUNT
BENEFICIALLY OWNED BY EACH
REPORTING PERSON
2,920,236

10 CHECK BOX IF THE AGGREGATE
AMOUNT IN ROW (9) EXCLUDES
CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED
BY AMOUNT IN ROW (9)
12.8235%

12 TYPE OF REPORTING PERSON
PN

CUSIP No.: 21240D107

ITEM 1(a). NAME OF
ISSUER:
Control4
Corporation
ADDRESS OF
ISSUER'S
ITEM 1(b). PRINCIPAL
EXECUTIVE
OFFICES:

11734 SOUTH
ELECTION ROAD
SALT LAKE CITY
UT 84020

ITEM 2(a). NAME OF
PERSON FILING:

THIS
STATEMENT IS
FILED BY
THOMAS WEISEL
CAPITAL
MANAGEMENT,
LLC (TWCM)
WITH RESPECT
TO SHARES OF
COMMON STOCK
OF THE ISSUER
OWNED BY
THOMAS WEISEL
VENTURE
PARTNERS, LP
(THE "FUND") AN
INVESTMENT
FUND FOR
WHICH TWCM
SERVES AS
INVESTMENT
ADVISOR, AND
THOMAS WEISEL
VENTURE
PARTNERS, LLC
IS THE GENERAL
PARTNER.

ITEM 2(b). ADDRESS OF
PRINCIPAL
BUSINESS
OFFICE OR, IF
NONE,
RESIDENCE:

One Montgomery
Street
Suite 3700
San Francisco, CA
94104

ITEM 2(c). CITIZENSHIP:
THOMAS WEISEL
CAPITAL
MANAGEMENT,

LLC -
DELAWARE
THOMAS WEISEL
VENTURE
PARTNERS, LLC -
DELAWARE
THOMAS WEISEL
VENTURE
PARTNERS, LP -
DELAWARE

ITEM 2(d). TITLE OF CLASS
OF SECURITIES:

Control4
Corporation

ITEM 2(e). CUSIP NUMBER:

21240D107

ITEM 3. IF THIS STATEMENT IS FILED PURSUANT TO SECTION 240.13d-1(b), or 13d-2(b) or (c) CHECK
WHETHER THE PERSON FILING IS A:

- (a) Broker or dealer registered under Section 15 of the Act (15 U.S.C. 78c);
- (b) Bank as defined in Section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c) Insurance company as defined in Section 3(a)(19) of the Act (15 U.S.C. 78c);
- (d) Investment company registered under Section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
- (e) An investment adviser in accordance with 240.13d-1(b)(1)(ii)(E);
- (f) An employee benefit plan or endowment fund in accordance with 240.13d-1(b)(1)(ii)(F);
- (g) A parent holding company or control person in accordance with 240.13d-1(b)(1)(ii)(G);
- (h) A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j) A non-U.S. institution in accordance with 240.13d-1(b)(1)(ii)(J);
- (k) Group, in accordance with 240.13d-1(b)(1)(ii)(K). If filing as a non-U.S. institution in accordance with 240.13d1(b)(1)(ii)(J), please specify the type of institution:

ITEM 4. OWNERSHIP:

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) Amount beneficially owned:

2,920,236

(b) Percent of class:

12.8235%

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote:

THOMAS WEISEL CAPITAL MANAGEMENT, LLC - 0
THOMAS WEISEL VENTURE PARTNERS, LLC - 0
THOMAS WEISEL VENTURE PARTNERS, LP - 0

(ii) Shared power to vote or to direct the vote:

THOMAS WEISEL CAPITAL MANAGEMENT, LLC - 2,920,236
THOMAS WEISEL VENTURE PARTNERS, LLC - 2,920,236
THOMAS WEISEL VENTURE PARTNERS, LP - 2,920,236

(iii) Sole power to dispose or to direct the disposition of:

THOMAS WEISEL CAPITAL MANAGEMENT, LLC - 0
THOMAS WEISEL VENTURE PARTNERS, LLC - 0
THOMAS WEISEL VENTURE PARTNERS, LP - 0

(iv) Shared power to dispose or to direct the disposition of:

THOMAS WEISEL CAPITAL MANAGEMENT, LLC - 2,920,236
THOMAS WEISEL VENTURE PARTNERS, LLC - 2,920,236
THOMAS WEISEL VENTURE PARTNERS, LP - 2,920,236

OWNERSHIP OF

ITEM 5. FIVE PERCENT OR
LESS OF A CLASS:

If this statement is
being filed to report
the fact that as of the
date hereof the
reporting person has
ceased to be the
beneficial owner of
more than five
percent of the class of
securities, check the
following [].

OWNERSHIP OF
MORE THAN FIVE
PERCENT ON
BEHALF OF
ANOTHER
PERSON:

ITEM 6.

AS SET FORTH
ABOVE THE
SHARES
REPORTED ARE
HELDY BY A FIRM
CLIENT, THOMAS
WEISEL VENTURE
PARTNERS, LP,
WHICH HAS
ITSELF REPORTED
OWNERSHIP OF

THE SHARES
THROUGH FORM 3
FILINGS WITH
THE COMMISSION.
EACH OF THOMAS
WEISEL CAPITAL
MANAGEMENT,
LLC AND THOMAS
WEISEL VENTURE
PARTNERS, LLC IS
NOT
CONCLUSIVELY
CLAIMING
BENEFICIAL
OWNERSHIP OF
THE SHARES AS A
RESULT OF THIS
FILING.

IDENTIFICATION
AND
CLASSIFICATION
OF THE
SUBSIDIARY

ITEM 7. WHICH ACQUIRED
THE SECURITY
BEING REPORTED
ON BY THE
PARENT HOLDING
COMPANY:

NOT APPLICABLE
IDENTIFICATION
AND

ITEM 8. CLASSIFICATION
OF MEMBERS OF
THE GROUP:

NOT APPLICABLE

ITEM 9. NOTICE OF
DISSOLUTION OF
GROUP:

NOT APPLICABLE

ITEM 10. CERTIFICATION:

By signing below I
certify that, to the
best of my knowledge
and belief, the
securities referred to
above were acquired
and are held in the

ordinary course of
business and were not
acquired and are not
held for the purpose
of or with the effect
of changing or
influencing the
control of the issuer
of the securities and
were not acquired and
are not held in
connection with or as
a participant in any
transaction having
that purpose or effect.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 14, 2014

Date

THOMAS WEISEL CAPITAL MANAGEMENT, LLC

/s/

Signature

RITA KAZEMBE, CHIEF COMPLIANCE OFFICER

Name/Title

Attention: Intentional misstatements or omissions of fact constitute Federal criminal violations (see 18 U.S.C. 1001).