Sederoff Michael Form 3/A February 27, 2007

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement RUBICON FINANCIAL INC [RBCF] A Sederoff Michael (Month/Day/Year) 02/01/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 19200 VON KARMAN, SUITE 02/06/2007 (Check all applicable) 350 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting COO & CFO Person IRVINE, CAÂ 92612 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â 25,000 (1) Common Stock (1) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security 3. Title and Amount of 6. Nature of Indirect 2. Date Exercisable and Securities Underlying (Instr. 4) **Expiration Date** Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security: Title Direct (D) Security

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Date Expiration Amount or or Indirect Exercisable Number of Date (I) (Instr. 5) Shares **Restricted Common Stock** Common (1) earned & accrued on a  $\hat{\mathbf{A}} \stackrel{(1)}{=}$  $25,000 \ (1) \ \$ \ (1)$ D Â Stock (1) pro-rata basis (1)

#### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Sederoff Michael

19200 VON KARMAN, SUITE 350 Â Â Â COO & CFO Â

IRVINE, CAÂ 92612

## **Signatures**

/s/ Michael
Sederoff

\*\*Signature of Date

Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Pursuant to Mr. Sederoff's Employment Agreement dated February 1, 2007, Mr. Sederoff received a signing bonus of Fifty
Thousand (50,000) shares of Rubicon's restricted common stock to be issued as follows: (a) Twenty-Five Thousand (25,000) shares issued upon execution of the Employment Agreement; and (b) Twenty-Five Thousand (25,000) shares shall be issued over the one-year term of the Agreement on a pro-rata basis. (Approximately 2,083 shares per month)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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